ABSTRACT

TANAKA, KRISTA MARI. Using GIS Based Property Tax Data For Trip Generation. (Under the direction of John R. Stone.)

This project assesses the feasibility of using statistically clustered property tax data instead of windshield survey data for input into the Internal Data Summary (IDS) trip generation model used by the North Carolina Department of Transportation. The report summarizes the clustering analysis and its data requirements. To gauge clustering resource requirements for a case study application, NCSU researchers examine the Town of Pittsboro. Comparing the traffic flow outputs of the traditional modeling techniques and those resulting from the use of the clustering method to 56 ground count stations, the research finds that clustering and tradition methods yield similar results. An 85% reduction in person-hours required to gather the input data is the main benefit resulting from the use of the clustering technique. The major drawback is that advanced statistical training is required to implement the technique.

USING GIS BASED PROPERTY TAX DATA
FOR TRIP GENERATION

By
KRISTA MARI TANAKA

A thesis submitted to the Graduate Faculty of
North Carolina State University
In partial fulfillment of the
Requirements for the Degree of
Master of Science

CIVIL ENGINEERING

Raleigh

2002

APPROVED BY:

_____   _____

_____
Chair of Advisory Committee

BIOGRAPHY

Krista M. Tanaka was born in Montreal, Quebec in 1971. She received a Bachelor of Arts degree from Concordia University in Montreal in 1994. She later went on to the University of New Brunswick in Fredericton, New Brunswick to complete a Bachelor of Science in Forest Engineering in 1999. Following graduation from UNB, she moved to Raleigh, NC to start work. In August, 2000 she joined the Civil Engineering Department at NCSU as a Masters Candidate.

ACKNOWLEDGMENTS

TABLE OF CONTENTS

LIST OF TABLES

LIST OF FIGURES

1. INTRODUCTION

A strong relationship exists between trip generation and property characteristics like tax value according to recent travel surveys (FHWA, 1998a; NuStats International, 1995). Property information is now common in geographic information system (GIS) format. GIS data are available at city and county planning agencies across North Carolina and the GIS data potentially offer a relatively inexpensive, quick method for estimating trip generation for regional travel models.

Currently, the NCDOT trip generation model called the internal data summary (IDS) relies on "drive-by" windshield observations of household condition to estimate travel especially for residential locations (NCDOT, 1999). Windshield surveys have several weaknesses.

- They are expensive and time consuming;
- They depend on subjective judgments that are hard to replicate and can lead to bias and errors; and
- They cannot be forecast to the future.

By contrast, GIS property tax data are inexpensive, accurate, up to date and can be projected into the future. Moreover, GIS allows these data to be used readily in analysis and to produce visual descriptions. Consequently, the question arises**: Can property tax data replace windshield surveys to estimate travel in IDS?** If the answer to this question is "yes", then statistical categorization of GIS data can replace expensive, time

consuming and potentially error prone windshield surveys by relatively easily acquired property tax information. This research will attempt to answer this question.

Keeping trip generation tied to existing property tax data is the key to cost effective data collection. First, the NCSU approach develops a method to classify property tax data into the common household categories designated in windshield surveys. Second, the approach compares IDS trip generation and resulting travel estimates to the same results produced using GIS data. In addition, ground counts serve to validate the results of both methods.

Although a GIS based method could be used for determining data input for trip generation in general, the NCSU project uses the NCDOT IDS trip generation model. While NCDOT primarily associates IDS with Tranplan and smaller city models, the NCSU approach can be adapted to TransCAD, which is becoming the preferred modeling tool at NCDOT. In the meantime, Tranplan models will continue in use for several years.

To provide background, this report describes the traditional four-step travel forecasting process and the trip generation step that is the focus of this effort. In particular the report discusses trip generation by IDS. Next, the report refines the problem based on the background statement and identifies the research objectives. Then the report develops and justifies the research approach through a review of pertinent literature. Throughout, the report emphasizes the significance to NCDOT of the proposed GIS-based data collection method for household data.

Background

Trip Generation and the Four-Step Process

NCDOT planners and engineers develop long range, regional travel forecasts by applying the "traditional" four-step planning process: 1) trip generation, 2) trip distribution, 3) mode split, and 4) trip assignment as seen in Figure 1-1. For the past decade or more, they have implemented the process with Tranplan (Urban Analysis Group, 1995). Recently, however, they have adopted TransCAD (Caliper Corporation, 2000), and they are converting their regional models from Tranplan to the new, more GIS-oriented environment that TransCAD offers.
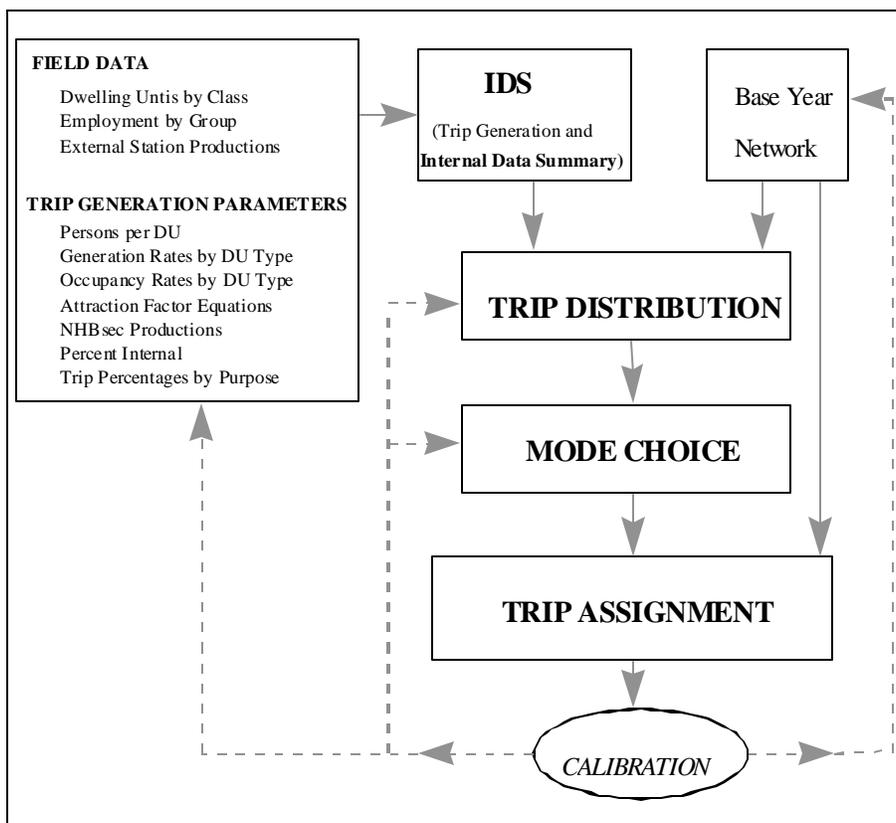
Figure 1-1: NCDOT Travel Model Development Process (NCDOT, 1997).

This research focuses on the first, and arguably the most important and costly, step of the travel forecasting process – trip generation. Trip generation estimates the regional demand for travel. If the estimate is wrong, the regional model is wrong (garbage in, garbage out). Furthermore, the estimate for regional travel demand is very data intensive, potentially very expensive, time-consuming, and uncertain. To estimate regional travel in the base year analysts must collect current socioeconomic data for each land use parcel in each traffic analysis zone (TAZ) in the region.

For both the base year and the future year, the trip generation step estimates the number of trips produced by and attracted to each TAZ based on zonal residential and business land use. Each TAZ is characterized by associated socioeconomic data such as dwelling units and condition, employment, and commercial vehicles. The generation procedure consists of three basic functions: computing total trips produced by a zone, computing total trips attracted to a zone, and scaling to equate the total productions and the total attractions in the region for each of several trip purposes.

Trip Generation Methods

Generally speaking there are three methods to estimate trip generation – regression model, cross-classification and trip rates. Some transportation planning agencies use cross-classification models based on samples of household travel behavior data to estimate zonal trip productions, and they use regression models to estimate zonal trip attractions. Other agencies use sophisticated regression models for generating

productions as well as attractions. Recently, activity-based methods for trip generation have also been implemented (Stone, *et al,* 2000).

Cross-classification involves using sample interview data to construct tables of variables descriptive of dwelling units (i.e. occupancy, auto ownership, household income, etc.) and the travel behavior (daily vehicle or person trip rates) for the different classes of dwelling units. Such a table is shown in Table 1-1. Knowing the number of dwelling units in each income class in each zone will give the number of daily trips for that zone. Summing over all zones will give the trips for the entire study area. Travel for various trip purposes (home-based work, home-based other, and non-home-based) are determined similarly for both the base and future year.

Table 1-1: Cross-Classification Model for Daily Home-Based Other Vehicle Trips (NCDOT, 1997).

Persons per Dwelling Unit	Income Group		
	1	2	3
1	0.28	0.85	1.44
2	1.25	2.26	2.70
3 or more	1.33	2.46	3.21

An advantage of cross-classification is the transferability of the model from zone to zone in the study area and between cities of similar types. The model can discriminate among many socioeconomic categories (nine in this example). Also, cross-classification can show realistic non-linear effects in travel behavior.   On the other hand, cross-classification models have complex relationships among the data that lead to more

5

difficult, less intuitive model calibration. Furthermore, cross-classification typically differentiates trip-making potential within a TAZ based on zonal averages from sample data. The samples may be as few as 30 per category depending on city size. Perhaps most troublesome is the difficulty in estimating future income.

Internal Data Summary

Besides cross-classification NCDOT engineers and planners use IDS, which uses trip rates for different residential and employment types to estimate trip generation productions and attractions. They developed IDS in-house, and it is separate from, but can be merged with, Tranplan (Urban Analysis Group, 1995) and TransCAD (Caliper, 2000). IDS relies on average, time invariant trip rates for North Carolina cities. The trip rates are the coefficients of the IDS model for trip productions and attractions. During model validation, the trip rates are changed as necessary to improve the comparison of estimated link volumes versus actual ground counts.

For productions there are five trip rates corresponding to five household condition categories – excellent, above average, average, below average, and poor (Table 1-2). Trip rates for special residential categories like university dormitories are also included. Given the number of households by condition in a TAZ, IDS determines the number of daily home-based productions in the TAZ by trip purpose. Area-wide productions by trip purpose result from summing the individual TAZ productions. The IDS output includes a file containing summaries of household conditions by TAZ, productions and attractions for each TAZ by trip purpose and area-wide totals by trip purpose.

Table 1-2: IDS Daily Vehicle Trip Generation Rates by Household Condition (NCDOT, 1999).

Household Condition	Excellent	Above Average	Average	Below Average	Poor
Trip Rate	12.0	10.0	8.0	6.0	4.0

IDS has certain strengths compared to cross-classification. First, trained technicians inspect every household in a TAZ. Sampling is not used, and thereby every home-based trip generator is counted. They make a visual assessment of the condition of each household, and they assign it to one of the five household conditions based on such factors as observed numbers of vehicles, the estimated number of occupants, evidence of children, and estimated property value versus local averages. In this regard, IDS has the discrimination of cross-classification. Second, since IDS is like a linear regression model, its use is relatively straightforward and intuitively easy to understand. On the other hand, IDS assumes consistent and accurate appraisals of household condition by the inspectors. Moreover, inspecting every property, while avoiding the uncertainties of sampling, leads to costly, time-consuming data collection.

Problem Definition

As discussed above, NCDOT has a daunting task to periodically count every household and appraise its condition in order to develop base year trip generation estimates for a region. The housing count is made by trained technicians who drive by each property in the city, identify it as residential, and classify its condition based on visual appearance, apparent number of occupants including children, and parked vehicles. Clearly, such

counts and subjective appraisals made while driving by a property are prone to error and bias.

This research tests the hypothesis that property tax data can replace windshield survey data. Analysts could then replace the cumbersome and error-prone, inspection-based counts and condition estimates of each household in each TAZ with computer-based property tax data of each property in a TAZ. If the hypothesis is true, this report will propose recommendations for appropriate data collection procedures and discuss how to adapt IDS for trip generation based on property tax information.

Scope and Research Objectives

The scope of this project addresses the trip generation of the case study Town of Pittsboro, North Carolina. This city has all of the required information: IDS windshield survey data (year 2000), base year trip generation results corresponding to the windshield survey data (IDS output), GIS parcel data and corresponding property tax records and the NCDOT travel model developed with TransCAD.

For Pittsboro, this project will determine whether property tax information can be used in place of windshield surveys for household condition. A workable method for merging property tax information to the base year trip generation model will be proposed.

More specifically the objectives of this report are:

- To determine an appropriate statistical method to classify dwelling units by GIS based property tax data;

- To suggest a database structure that includes all of the required fields for use in the new classification procedure; and

- To demonstrate the application of the new classification method using the case study Town of Pittsboro, NC.

Ultimately the goal is to simplify the data collection process and to reduce the bias in data input for the trip generation model used by NCDOT.

Chapter Summary

The NCDOT realizes that the windshield survey method for collecting socio-economic data for input into IDS for trip generation has several shortcomings. Besides being time consuming and inefficient, it is based on subjective evaluation and hence it is not reproducible. With the advances in GIS in the past few years, and the ready availability of property tax data that each county prepares, it makes sense to move toward a method for household classification based on a more reproducible evaluation.

The following chapter will justify a GIS-based approach. Subsequent chapters will, in turn, summarize a methodology for developing a GIS approach and apply the approach to the case study Town of Pittsboro, NC. Recommendations and conclusions regarding the effectiveness of using GIS data for Pittsboro trip generation will close out the report.

2. LITERATURE REVIEW

Many cities and agencies including NCDOT use GIS databases for a range of land use and transportation planning activities (Shinebein, 1999; He, 1999; FHWA, 1998a; FHWA, 1998b). However, the applicability of GIS based land use data like property values, type and location; have not been demonstrated for travel forecasting. For example, the Capital Area Metropolitan Planning Organization (Raleigh, NC) could not find a strong statistical correlation between land use and socioeconomic data available in GIS format and travel behavior (Parsons Transportation Group, 2000). While finding such relationships seems intuitively plausible, issues such as GIS and travel survey data availability, GIS data format and accessible statistical methods complicate the problem. The following literature review briefly describes NCDOT's use of GIS, Portland METRO's use of GIS, the CAMPO GIS study and alternative statistical methods for establishing relationships between GIS land use data and travel behavior data. The results of the literature review help establish the research approach that a subsequent chapter describes.

The motivation for the proposed trip generation project comes from the need to facilitate socioeconomic data collection, reduce its cost and improve its accuracy. The key technology that makes this project feasible is GIS – geographic information systems.

More and more NCDOT is using GIS to support decision-making. TransCAD, the primary NCDOT urban transportation planning software, has full GIS capabilities.

NCDOT also uses GIS to locate and describe highways and their features including signs, pavement conditions and accidents through the Linear Referencing System.

Review of Desirable GIS Model Characteristics

NCDOT Use of GIS

The GIS Unit at the NCDOT compiles environmental GIS data and supplements it with some field surveys of historic sites (FHWA, 1998b). Using relatively inexpensive commercial software like ArcView, engineers overlay GIS coverages on aerial photography to produce map-based data that are used for public hearings and as part of the approval process (FHWA, 1998b). This overlay technique is helpful in evaluating the different improvement scenarios as their effect on various environmental resources can be visualized.

Besides ArcView, NCDOT has adopted the network travel forecasting tool called TransCAD, which relies heavily on GIS data input and GIS graphical output. NCDOT is continuing to expand its GIS applications to traffic operations, safety and maintenance. As a result, the Federal Highway Administration Travel Model Improvement Program has recognized NCDOT's innovation in GIS by featuring the Statewide Planning Unit as one of six planning agencies that extensively uses GIS. In the report *Transportation Case Studies in GIS* the FHWA describes "NCDOT: Use of GIS to Support Environmental Analysis During System Planning". Of particular interest are the benefits and costs that accrue from using GIS (Table 2-1). NCDOT reports that GIS collection

and analysis of environmental data (which is similar to the process proposed for socioeconomic data in this report) is more efficient, quicker, less costly and improves the communication and consensus process between the Department, regulatory agencies and the public.

Table 2-1: NCDOT GIS Benefits and Costs on Selected Projects (FHWA, 1998b).

Project	Benefits		Costs
Halstead Blvd	-	Environmental Assessment (EA) reduced by 16 months.	- GIS data collection, 3 months.
	-	Cost savings $150,000.	- Cost $15,000.
Morganton Connector	-	Early consensus, minor EA not major EA.	- GIS documentation
	-	Cost savings $250,000.	- Cost $20,000

Portland Metro's GIS Database (FHWA, 1998a)

Portland Metro is the regional government and the MPO that serves 1.3 million people in Clackamas, Multnomah and Washington Counties in Oregon. Metro provides all of the urban transportation planning for the region. Metro is the leading user of GIS-T for transportation planning in the country. The Data Resources Center (DRC) is the in-house department that is responsible for gathering base year data, producing forecasts and managing the database and GIS.

Portland Metro is recognized for its innovations in using GIS for activity-based models such as Transims (Los Alamos, 1999). Of particular interest to this research project is the Portland Metro use of GIS to store data using households as the unit of analysis. While Portland Metro uses a more disaggregate model than NCDOT does, the GIS lessons learned and benefits accrued are important for this research and eventual application in

TransCAD. The benefits of storing both household and employment data at the disaggregate level are clear. When using TAZs as the unit of analysis, but storing data at the parcel level, it is simple to adjust TAZ boundaries when needed without concerns about losing data. Furthermore, data stored at the disaggregate level allows for data groupings other than standard TAZs (smaller TAZs can be created within a TAZ for smaller scale planning projects). Although the NCSU GIS database is stored in a polygon coverage based on parcels, a disaggregate format is maintained.

The GIS is known as the Regional Land Information System (RLIS). It stores 75 layers of demographic, employment, environmental and transportation data for the region in the form of polygon, arc and point coverages. The base maps and attribute data are continually updated and published quarterly in CD-ROM format. The GIS is maintained using ESRI's Arc/INFO software.

The Metro trip generation model uses disaggregate demographic data stored as point data records within the GIS. The point data represents separate survey data that have been geocoded to the address from which they were received. Regional disparities within travel analysis zones can then be taken into consideration during the trip generation phase of transportation planning. Employment information is also entered as point data within the GIS.

Metro decided that GIS would be an integral part of their planning process. They have invested a good deal of money to create and maintain such an elaborate database. Metro's "GIS-centric" approach to planning requires many resources to maintain it.

CAMPO Automated Data Summary

Closer to home, the Capital Area Metropolitan Planning Organization (CAMPO) has initiated an extensive GIS data collection effort. The project is called the Automated Data System (ADS) (CAMPO, 1999). Its goal is to capture in GIS format all public data that will support the land use and transportation planning efforts of municipalities in Wake County. Significantly for this research project, the data will include parcel information from tax records. Other data will include employment and income data, business locations by Standard Industrial Code (SIC), water and sewer billings, vehicle tax billings, etc. by address.

The CAMPO ADS study found a weak statistically significant relationship between property tax variables and household trip production rates. The study did show that household composition is the fundamental determinant of trip production and that land-use and dwelling unit characteristics were not reliable predictors of travel behavior (Parsons Transportation Group, 2000).

Methods of Analysis

The primary analytical tasks of this project are (1) to determine if GIS property tax records can be substituted for windshield survey household condition ratings and if so, (2) to accurately estimate the trip generation and network traffic in Pittsboro, the case study city. Task (2) will be accomplished using IDS and TransCAD as discussed previously. Task (1), however, requires selection of an appropriate statistical method.

For finding similar travel behavior relationships, the CAMPO study applied standard cross-classification and regression/ANOVA methods from commonly available software like spreadsheets, SAS and SPSS. Analysis was straightforward, though the results were not encouraging. Property tax data evaluated as possible causal variables included heated square footage, dwelling unit ownership status, type-and-use classification, number of rooms, acreage, appraised tax value, own or rent and type of home (Parsons Transportation Group, 2000). Heated square footage and type-and-use classifications have the strongest relationship to overall trip production.

Other more sophisticated statistical approaches exist for determining clustered relationships similar to those implied by the five standard IDS household conditions for trip generation. In one study, North Carolina State University (NCSU) and the National Institute of Statistical Sciences (NISS) examined relationships between air quality and a variety of variables including traffic descriptors, a site variable, and vehicle specific variables using a method called Classification and Regression Trees (CART) (Rouphail,

et al, 2000). The emissions estimates derived from CART were referred to as macro estimates. The model produced emissions estimates for clusters of vehicles that share common design characteristics. Presumably, a similar technique can be applied to predict HHC clusters that share common property tax characteristics.

Chapter Summary

As Table 2-1 shows, GIS has proven to be an effective tool for transportation planning at the NCDOT. For cost effective application of GIS to travel forecasting using IDS or similar trip generation models it is essential that GIS data be clustered in a manner consistent with the application of such models. For this project, a database similar to that of the Portland Metro MPO was used. Advanced statistical clustering methods were used instead of conventional spreadsheet methods as outlined above. The next chapter describes a methodology to cluster GIS-based property tax data and apply it to IDS trip generation.

3. A RESEARCH METHODOLOGY FOR TRIP GENERATION

The goal of the research project was to determine if property tax data could be used to replace the household condition (HHC) ratings derived from a windshield survey. In concept the research approach compared five categories of household condition ratings obtained with windshield surveys to statistically predict household condition ratings based on the GIS property tax data:

$$HHC_{predicted} = f \text{ (acreage, improvement value and land value)}$$

The predicted HHC ratings were not compared directly to the windshield HHC ratings because of their variability and subjectivity. Rather, predicted and actual HHCs were used in IDS and the TransCAD travel demand model forecasting process then the trip generation results of productions and attractions for each zone were compared and model trip assignments from each method were compared to ground counts. The rationale for this indirect comparison properly shifts the focus to trip generation results and validation of predicted traffic versus actual traffic.

This project began with selecting a case study town. The criteria for the case study town were that it had a relatively small population (less than 10 000), current property tax data available in a GIS format and current and reliable windshield survey data. Together with the NCDOT, NCSU chose Pittsboro, North Carolina as the case study based on the availability of data and the start date of field data collection that coincided with the start date of this project.

Figure 3-1 outlines subsequent steps involved in the analysis following the selection of the case study town. Data were collected and compiled into a GIS database. A polygon property tax database coverage from Chatham County was supplemented with household classification (HHCs) attributes for each parcel as evaluated during the windshield survey. A line coverage, provided by Chatham County, containing an attributed road network was also modified by adding additional attributes needed for the planning process. These include posted speed, ground counts and capacities.

The parcel level property tax database was then evaluated to determine which variables could be used to estimate the HHCs. NISS used land value, improvement value and deed acres as variables to classify the single-family dwelling unit parcels using various statistical techniques including linear discriminant analysis (LDA), classification and regression tree (CART) and *k-means* clustering.

The *k-means* clustering was selected as the best technique (justification provided in the following chapter) and reported cluster values were aggregated to the TAZ level and input in the CLUSTER scenario IDS file. A second scenario named the HHC scenario was also created which used the NCDOT windshield survey HHC classifications aggregated to the TAZ level.
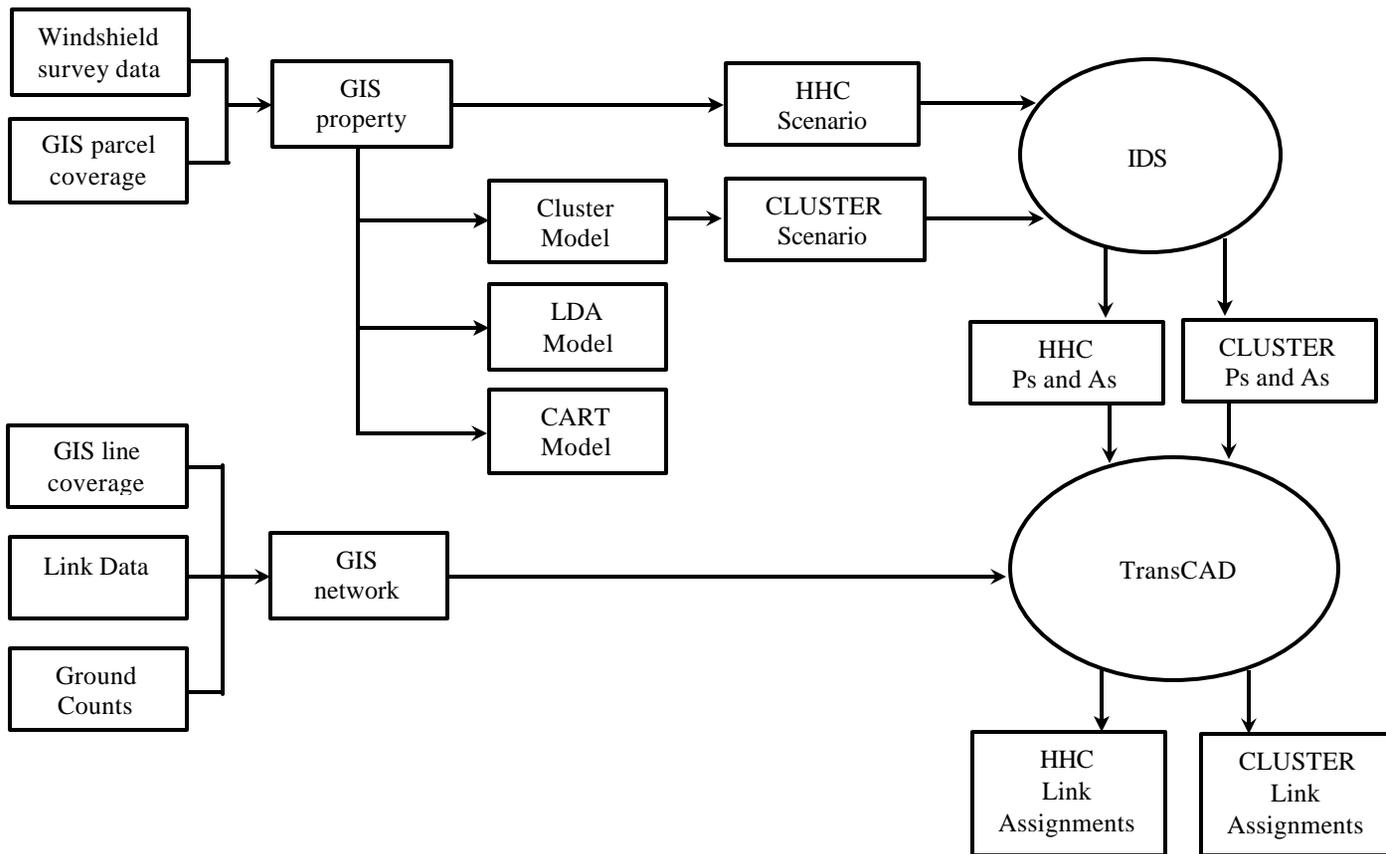
Figure 3-1: Methodological Flow Chart For the Research

The two scenarios were run through IDS and the resulting Ps and As were processed through trip distribution and trip assignment using TranCAD following the same procedures outlined in Appendix H. Comparisons were then made between Ps resulting from the two methods. Productions were held constant while balancing Ps and As and so the resulting As were likewise affected by the different methods used for categorizing dwelling units. Attractions were also compared between methods. Link assignments from each scenario can be compared to ground counts.

The overall general methodology for this project, as summarized above, was applied to the case study Town of Pittsboro. The following chapter details the case study and the findings.

4. HOUSEHOLD CONDITIONS BASED ON PROPERTY TAX

This project determined the relationships between household conditions based on windshield surveys and property tax data. The analysis used year 2000 property tax data and year 2000 windshield survey data for Pittsboro, NC.

The National Institute of Statistical Science (NISS) applied a statistical procedure called *K-means* clustering to perform the analysis. NISS used the clustering method to classify predictor variables in property tax data (acreage, land use value and improvement value) in an attempt to group the data into definable categories for trip rate assignment.

The methodology used by NISS for this portion of the project is outlined in the following section. Later sections detail each of the methodological steps and finally, results and conclusions round out the chapter.

Classification Methodology

Steps Involved:

1. Choose a subjectively selected subset of variables in the property tax data that are likely to be the most relevant in modeling HHCs. The variables for which data are only partially available, i.e., variables for which data are largely missing, are dropped from the subset.

2. Compute the remaining set of variables as all real-valued so correlation can be determined between every pair of variables. The final set of variables used for modeling are selected to minimize the number of missing values in the finally selected set and such that the correlation between the selected variables is as low as possible.

3. Perform linear discriminant analysis and statistical measures (tests) to verify the adequacy of the model. The fitted model is used to obtain predictions on the data set itself and the predictions are then compared with the windshield survey HHCs in order to check if the variables have any potential to serve as HHC predictors.

4. Use *K-means* clustering for classification. The number of clusters (K) for the data segments has to be specified in advance. The procedure is tried with K = 3,4,5,6,7,8,9,10,14 and visually inspected for each K. Finally K=7 is selected (i.e. divide the data into 7 clusters). Ideally, five clusters would be preferred to relate to the five traditional HHC categories.

Variable Selection

The primary focus of the analysis was to evaluate the capability of statistical models to predict HHC ratings using readily available property tax data as predictors. The property tax data consisted of several fields such as: tax value of the land, tax value of improvements, acreage, perimeter of parcel, name of institutional or commercial establishment, and so on. Such property tax data would replace currently assigned HHCs obtained by means of expensive, labor-intensive and subjective windshield surveys. The general strategy fit a statistical classifier model, using training data for a set of parcels in

Pittsboro with HHC ratings, along with the property tax data available for the parcels. (Note that such training data would require subsequent windshield surveys in other cities for other models. Hence, some windshield surveys would always be necessary with this approach). Then the strategy evaluated the classifier model ability to reproduce the assigned HHC numbers in Pittsboro as well as ascertaining its generalizability to other regions.

Preliminary exploration of the data revealed that:

- Several variables, *e.g.,* area of the parcel and tax value, were highly correlated and were essentially measures of the same latent feature of the parcel.

- Approximately 22.5% of the residential parcels were missing all or part of the year 2000 property tax data.

Exploratory data analysis (Breinman, *et al*, 1983) selected a subset of variables for model fitting such that the selected variables captured features of the parcel without redundancy and were also available in sufficient number of data records. Acreage, Land value and Land Improvement value were the variables used for model training. For technical reasons related to the class of fitted models, the discriminatory power of these variables, were enhanced if they were transformed to the logarithmic scale. The boxplots in Figure 4-1 show the values of these selected variables for each of the HHC categories. (The box indicates the range between which 50% of the data values lie; the horizontal line within each box is the median value.)
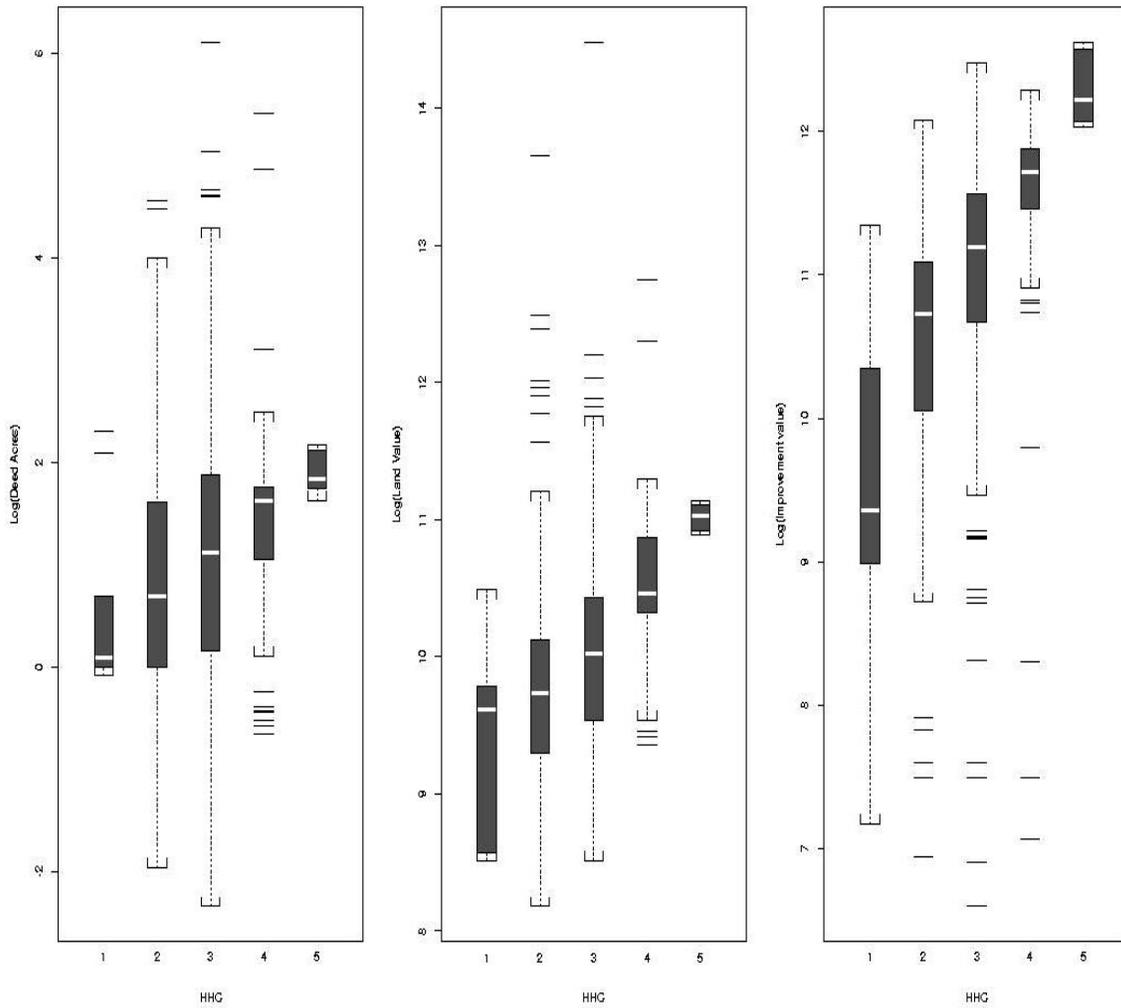
Figure 4-1: Distributions of the Predictors (log scale) for each HHC.

Clearly, the medians in Figure 4-1 indicate that there are systematic overall differences between households with different HHCs. However, the significant overlaps between the boxes also indicate that it will be difficult to train a statistical model to predict all of the HHCs with a low error rate. This difficulty is further evidenced in the pairwise

scatterplots shown in Figure 4-2, in which the distribution of values for each pair of predictor variables is displayed (color-coded according to their HHC).
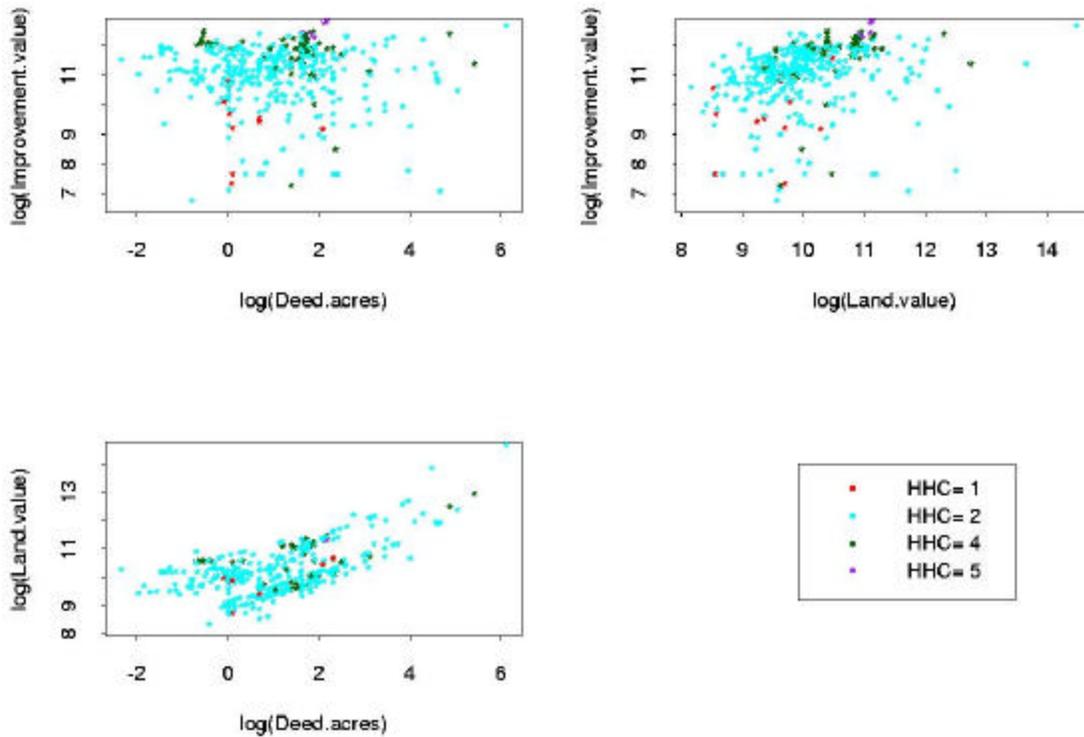
Figure 4-2: Pairwise Scatterplots of the Predictors.

Again, it seems clear that any model that attempts to classify HHC based solely on these predictor variables is unlikely to be accurate for the entire set of households. For example, while land value and deed acres show a clear trend as in Figure 4-1, there is much scatter with overlap and no obvious trends in improvement value versus deed acres and land value.

Classification Techniques

To overcome the problems illustrated in Figures 4-1 and 4-2, NISS attempted classification using a number of techniques including linear regression, classification trees, linear discriminant analysis and k-means clustering. The findings are summarized below.

Classification Tree

Tree-based modeling is widely used for classification problems. A tree model can be thought of as an optimal set of decision rules learned from a training data set that can be used to predict classes (HHC in the Pittsboro case) for a new set of predictor variables (the property tax data). For instance, a tree model fit to the Pittsboro data might yield rules such as: "If (`Acreage < a`) then predict `HHC = 1`; Else (If `Land_value < l` then `HHC = 2`; Else `HHC = 4`)." The set of rules can best be expressed in a logical tree structure. Several techniques exist for fitting tree-models [e.g., *CART* (Insightful Corporation) and *C4.5* (Quinlan, 1993)] that differ in the details of the rule learning algorithm, as well as the model parameters that can be set to determine the complexity of the tree (rule set).

In this research, NISS used the tree model facilities built into the *S-Plus* (Insightful Corporation). The tree results discussed below were unsatisfactory. Models that adequately reproduced the windshield survey HHCs were too complex and would be very unlikely to generalize well to other settings beyond Pittsboro; and conversely, the models that might be more generalizable, were poor predictors.

<u>Linear Discriminant Analysis</u>

Classification based on discriminant functions can be justified using different lines of reasoning (Ripley, 1996). In a situation where there are K classes to predict (k=5 HHC ratings for IDS), the training data learn K linear functions of the predictor variables as follows:

$$y_c(x_1, x_2, x_3) = a_{0c} + a_{1c}x_1 + a_{2c}x_2 + a_{3c}x_3 \quad \text{for } c = 1,2,..., K$$

Then the predicted $HHC = c$ for a household if $y_c(x_1, x_2, x_3) > y_j(x_1, x_2, x_3)$ for $j \neq c$.

This classification approach fit the linear discriminant model in *S-Plus* using software described in STATLIB. The resulting classifier was a little better than a tree-based classifier. NISS also attempted an extension of linear discriminants in which the discriminant function was quadratic in the predictor variables which gives a more flexible discriminant function with potentially better predictive capability. However, the quadratic model was worse than the linear fits.

Linear discriminant analysis provided a reliable means of classifying the Pittsboro data into HHC categories based on property tax information but sample HHC survey data must be available for subsequent study areas. There are a number of advantages and disadvantages to using this model.

Advantages:

- Uses well known HHC classification scheme;

- Will allow the use of traditionally prescribed trip rates for the five HHCs.

Disadvantages:

- Due to the subjective nature of the HHCs being predicted, it is unlikely that the Pittsboro model is transferable and the analysis has to be redone for each case city. That is, windshield survey data would be needed for each region to train the model. Therefore, the linear discriminant model does not eliminate windshield surveys and complicates the process.

Clustering of Households

The goal of the cluster analysis is to investigate if the property tax data itself can be used to segment the households into categories related to trip rates. If such a categorization can be done, NCDOT engineers can use the property tax profile as a surrogate for the HHCs and the engineers can assign trip-generation rates to the categories. It would then be possible to use the new categorization and circumvent the expensive and subjective HHC number assignments. The primary tools are statistical clustering methods (also known as unsupervised learning methods). Methods such as *k-means* can partition the data into clusters of households with similar property tax profiles.

This NISS approach used the simple, widely available technique of *k-means* clustering. In this method, the analyst first specified k, the number of clusters required. Then k households were chosen at random as representatives for each of the clusters and each household was assigned to the cluster nearest to it. Next, the representatives of each cluster were adjusted to the center (or "mean") of the cluster. The process is then

28

repeated with the new cluster representatives. Iterations continued until the clusters stabilized. The procedure was carried out in *S-Plus*. Several values of *k* were tried and the appropriateness of resulting clusters were evaluated using data plots of the clusters as well as the distribution of HHCs within each cluster. (Note that the HHCs windshield survey would not typically be available if the clustering method is used in place of a windshield survey. Here it is used for additional guidance in the exploratory investigation of the efficacy of the proposed technique). The clustering method finally settled on clusters with *k*=7. (Actually this corresponds to effectively five clusters, since two of the resulting seven clusters really represent outlying observations of Pittsboro properties.)

There are a number of advantages and disadvantages associated with the clustering method as well.

Advantages:

- Clusters are based on natural breaks in the data and are not predicted based on a model trained to simulate subjective HHCs;

- There is no need to collect the windshield survey data at all.

Disadvantages:

- A new clustering analysis would have to be performed for each new town;

- The clusters' properties would have to be evaluated each time to determine appropriate trip rates to assign to the clusters;

- IDS or TransCAD trip generation models would have to be re-written to accommodate cases where clusters are not the usual 5 clusters;

- NCDOT staff would require training in new statistical software.

Discussion of Findings

In the models fit by the analysis, a cross-validation procedure is performed to balance complexity versus generalizability. This trade-off is to some extent due to the inherent subjectivity of the HHC assignment. However, the primary reason for the limited predictive power of each of the classification tools is that the property tax data contain only part of the information used to assign HHCs. The surveyors in the field qualitatively incorporate several other items of information such as number of vehicles on the premises and neighborhood information in making a HHC assessment. This information is not captured in the property tax data. However, the concept of replacing HHC surveys by property tax data should not be abandoned if the base year traffic model estimates are comparable (as this research demonstrates).

A comparison of the various techniques (Table 4-1) show that although the *k-means* clustering model may be more difficult to perform, it is the only model that is transferable and the only model that eliminates windshield surveys.

Table 4-1: Comparison of Statistical Models Used to Classify Property Tax Data for Input into Trip Generation Model.

Model	Data Requirements	Ease of use	Transferability
CART	HHCs and property tax data	Advanced statistical techniques	No
LDA	HHCs and property tax data	Advanced statistical techniques	No
k-means Clustering	Property tax data	Advanced statistical techniques	Yes

Chapter Summary

The NCSU and NISS experiences with the classification and clustering analysis of the property-tax data suggest that statistical classifiers may be used for assigning HHC ratings to dwelling units based on property-tax data. Unfortunately, as seen in Figure 4-1 and Figure 4-2, the predictive accuracy of a model built solely from the property tax data is limited to the case study area. While it is possible to construct arbitrarily complex models that reproduce the HHCs for the case study training data exactly; it is unlikely that they would generalize to other urban study areas.

The *k-means* clustering classifier method, for property taxes and HHCs, may be about as accurate as windshield survey HHCs (as demonstrated in the subsequent case study). As generalizability is of great concern, the clustering approach for bypassing HHC assignments is promising as it relies on the natural breaks in the data and does not link classifications to existing data as the learned models do. HHC classification, in the field, is based on factors other than housing condition and perceived worth, hence, augmenting the property tax data with census data and car ownership data, may lead to more meaningful clusters that are more readily interpretable for assigning trip-generation rates.

Although using natural breaks in the data to cluster properties into uniform property tax groupings is promising, there are a number of drawbacks to this approach as well. First, a clustering will have to be performed for each city. This will involve statistical training for the NCDOT engineers responsible for modeling each town. Second, it will require

training NCDOT engineers in a new way of assigning trip rates as clusters may not follow the well known five category system used in the windshield survey method of data collection. It may take an experienced engineer to determine the proper trip rates to assign to each cluster. As with IDS trip generation a "seed set" of trip rates could be used to establish base year productions and attractions and resulting traffic assignments. Then during the base year calibration and validation phase of the model, the trip rates could be adjusted if necessary to help match model traffic assignments to actual ground counts. This follows current NCDOT practice. Third, IDS or a modified TransCAD "IDS" would have to be re-written for more or less than five clusters.

Pittsboro demonstrates the clustering method to generate input data for IDS. Each of the single-family dwelling unit parcels is classified in the GIS database using the clustering classifier. The four-step travel forecasting process is then carried out based on the pre-calibrated base year windshield survey data (HHC scenario) and then the pre-calibrated cluster data (CLUSTER scenario). The outputs of these two scenarios are compared for trip generation productions, attractions and assigned link volume to ground counts. The case study and results of the cluster analysis are described in the following chapter of this report.

5. THE PITTSBORO CASE STUDY

The Town of Pittsboro in Chatham County, North Carolina (Figure 5-1) is the case study

area. This town was chosen because it is a current NCDOT small urban study and it has

available GIS property tax data. The study area includes all parcels within a five-mile

radius of the town's central traffic circle (Figure 5-2).
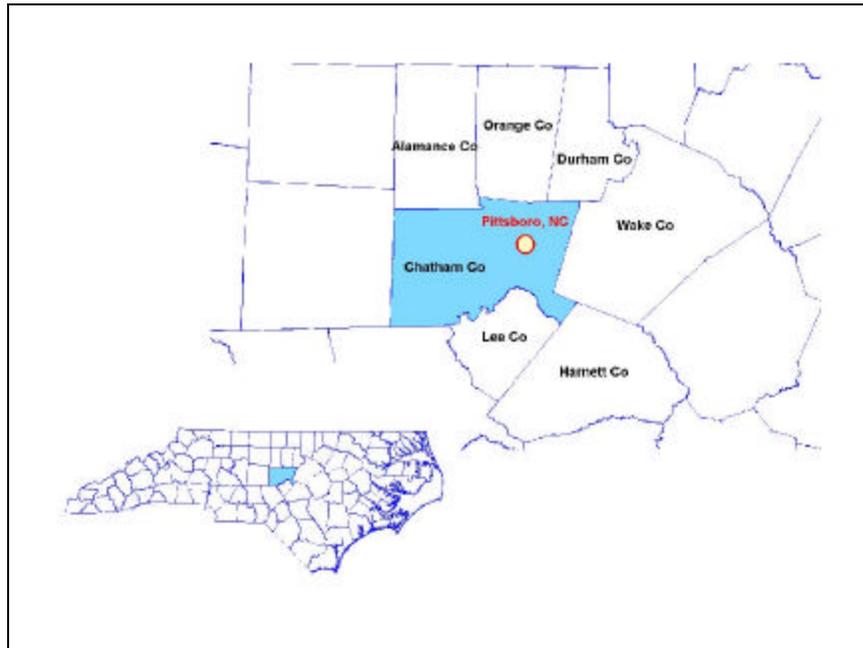
Figure 5-1: Vicinity Map of Pittsboro, NC (NTS) (Smithson, 2001)

Pittsboro Model Development

From August 2000 to May 2002, the NCDOT Statewide Planning Branch developed and

calibrated a base year transportation planning model for the Pittsboro area using HHC

and IDS as the tool for trip generation and TransCAD for trip distribution and

assignment. In September 2001, NCSU received an early version of the model. Before the model could be used in this research, NCSU had to make several adjustments.
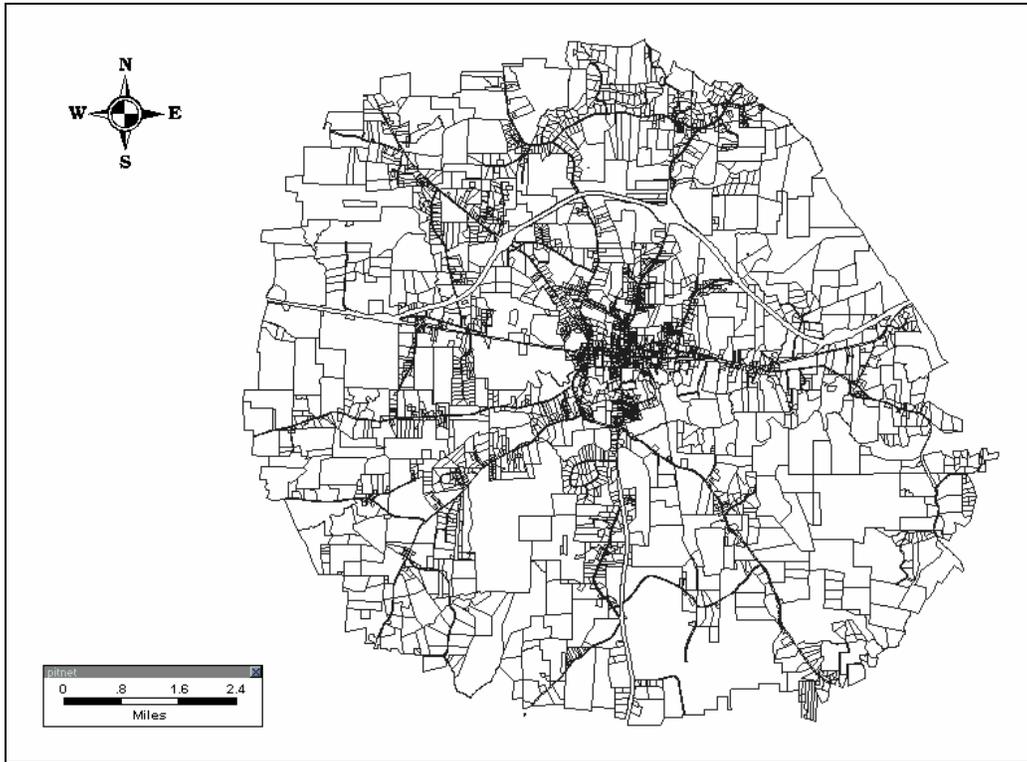
Figure 5-2: Pittsboro Study Area with Parcels and Right-of-Way.

The September 2001 NCDOT model for Pittsboro had several discrepancies. First, the IDS file contained non-reproducible values for non-home-based secondary (NHBS) trips. Second, several of the aggregated HHC numbers used in the IDS input file did not correspond to the numbers of households evaluated in the windshield survey and coded into the parcel level database. Numbers were inverted. Third, in calibrating the model, NCDOT made direct adjustments to IDS output zone productions and attractions rather than adjustments to IDS input trip generation rates. Fourth, the through trips calculated

in SYNTH by NCDOT used centroids 84-95 as the external stations. However, the original model had the external stations represented by centroids 85-96. Thus, joining the through trips matrix to the O-D matrix in trip distribution resulted in assignments to and from a "dummy" node (centroid 84) that did not exist.

To correct some of these errors, NCSU re-aggregated the HHC data and corrected input errors found in the IDS file. NCSU then re-calculated the values of NHBS using NCDOT methods and used the modified windshield survey data (Appendix A). The through trip matrix file was also re-created using the appropriate centroid numbers to represent the external stations. The un-calibrated Pittsboro travel model was used in subsequent steps in this project.

Base Year Data Collection

NCDOT conducted a windshield survey in Pittsboro, NC between August and October 2000. One engineer, with help from an engineering technician, evaluated 100% of the dwelling units for HHCs and recorded telephone interview data for all of the businesses within the study area. Data obtained from the HHC windshield survey and business interviews were then input into a GIS database.

IDS requires each dwelling unit in each TAZ to be categorized as either excellent, above average, average, below average, or poor. Categorizing the dwelling units in each TAZ is accomplished by the drive-by windshield survey. The drive-by windshield survey is conducted by driving by each parcel within the study area. If there is a building

improvement on the parcel, it is determined whether or not the use is residential. Residential uses include single detached housing (on-site construction and pre-fab housing) and all multi-family units (duplex, triplex, apartments, dormitories, etc.). If the building improvement on the parcel is residential, the parcel is then assigned a rating of either, excellent, above average, average, below average, or poor.

These ratings are measures of the trip-making propensity of each dwelling unit. It is up to the surveyor to determine the HHC rating for the dwelling unit. The surveyor assesses the dwelling unit based on a number of physical features: the apparent age and size of the house, its appearance (well maintained or not), number of vehicles garaged, any signs of children living in the house, and neighborhood appearance.

IDS uses the dwelling unit ratings to calculate productions by purpose including home-based work productions (HBWP), home-based other productions (HBOP) and non-home-based productions (NHBP). IDS uses the number of employers by employment category to calculate home-based work attractions (HBWA), home-based other attractions (HBOA) and non-home-based attractions (NHBA). Employment data is simultaneously collected during the drive-by windshield survey method. If the parcel being surveyed contains a business, the name of the business is noted. The local phone book is used to look up the telephone number of the business. NCDOT contacts each business by telephone and asks the nature of the business, number of employees and number of commercial vehicles operating out of that business. The type of business is needed in order to assign that business the appropriate Standard Industrial Classification (SIC) code

(Table 5-1). The assigned SIC code is then used to categorize the business into one of the five employment categories required for IDS. The five IDS employment categories are industrial, retail, highway retail, office, and service.

Table 5-1: Employment Categories by SIC Code (Smithson, 2001).

IDS Employment Categories	SIC Codes
Industry	1-49
Retail	55,58
HwyRetail	50-54,56,57,59
Office	60-67, 91-97
Service	70-76, 78-89, 99

During the August to October 2000 windshield survey, over 4000 parcels were surveyed resulting in the rating of 2385 households (Figure 5-3) and the categorization of 2,664 employees by their employment type.

Pittsboro GIS Database

Four primary databases containing socio-economic data were used for this project:

- 1993 Property Tax Data (Chatham County, GIS Department);

- 2000 Property Tax Data (Chatham County, GIS Department);

- Parcel Database (developed by NCSU);

- TAZ Database (developed by NCDOT).
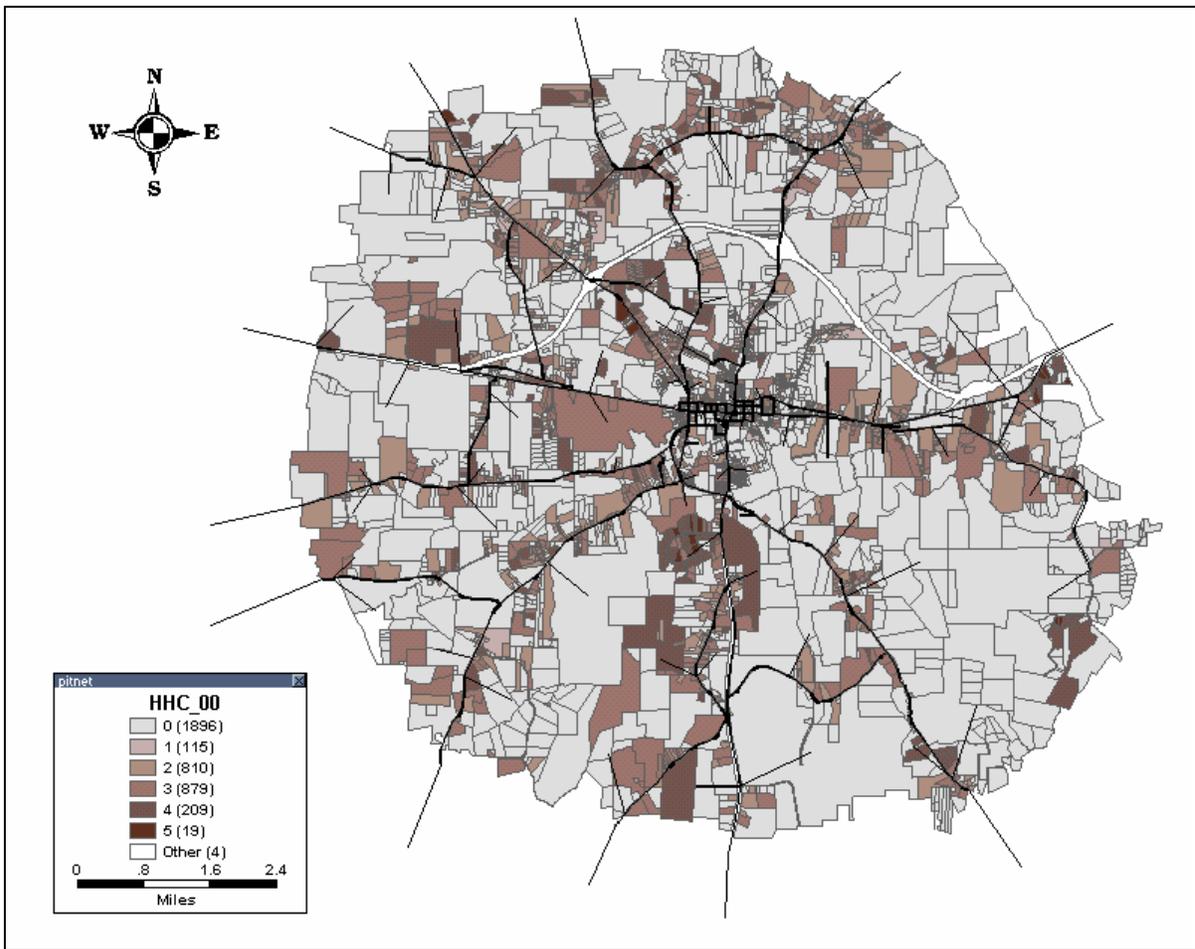
Figure 5-3: Household Ratings by Parcel.

Parcel Level Database

Chatham County provided the 1993 Property Tax Database. This database contains the geographic delineation and information or attributes pertaining to each parcel within the study area. This database provides the foundation for development of the Parcel Database used in TransCAD. The Chatham County database also contains many attributes not necessary for the model. These fields were deleted to reduce the size of the

database. Examples of fields dropped are owner's name, owner's address, 1993 land value, 1993 improvement value and certain fields used for reference by Chatham County. Fields or attributes that were kept include parcel identification numbers (PINs), acreage, land tax value, improvement tax value, and land use. Chatham County property tax examiners re-evaluated land parcels in 2000 for property tax purposes. These were stored in a database and merged to the 1993 Chatham County Property Tax Database. Only tax values obtained in the 2000 tax assessment were used for this project.

The Parcel Database was created by adding fields for household condition ratings and assigned TAZs to the edited Chatham County database described in the previous paragraph. Additional fields are described below. Appendix B provides a sample of the Parcel database.

Area   Area of Parcel

Perimeter   Perimeter of Parcel

PIN   Parcel Identification Number

Land_FMV   Tax value of land (year 2000)

IMPR _FM   Tax value of Improvement (year 2000)

DEED_A   Acreage of parcel

LU_Parcel   Land use or type of property

TAZ_00   Assigned TAZ

HHC_00   2000 Household condition Rating

HHC_95   1995 Household condition Rating

TAZ_95   TAZ number for Regional Model

MTAZ   Census TAZ number used in Regional Model

Aggregated TAZ Level Database

The TAZ Database is then created after completion of the Parcel Database described above. Essentially, each parcel within the Parcel Database with the same TAZ assignment are merged into one polygon. The single-family dwelling units per household condition rating are aggregated at the zonal level and entered into the database. Employment data is then added to each TAZ. As with the household condition ratings, employment data is entered by type for each TAZ. The TAZ database attribute fields are described below. Appendix C gives a sample of the TAZ database.

ID   Record ID (produced by TransCAD

PITTTAZ_00   Pittsboro TAZ number

INDEMP   Number of employees in Industrial employment

RETEMP   Number of employees in Retail employment

HWYEMP   Number of employees in Highway Retail employment

OFFEMP   Number of employees in Office employment

TOTEMP   Total number of employees in TAZ

HH1   Number of households with a POOR rating in TAZ

HH2   Number of households with a BELOW AVERAGE rating in TAZ

HH3   Number of households with an AVERAGE rating in TAZ

HH4   Number of households with an ABOVE AVERAGE rating in TAZ

HH5   Number of households with an EXCELLENT rating in TAZ

TOTHH   Total number of households in TAZ

Network Database

The network database was supplied in a line coverage from NCDOT. The NCDOT line files are not sufficient for travel demand modeling purposes.   NCDOT line files only contain the coordinates of the endpoints that define each link, the length of the link, and street name. The NCDOT street database (shown in Appendix D) is thus expanded to include speed, time, link-type, and capacity. Speed limits and roadway cross-sections were gathered from field surveys in Pittsboro. Link travel time is a function of length and speed and the "time" column in the street database is filled with the following formula: length/speed*60. The result is travel time in minutes for each link. The "link-type" column contains link codes based on link classifications or categories (i.e. centroid connectors). Link capacity depends upon a number of physical features of a roadway such as shoulder widths, lane widths, number of lanes in each direction, and speed limits.

Internal Data Summary

The NCDOT uses an in-house program called IDS for the trip generation phase of the four step planning process, discussed in the Introduction of this report. The inputs into IDS are trip rates, dwelling unit data aggregated to the TAZ level, NHBS trips and aggregated employment data based on SICs for each TAZ.

Two different TAZ database files were created and used as input into IDS (Appendix F) to estimate the balanced productions and attractions. The data files differ only in the data used as ratings for the dwelling units and the calculated NHBS trips. All group dwelling unit data, employment data, external station data and trip rates (Table 5-2) are the same for the two scenarios.

Table 5-2: IDS Daily Vehicle Trip Generation Rates by Household Condition Used in Pittsboro Study (Smithson, 2001).

Household Condition	Excellent	Above Average	Average	Below Average	Poor
Trip Rate	12.0	10.0	8.0	7.0	5.0

The scenarios are as defined below:

1. HHC: The data model used year 2000 windshield survey data for the household condition ratings 1 through 5, aggregated at the TAZ level. This input file varied from the NCDOT base year model in the number of NHBS trips and the modification of aggregated HHC numbers for some TAZs that did not correspond to the numbers coded into the parcel database file. This adjustment corrected the coding errors discussed earlier.

2. CLUSTER: This data model used NISS predicted clusters aggregated to the TAZ level. The two outlying clusters that contained two parcels each were added into the preceding clusters. There were a number of parcels that could not be evaluated using the NISS clustering model. Of the 2386 dwelling units evaluated by the NCDOT in the windshield survey, NCSU researchers were not able to classify 536 of them, using the NISS classifier. The three main reasons why a property was not classified are:

  - More than one dwelling unit on a parcel;

- Missing land value from property tax record; and

- No property tax data available for the parcel.

Those parcels that contained a single-family dwelling unit and had all of the property tax data were evaluated using the NISS classifier. For those properties that had more than one dwelling unit on it, the additional dwelling units were assigned the same cluster value as that predicted for the parcel using the NISS classifier. For the parcels with missing property tax data, the dwelling units were evaluated based on the distribution of dwelling units among clusters in that TAZ. For example, a TAZ with twenty missing dwelling units and with the following distribution:

- 20% in cluster A

- 50% in cluster B

- 30% in cluster D

the twenty missing dwelling units were be assigned as follows: ten of the missing dwelling units are assigned to cluster B, four to cluster A and six to cluster D.

Each of the two models (Appendix E and Appendix F) were run through IDS. The productions for the two methods were compared to one another using the statistical procedures outlined in the following section. Attractions were compared in a similar manner. Trip distribution and assignment were carried out using the same procedures used by the NCDOT in the base year analysis of Pittsboro (Appendix G).

Statistical Comparisons

The un-calibrated productions from the HHC scenario IDS output file were compared to the IDS productions from the CLUSTER scenario. Comparisons were made at the zonal level for each trip purpose. Attractions were compared in a similar manner. The comparisons used un-calibrated productions and attractions because the un-calibrated values are input for trip distribution and subsequently for traffic assignment. Only when estimated link volumes are available for validation against base year ground counts are trip generation model trip rates adjusted and Ps and As re-calculated and the model rerun until estimated link volumes approximate ground counts.

Assuming the zonal productions from two different methods are a paired sample, the differences between trips produced by each zone are calculated. The resulting differences for each zone become a single sample of differences about which inferences can be made. Differences in productions for each trip purpose and differences in attractions for each trip purpose were calculated individually. The null hypothesis is that there is no difference between productions or attractions resulting from the input HHC and CLUSTER data. Therefore, the mean of the sample of differences is compared to an expected mean (μ_D) of zero using a one sample t-test (Equation 5-1).

$$t_{calc} = \frac{\overline{D} - \boldsymbol{m}_D}{S_D / \sqrt{n}}$$

Equation 5-1 (Raos, 1998)

Where: t_{calc} = calculated t statistic;

$\overline{D}$ = mean of paired sample differences,

μ_D = expected mean of paired sample differences. If no difference exists, $\mu_D = 0$,

S_D = standard deviation of differences between paired samples,

n = number of differences between paired samples.

By comparing t_{calc} values to the published t value at a significance level of 0.05 and degrees of freedom n-1, the null hypothesis, H_0: $\mu_D = 0$, is rejected in favor of the alternative hypothesis, H_1: $\mu_D \neq 0$, in cases where $t_{calc} < -t$ (73,0.025) or when $t_{calc} > t$ (73,0.025).

Link assignments for both the un-calibrated HHC and CLUSTER models were compared to one another and then to ground counts using the same statistical procedure as used for productions and attractions.

Percent difference between ground count and link flow assignments is the usual comparison used by the NCDOT when evaluating the model. Similar comparisons are also made for the two models to determine if the model assignments are within acceptable ranges for the NCDOT.

Results

The CLUSTER model does not compare well statistically, to the un-calibrated HHC base year model for total productions or for total attractions as seen in Table 5-3. This

suggests that at 95% confidence, the HHC and CLUSTER productions are not the same. The same difference is also true for the attractions. Appendix H shows the calculations for the statistical analysis of productions and attractions between scenarios.

Table 5-3: Results of the Comparison of Total Productions and Total Attractions Between Models.

	Mean, μ_D	Standard Deviation, S_D	t_{calc}	$t(df, \alpha/2)$ $\alpha=0.05$ df=73	Accept or Reject H_0
HHC vs. CLUSTER Productions	14.91	31.60	4.06	±2.00	Reject
HHC vs. CLUSTER Attractions	14.81	29.36	4.34	±2.00	Reject

The models are also compared at the trip purpose level. Statistical comparisons of the HHC and CLUSTER model are summarized in Table 5-4 and calculations are found in Appendix I. Differences at a 95% confidence level are noted between productions for the HHC and CLUSTER models for all of the trip purposes. The same is noted for differences in attractions between models.

The mean difference between productions for the HBW and NHB trip purposes are quite low and are seen in Table 5-4. The mean difference for the HBW is 3.69 productions per TAZ between the two models and 2.76 for the NHB productions. In practical application of the trip generation model these differences are negligible.

Table 5-5 shows the entire set of productions by model and TAZ as well as the differences between models by trip purpose. Differences range between -26 to 42 productions for the HBW and 0 to 25 for NBH productions (CLUSTER – HHC). For 13

of the 74 TAZs HHC productions are higher than CLUSTER HBW productions; for 8 TAZs there is no difference between model HBW productions and for 53 TAZs, the CLUSTER model yields higher HBW productions than the HHC model. For half of the TAZs, the CLUSTER model and HHC model yield the same results for NHB productions. For the remaining 37 TAZs, the CLUSTER model over estimates the productions. HBO differences show a little more variability and a higher mean difference of productions between models, with differences range from -58 to 96.

Table 5-4: Results of the Comparison Between the HHC Model and the CLUSTER Model by Trip Purpose.

Trip Purpose	Mean, μ_D	Standard Deviation, S_D	T_{calc}	$t(df, \alpha/2)$	Accept or Reject H_0
Home-based Work Productions	3.69	8.94	3.55	±2.00	Reject
Home-based Other Productions	8.46	20.33	3.58	±2.00	Reject
Non-Home-Based Productions	2.76	5.68	4.18	±2.00	Reject
Home-based Work Attractions	3.66	9.11	3.45	±2.00	Reject
Home-based Other Attractions	8.36	17.85	4.03	±2.00	Reject
Non-Home-Based Attractions	2.79	5.79	4.15	±2.00	Reject

The external trips are not influenced by the household condition ratings of parcels within the planning area or by the clusters and are not in the IDS file. Productions and attractions for external trips thus remain the same regardless of scenario. They are not compared in this analysis.

Table 5-5: Production Results and Differences Between the HHC Model and the CLUSTER Model by Trip Purpose.

TAZ	HHC			CLUSTER			CLUSTER-HHC		
	HBW	HBO	NHB	HBW	HBO	NHB	HBW	HBO	NHB
1	33	76	19	46	105	20	13	29	1
2	24	54	7	24	55	7	0	1	0
3	71	161	106	77	176	107	6	15	1
4	0	0	0	0	0	0	0	0	0
5	55	125	27	71	162	28	16	37	1
6	141	320	133	154	350	136	13	30	3
7	95	217	968	106	242	984	11	25	16
8	138	313	925	180	409	941	42	96	16
9	2	4	51	1	3	52	-1	-1	1
10	37	84	7	41	93	7	4	9	0
11	44	100	12	51	115	12	7	15	0
12	31	71	7	33	76	7	2	5	0
13	95	217	192	91	207	196	-4	-10	4
14	139	317	788	150	341	801	11	24	13
15	62	140	513	69	158	522	7	18	9
16	90	206	27	110	251	28	20	45	1
17	81	184	780	95	217	794	14	33	14
18	13	30	12	11	25	12	-2	-5	0
19	55	126	651	49	111	662	-6	-15	11
20	225	511	659	254	577	670	29	66	11
21	64	145	82	64	147	84	0	2	2
22	49	112	157	69	157	160	20	45	3
23	48	109	317	45	103	323	-3	-6	6
24	44	99	748	49	111	761	5	12	13
25	24	56	784	27	61	798	3	5	14
26	41	94	369	48	110	375	7	16	6
27	2	6	0	3	7	0	1	1	0
28	86	195	66	92	209	67	6	14	1
29	4	10	0	4	10	0	0	0	0
30	85	193	91	90	205	92	5	12	1
31	8	19	513	8	19	522	0	0	9
32	35	79	670	39	89	682	4	10	12
33	28	63	1066	34	77	1084	6	14	18
34	61	139	1457	76	173	1482	15	34	25
35	22	51	686	19	44	698	-3	-7	12
36	34	78	43	40	90	44	6	12	1
37	10	24	0	12	27	0	2	3	0
38	7	15	0	9	21	0	2	6	0
39	31	70	7	35	79	7	4	9	0
40	53	121	12	51	116	12	-2	-5	0
41	15	34	4	20	46	4	5	12	0
42	36	81	7	42	96	7	6	15	0

Table 5-5: Production Results and Differences Between the HHC Model and the CLUSTER Model by Trip Purpose, continued.

TAZ	HHC			CLUSTER			CLUSTER-HHC		
	HBW	HBO	NHB	HBW	HBO	NHB	HBW	HBO	NHB
43	31	71	7	30	68	7	-1	-3	0
44	12	27	659	13	30	670	1	3	11
45	25	58	329	26	58	335	1	0	6
46	78	177	561	97	221	571	19	44	10
47	19	43	4	16	37	4	-3	-6	0
48	50	115	12	45	103	12	-5	-12	0
49	0	0	46	0	0	48	0	0	2
50	26	59	7	31	71	7	5	12	0
51	6	13	0	7	15	0	1	2	0
52	147	334	43	174	395	44	27	61	1
53	13	31	4	15	35	4	2	4	0
54	6	13	392	6	13	398	0	0	6
55	7	15	0	8	19	0	1	4	0
56	17	39	4	19	44	4	2	5	0
57	23	53	4	26	59	4	3	6	0
58	22	51	4	21	47	4	-1	-4	0
59	0	0	0	0	0	0	0	0	0
60	23	53	7	33	76	7	10	23	0
61	36	82	7	42	96	7	6	14	0
62	77	175	16	51	117	17	-26	-58	1
63	13	30	4	15	35	4	2	5	0
64	35	79	7	45	102	7	10	23	0
65	47	107	32	57	129	32	10	22	0
66	26	60	32	31	71	32	5	11	0
67	35	80	98	41	94	100	6	14	2
68	26	59	4	27	62	4	1	3	0
69	14	31	4	16	37	4	2	6	0
70	8	18	0	9	21	0	1	3	0
71	16	37	12	19	43	12	3	6	0
72	16	37	4	18	41	4	2	4	0
73	20	46	4	24	55	4	4	9	0
74	57	129	16	52	119	17	-5	-10	1

While the statistical tests directly compare the estimates of Ps and As by the HHC and CLUSTER methods, the ultimate validation of the base year model for the study area is how well it duplicates ground counts. Thus, a test can be performed for ground counts versus estimated traffic flow. If that overall model test yields positive results, discrepancies in Ps and As (Tables 5-3, 5-4 and 5-5) may be downplayed.

The traditional way in which the NCDOT compares ground counts to estimated flows is in keeping with the J. Robbins (1978) estimates of accuracy of travel demand forecasting parameters. Table 5-6 summarizes the results of the comparison of flows from the different scenarios to the ground counts. Table 5-6 shows that the flows estimated using the CLUSTER method are quite similar to those obtained using the HHC method.

Table 5-6: Results of the Comparison Between Link Assignments for the HHC Unadjusted, CLUSTER and Ground Counts.

	Mean, μ_D	Standard Deviation, S_D	t_{calc}	t(df, $\alpha/2$)	Mean % Difference in Flows	Number of Links' Flows Within Acceptable Error*	Ground Count: Model Flows Ratio
Ground Counts Vs. CLUSTER	910.0	1981.1	3.44	±2.00	25.37	14/56	0.85
Ground Counts Vs. HHC	830.2	1922.3	3.23	±2.00	28.81	14/56	0.84

* Robbins, J. (1978). Mathematical Models -the Error of Our Ways. *Traffic Engineering & Control*, Vol. 18(1).

The mean percent difference between ground counts and the two scenario flows are within ± 29%. The CLUSTER model results in a lower mean percent difference between ground counts and flows than does the HHC model. The CLUSTER model also shows a "Ground Count: Model Flows" ratio slightly closer to unity than the HHC model. The

number of links within acceptable percent error range is the same for both scenarios (Table 5-6). The acceptable percent difference between ground count and estimated link volumes depends on the functional class of the roadway and can be as large as 100% for certain local roadways.

Figure 5-5 shows the flows that result from using the CLUSTER method for determining input into the IDS model used for trip generation in the four step planning process. Figure 5-6 shows the flows derived from using the HHCs from windshield surveys in the IDS model. Note that the loaded networks are very similar for the two methods.
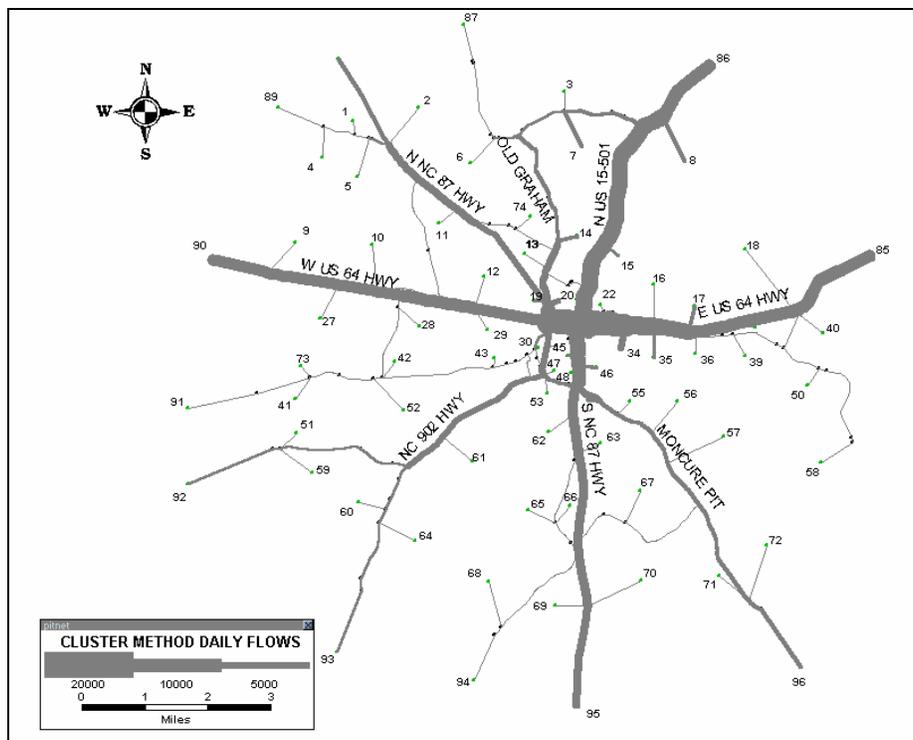
Figure 5-5: CLUSTER method daily flow.
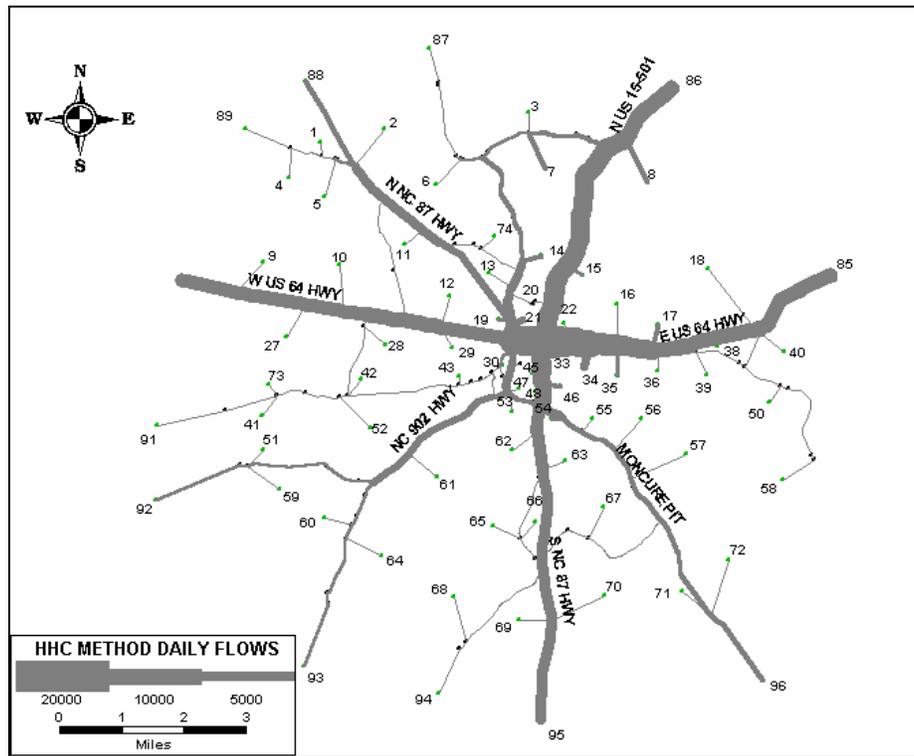
Figure 5-6: HHC method daily flow.

Discussion

The analysis of productions and attractions reveals that the CLUSTER model does not compare well to the HHC model for overall productions or overall attractions at the 95% confidence level. When looking at the models in detail, it appears that the CLUSTER model has a lower t_{calc} for HBWP, HBWA and HBOP than for the other trip purposes. The highest mean differences between scenario productions and attractions are found for the HBO trip purpose. HBO trips also show the greatest variations in differences for both productions and attractions. The CLUSTER model results in Ps, As and estimated flows that are less than those produced using the windshield survey data. The two methods of

52

trip generation data input result in Ps and As that are statistically different between models at the 95% confidence level.

The mean difference between productions for the HBW and NHB trip purposes are quite low. The mean difference for the HBW is 3.69 productions per TAZ between the two models and 2.76 for the NHB productions. In practical application of the trip generation model these differences are negligible. The same trend is documented for the attractions.

Both methods result in traffic flows that are statistically different from ground counts at the 95% confidence level. A comparison of the un-calibrated HHC and the CLUSTER models shows a mean percent difference between ground counts and link assignments greater than 25% which is well above the acceptable limits for calibrated NCDOT models. Mean percent differences between ground counts and flows for the HHC model are greater than that found using the CLUSTER model. The CLUSTER model also results in a slightly better ground count to flow ratio than does the HHC model. Both models have the same 26 links with flow rate error within acceptable ranges. These results indicate that the flows derived using the CLUSTER method are no less accurate than those obtained using the HHC model. Statistical differences between CLUSTER model flows and ground counts are likely an issue that can be dealt with in the calibration phase of modeling. If the HHC model can be calibrated then the CLUSTER model should also be able to be calibrated and percent differences brought within acceptable limits. **This indicates that CLUSTER model data, based on GIS property tax information, is no less accurate an input to IDS than is the windshield survey data,**

and that the CLUSTER model data can be appropriately calibrated to ground counts.

A major benefit of using the CLUSTER model is the time and costs savings. The windshield survey of Pittsboro took 104 person-hours to complete the 100% evaluation of households. Obtaining the GIS data from Chatham County required no more than a 10-minute telephone conversation, but the data did require some data cleansing before applying the NISS clustering method. The NISS clustering model is not very straightforward and requires significant statistical knowledge to be able to apply it to a GIS property tax data set. Total classification with the CLUSTER method, including data cleansing, would require 8 to 16 person-hours (once the procedure is understood). When compared to the 104 hours required to complete a windshield survey, the CLUSTER model takes only 15% of the time to implement. Table 5-7 summarizes the time-savings that can be achieved using the CLUSTER method for classifying single family dwelling units.

Table 5-7: Required Data Compilation Time for the HHC and CLUSTER Methods.

Model	Windshield Survey for SFDU	Windshield Survey Time for SFDU	Clustering Classification	Clustering Classification Time	Total Time For Data Compilation
HHC	Yes – 100%	104 hrs	No	0 hrs	104 hrs
CLUSTER	No	0 hrs	Yes – 100%	16 hrs	16 hrs

Chapter Summary

Based on the Pittsboro case study, the CLUSTER model used to evaluate property tax data looks promising in terms of accuracy, reproducibility and time-savings. The major

drawback is in the statistical expertise required to implement the procedure for each city

or town. Statistical training and appropriate software like the public domain *R-Project*

are essential for NCDOT staff to apply the method.

6. CONCLUSIONS AND RECOMMENDATIONS

Statistical Classification

This project developed a method for grouping and classifying GIS based property tax data into categories for use in the IDS trip generation model. NISS determined that deed acres, improvement value and land value were the three best predictors of household condition in the Pittsboro case study. Using these three variables, NISS carefully reviewed the various statistical techniques (LDA, CART and *k-means* clustering) available for this type of categorization. NISS found that models that adequately reproduced the windshield survey HHCs were too complex and would be very unlikely to generalize well to other settings beyond Pittsboro; and conversely, the models that might be more generalizable, were poor predictors. NISS selected the *k-means* clustering method for the reasons outlined below. NISS used the statistical package called *S-Plus*, however, NCDOT should consider the public domain package *R-Project* for clustering.

The *k-means* method groups properties into clusters based on natural breaks in the data. Clusters are assigned to properties based on the statistical similarity between the property tax characteristics of the land parcels. Parcels with similar characteristics are grouped into the same cluster. The clusters are used instead of HHC ratings for single family dwelling units for the purpose of trip generation. The advantages of this method are that:

- Properties can be assigned cluster values without the subjective evaluation of the HHC surveyor. Once the clusters are established, appropriate trip rates can be applied.

- Clusters do not have to follow the 5 HHC categories of IDS.

- Clusters are not based on HHC ratings as is the case with the CART and LDA approaches.

- Clustering does not require any windshield survey to be done.

The disadvantage to the *k-means* clustering approach is that a new clustering would have to be performed for each city. The NCDOT would have to train some of their employees to carry out the analysis.

Using HHC as a means of predicting the trip making propensity of the people in a dwelling unit is time consuming and costly. NISS's suggested use of property tax data clusters is promising in that it allows the natural breaks in the data to be recognized and used for classification. Replicating a subjective HHC rating system based on windshield surveys is not be the best approach to classifying households.

One of the challenges of the statistical analysis is to balance complexity versus generalizability of the clustering model. In doing so, the predictive power of the classification tool is often limited. In this case, the limitation was to some extent due to the inherent subjectivity of the HHC assignment. However, the primary reason for the limited predictive power of each of the classification tools is that the property tax data

contain only part of the information used to assign HHCs. The surveyors in the field incorporate several other items of information such as number of vehicles on the premises and neighborhood information in making a HHC assessment. This extra information is not adequately captured in the property tax data and could help to increase the predictive power of the *k-mean* clustering model.

GIS Prope rty Tax Database

In order to use property GIS based property tax data in a meaningful way for trip generation purposes, it is essential to design a database that incorporates all of the necessary attributes. NISS discovered a number of parcels that were missing part or all of the property tax data required (deed acres, improvement value and land value) to classify the parcels using either of the statistical procedure identified. These missing data (536 out of 2386 parcels did not have complete data) could be one reason that the trip generation results from the CLUSTER model did not compare well to the results of the HHC model.

Data compilation would be facilitated if there were statewide GIS standards for coding parcel information (PINs, etc.). A standard format is essential for joining information from external databases into the GIS parcel layer.

Maintaining a parcel level database file for each study area is essential. It allows planners to adjust TAZs boundaries as conditions change. TAZ level database files can be built in TransCAD based on the TAZ field in the parcel level database.

Recommended fields to include in a parcel level database that is to be used for clustering are as follows:

Area   Area of Parcel

Perimeter   Perimeter of Parcel

PIN   Parcel Identification Number

Land_FMV   Tax value of land (base year)

IMPR _FM   Tax value of Improvement (base year)

DEED_A   Acreage of parcel

LU_Parcel   Land use or type of property

TAZ   Assigned TAZ

MTAZ   Census TAZ number used in Regional Model

INDEMP   Number of employees in Industrial employment

RETEMP   Number of employees in Retail employment

HWYEMP   Number of employees in Highway Retail employment

OFFEMP   Number of employees in Office employment

CLUSTER1   Number of households in the first cluster on parcel

CLUSTER2   Number of households second cluster on parcel

CLUSTER3   Number of households in third cluster on parcel

CLUSTER4   Number of households in fourth cluster on parcel

CLUSTER5   Number of households in fifth cluster on parcel (incorporate additional

                fields for study areas with more than 5 clusters)

The Pittsboro Case Study

This project applies a statistical classification method to the case study Town of Pittsboro. Both standard HHC input data and CLUSTER data were used in the travel demand model for Pittsboro.

The two methods result in traffic flows that are statistically different from ground counts at the 95% confidence level. A comparison of the un-calibrated HHC and the CLUSTER models shows a mean percent difference between ground counts and link assignments greater than 25% which is above the acceptable limits for calibrated NCDOT models. Mean percent difference between ground count and flows for the HHC model is greater than that found using the CLUSTER model. The CLUSTER model also results in a slightly better ground count to flow ratio than does the HHC model. Both models have the same 26 links with flow error within acceptable ranges. These results indicate that the flows derived using the CLUSTER method are no less accurate than those obtained using the HHC model. Statistical differences between CLUSTER model flows and ground counts are likely an issue that can be dealt with in the calibration phase of modeling. If the HHC model can be calibrated then the CLUSTER model should also be able to be calibrated and percent differences brought within acceptable limits. This indicates that CLUSTER model data, based on GIS property tax information, is no less accurate an input to IDS than is the windshield survey data.

The benefits of using the CLUSTER model is the time-savings associated with its use. The windshield survey of Pittsboro took 104 person-hours to complete the 100%

evaluation of households. Obtaining the GIS data from Chatham County required no more than a 10-minute telephone conversation but did require some data cleansing efforts before applying the NISS clustering method. The NISS clustering model is not very straightforward and requires statistical knowledge to be able to apply it to a GIS property tax data set. Total classification with the CLUSTER method, including data cleansing, would require 8-16 person-hours (once the procedure is understood). When compared to the 104 hours required to complete a windshield survey, the CLUSTER model takes only 15% of the time to implement.

The CLUSTER model used to evaluate property tax data looks promising in terms of time-savings. The major drawback is in the statistical training required to implement the procedure for each city or town. Another case study should be performed to test the transferability of the clustering approach.

Summary Recommendations

The specific recommendations for NCDOT, resulting from this project follow:

1. Test the use of GIS based property tax data in another North Carolina city.

2. Enrich the property data with other data like vehicle ownership and census data to enhance the predictive power of the *k-means* clustering classification tool.

3. Conduct the comparisons of productions, attractions and link volumes on calibrated trip generation models, as well as un-calibrated models.

4. Obtain software and tutorial guides so that NCDOT staff can become familiar with *k-means* clustering. *R-Project* may be a source of information.

5. Contact county tax departments and discuss data format and data items that are needed for travel forecasting and compare them to developing NCDOT standards.

6. Establish a statewide database definition for all parcel level GIS coverages and encourage state and municipal organizations to adopt it.

Recommended Methodology for Use of Clustering

In order to use the clustering method in travel demand modeling there are several steps to carry out. The following is a general recommended methodology for using cluster data in place of windshield survey input data for trip generation.

1. Obtain countywide GIS cadastral coverage from the county GIS department.

2. Determine the extent of the study area and clip the county cadastral layer to include only parcels within that boundary.

3. Obtain current property tax data including land value, improvement value and deed acres (if not already included in cadastral coverage) and adjust to current year values.

4. Determine which records in the database file represent single family dwelling units. Create a selection set containing the single family dwelling units and convert that to a new database file. Make adjustments for group quarters.

5. Take the new database file and determine which of the records contain all of the required property tax data (land value, deed acres and improvement value). Eliminate those that are missing data.

6. Using statistical software, apply the *k-means* clustering procedure to the remaining database records. After several iterations, the *k-means* clustering method will assign cluster numbers to each record that is in the data set.

7. Join the data set, complete with cluster assignments, back into the original study area GIS database file.

8. Aggregate data based on TAZ boundaries.

9. Prepare the IDS input file containing aggregated cluster assignments.

10. Proceed with trip generation, trip distribution, mode split and network assignment following the traditional procedures used by NCDOT.

7. REFERENCES

Breinman, L., Friedman, J.H., Olshen, R.A., and C.J. Stone (1983). *CART: Classification and Regression Trees,* Wadsworth, Belmont, CA.

Caliper Corporation (2000). TransCAD, Transportation GIS Software. Newton, MA, http://www. Caliper.com/

CAMPO (1999). *CAMPO Newsletter*. Raleigh, NC http://www.raleigh-nc.org/campo/Newsletfw99.htm.

FHWA (1998a), *Transportation Case Studies in GIS Case Study 2: Portland Metro, Oregon – GIS database for Urban Transportation Planning*, Report # FHWA-PD-98-065 No. 2.

FHWA (1998b), *Transportation Case Studies in GIS Case Study 3: NCDOT: Use of GIS to Support Environmental Analysis During System Planning*, Report # FHWA-PD-98-065, No. 3.

Goulias, K.G. and R. Kitamura (1992). *Travel Demand Forecasting with Dynamic Microsimulation*. Transportation Research Record 1347.

He, Y. (1999). EUTSTIS: A Comprehensive Database System to Support Transportation Studies in and MPO. *ITE Journal,* Vol: 69 (3).

Hyashi, Y. and Y. Tomita (1989). A Micro-Analytic Residential Mobility Model for Assessing the Effects of Transportation Improvement. *Transport Policy, Management & Technology Towards 2001: Selected Proceedings of the Fifth World Conference on Transport Research*, Volume 4.

Insightful Corporation (last accessed 2001). S-PLUS Product Family http://www.insightful.com.

Los Alamos National Laboratory (1999). *Transims Overview*, Vol: 0. Los Alamos, NM.

NCDOT (1999). *Internal Data Summary (IDS), NCDOT notes for data input and output*. Received September 1999.

NCDOT (1997). *NCDOT Travel Forecasting Training Manual*, Prepared for the NCDOT Statewide Planning Branch, Raleigh, NC.

NuStats International (1995). *Triangle Travel Behavior Survey*. Prepared for the Triangle Transit Authority, Raleigh, NC.

Parsons Transportation Group (2000). *Wake County Automated Data System: Travel Behavior Analysis*. Prepared for the Capital Area Planning Organization.

Quinlan, J.R. (1993), *C4.5: Programs for Machine Learning,* Morgan Kaufmann, San Mateo, CA.

Ripley, B.D (1996). *Pattern Recognition and Neural Networks,* Cambridge University Press.

Robbins, J. (1978). Mathematical Models- the Error of Our Ways. *Traffic Engineering & Control*, Vol. 18(1).

Rouphail, N.M; Frey, H.C.; Unal, A.; Dalton, R., and A. Karr (2000). *ITS Integration of Real-Time Emissions and Traffic Management Systems.* IDEA Program Research Report, ITS-44. Prepared for the IDEA Program, Transportation Research Board National Research Council.

THE R PROJECT FOR STATISTICAL COMPUTING (last accessed 2001), http://www.r-project.org.

Shinebein, P.J. (1999). Developing a Geographic Information System Travel Demand Forecasting Model for Las Vegas. . *ITE Journal,* Volume 69 (2).

Smithson, W.D. (2001). A Travel Demand Model For Pittsboro, North Carolina: A Case Study Using TransCAD, A GIS Based Software. Masters Project Submitted to the University of North Carolina, Chapel Hill, NC.

STATLIB (last accessed 2001), http://lib.stat.cmu.edu.

Stone, J.R., et.al. (2000). *Assessing the Feasibility of TRANSIMS in North Carolina.* FHWA/NCDOT/2000-05.

Tukey, J.W. (1977). *Exploratory Data Analysis,* Addison-Wesley, Reading, MA.

Urban Analysis Group (1995). *Tranplan User Manual*, Hayward, CA, http://www.minutp.com/.

Venables, W. and B. Ripley (1997). *Modern applied statistics using S-PLUS, (2nd Ed.),* Springer, New York, NY.

VISUAL INSIGHTS, ADVIZOR, http://www.visualinsights.com.

APPENDIX A

CALCULATION OF NON-HOME BASED, NON-RESIDENT SECONDARY TRIPS FOR HHC AND CLUSTER SCENARIOS

CALCULATION OF NON-HOME BASED
NON-RESIDENT (NHB-NR) TRIPS
FOR SMALL URBAN AREAS

Thoroughfare Plan Study Area: Pittsboro
Scenario: HHC
Input File Name   ncdot.in
Date: 2/22/02

     ASSUMPTION: NHB-NR = 0 ASSUMED IN INITIAL IDS RUN

Trips produced by housing units	**17902**
(Source – IDS CALC output file)	
Commercial vehicle trips	**974**
(Source – IDS CALC output file)	
Total Internally Generated Trips (I)	**18876**
% of trips remaining within the planning area	**0.8**
(Source – IDS input file)	
Trips that remain within planning area (I→I)	**15101**
Internal to External Trips (I→E)	**3775**
Total External ←> Internal Trips (from IDS)	**27103**
(Source – IDS CALC output file)	
External to Internal Trips (E←>I)	**23328**
Factor (ranges from 0.4 to 0.7, depending on opportunities to make extra trips)	**0.45**
(Source – Modeler's judgement)	
Non-Home Based Non-Resident Trips	**10498**
(Add these back into IDS input file & run again)	

CALCULATION OF NON-HOME BASED
NON-RESIDENT (NHB-NR) TRIPS
FOR SMALL URBAN AREAS

Thoroughfare Plan Study Area:	Pittsboro
Scenario:	CLUSTER
Input File Name	NCSU.in
Date:	2/22/02

ASSUMPTION: NHB-NR = 0 ASSUMED IN INITIAL IDS RUN

Trips produced by housing units	**19918**
(Source – IDS CALC output file)	
Commercial vehicle trips	**974**
(Source – IDS CALC output file)	
Total Internally Generated Trips (I)	**20892**
% of trips remaining within the planning area	**0.8**
(Source – IDS input file)	
Trips that remain within planning area (I$\rightarrow$I)	**16714**
Internal to External Trips (I$\rightarrow$E)	**4178**
Total External $\leftarrow$> Internal Trips (from IDS)	**27103**
(Source – IDS CALC output file)	
External to Internal Trips (E$\leftarrow$>I)	**22925**
Factor (ranges from 0.4 to 0.7, depending on opportunities to make extra trips)	**0.45**
(Source – Modeler's judgement)	
Non-Home Based Non-Resident Trips	**10316**
(Add these back into IDS input file & run again)	

APPENDIX B

SAMPLE PARCEL DATABASE FILE

ID	PIN	LAND_FMV	IMPR_FMV	DEED_ACRES	LU_PARCEL	PITTTAZ_00	HHC_00	MU_00	NEW_TAZ	CLUSTER
1417	9742-44-5184.000	128000	133434	18.000	Single Family Residential	74	4	0	74	1
1413	9742-24-7627.000	35050	127900	4.010	Single Family Residential	74	4	0	74	3
1252	9742-26-4081.000	210996	273673	44.610	Single Family Residential	74	4	0	74	1
1362	9742-15-7147.000	37500	133034	2.190	Single Family Residential	74	4	0	74	3
1341	9742-15-5543.000	33750	156684	2.000	Single Family Residential	74	4	0	74	3
1513	9742-53-0501.000	21750	185298	1.500	Single Family Residential	74	4	0	74	1
1242	9742-05-3903.000	189920	35109	33.480	Single Family Residential	74	3	0	74	7
1131	9742-47-3808.000	20505	102642	1.101	Single Family Residential	74	3	0	74	3
1357	9742-15-4361.000	33750	127306	2.120	Single Family Residential	74	3	0	74	3
1331	9742-15-5628.000	33750	142934	2.000	Single Family Residential	74	3	0	74	3
1313	9742-15-4885.000	33750	142465	2.000	Single Family Residential	74	3	0	74	3
1296	9742-16-4073.000	33750	131758	2.000	Single Family Residential	74	3	0	74	3
1277	9742-16-4241.000	33750	147714	2.000	Single Family Residential	74	3	0	74	3
1251	9742-16-4309.000	33750	144853	2.000	Single Family Residential	74	3	0	74	3
1249	9742-16-2571.000	33750	143888	2.040	Single Family Residential	74	3	0	74	3
1246	9742-16-0581.000	33750	156609	2.000	Single Family Residential	74	3	0	74	3
1244	9742-06-8496.000	33750	123496	2.000	Single Family Residential	74	3	0	74	3

APPENDIX C

SAMPLE TAZ DATABASE FILE

ID	AREA	PITT TAZ_00	IND EMP	RET EMP	HWY RET	OFF EMP	SERV EMP	TOT EMP	HH1	HH2	HH3	HH4	HH5	TOTHH	TAZ_00	CAR	PUP	VAN	BUS	TRK	BEDS
1	0.002664	0							0	0	0	0	0	0							
2	0.354618	1	0	0	0	0	1	1	1	23	3	0	0	27	1						
3	1.209644	2	2	0	0	0	0	2	1	3	7	4	1	16	2						
4	2.218294	3	3	11	0	0	0	14	3	20	21	8	0	52	3						
5	0.351996	4	0	0	0	0	0	0	0	0	0	0	0	0	0						
6	1.426570	5	0	0	0	0	1	1	10	27	4	4	0	45	5						
7	2.255241	6	0	1	0	0	9	10	5	42	41	14	1	103	6						
8	2.221431	7	7	1	0	0	95	103	3	31	26	8	1	69	7						
9	2.688641	8	2	28	0	0	67	97	63	38	24	1	0	126	8						15
10	0.750785	9	17	0	0	4	0	21	0	0	0	1	0	1	9		8				
11	2.201250	10	0	0	0	0	0	0	0	12	12	3	0	27	10						
12	0.690984	11	0	0	0	0	0	0	3	17	12	2	0	34	11						
13	1.089796	12	3	0	0	0	0	3	5	6	7	3	2	23	12		4				
14	0.455912	13	0	0	0	0	15	15	0	14	43	10	0	67	13						
15	1.090354	14	19	2	31	3	0	55	18	31	53	6	0	108	14						
16	0.786351	15	0	0	4	1	36	41	4	24	19	1	0	48	15						
17	0.707835	16	0	0	0	0	0	0	8	22	40	0	0	70	16						
18	0.834608	17	37	6	4	0	56	103	2	54	9	0	0	65	17	1					
19	3.364412	18	1	0	0	0	1	2	0	3	3	3	0	9	18						
20	0.339099	19	4	6	3	0	82	95	0	9	15	12	1	37	19		1				
21	0.094756	20	0	0	0	0	149	149	0	169	11	0	0	180	20	6		4			31
22	0.052521	21	0	0	2	0	0	2	5	12	19	9	1	46	21						
23	0.114315	22	1	3	0	0	12	16	19	17	7	1	0	44	22	3	2			1	
24	0.053863	23	12	1	4	0	16	33	0	17	13	5	0	35	23	1	2				
25	0.042332	24	6	29	5	37	99	176	5	15	11	3	0	34	24	2	5		1		
26	0.037396	25	3	37	32	20	24	116	0	7	10	1	0	18	25	1	3			4	20

APPENDIX D

SAMPLE NETWORK DATABASE FILE

ID	LENGTH	DIR	LINK_TYPE	CAPACITY_	SPEED_	TIME_	FNODE_	TNODE_	STREET	ADT_01	TRUCK
375	1.69	0	1	9000.00	40.00	2.54					
399	0.20	0	1	9000.00	40.00	0.30				11200.00	
37	0.21	0	1	9000.00	40.00	0.32				1200.00	
91	0.68	0	1	9000.00	40.00	1.02	184	145	N US 15-501		
112	0.64	0	1	9000.00	40.00	0.96					
191	0.19	0	1	9000.00	40.00	0.28	304	287			
228	0.19	0	1	9000.00	40.00	0.29	326	325			
330	0.14	0	1	9000.00	40.00	0.21				2625.00	
363	0.56	0	1	9000.00	40.00	0.84					
58	0.25	0	1	9000.00	40.00	0.38	112	106	SILK HOPE G	1050.00	
59	0.78	0	1	9000.00	40.00	1.17					
132	0.42	0	1	9000.00	40.00	0.64	251	246	W US 64 HWY		
136	1.00	0	1	9000.00	40.00	1.50	256	246	OLD SILER C		
272	0.09	0	1	9000.00	40.00	0.14	348	360		9200.00	
422	0.37	0	1	9000.00	40.00	0.55					
277	0.22	0	1	9000.00	40.00	0.33	344	363			
436	1.51	0	1	9000.00	40.00	2.27					
284	0.35	0	1	9000.00	40.00	0.53	345	372	OLD GOLDSTO		
415	0.34	0	1	9000.00	40.00	0.51					
301	0.21	0	1	9000.00	40.00	0.32					
312	0.20	0	1	9000.00	40.00	0.31					
313	0.20	0	1	9000.00	40.00	0.29				350.00	
410	0.23	0	1	9000.00	40.00	0.35				9250.00	
350	0.23	0	1	9000.00	40.00	0.35				1500.00	
358	0.24	0	1	9000.00	40.00	0.36	455	458	PITTSBORO-G		
398	0.04	0	1	9000.00	40.00	0.06					

APPENDIX E

IDS INPUT FILE FOR HHC METHOD

```
IDS HHC METHOD 2001 PRELIM WITH NHBS = 10498
96 ZONES (74 ZONES+22 STATIONS)
  96       48600       10498
        80     22    50    28
       250    250   250   250   250
      1200   1000   800   700   500                    670    67   670  670
 100   100    100   100   100                                       030
 010   200    840   260   250                                       010
 020   200    840   260   250                                       010




 050   200    840   260   250                                       010
   1     0      0     3    23    1              0     0     0
   2     1      4     7     3    1              0     0     0
   3     0      8    21    20    3              0     0     0
   4     0      0     0     0    0              0     0     0
   5     0      4     4    27   10              0     0     0
   6     1     14    41    42    5              0     0     0

   7     1      9    26    31    3              0     0     0
   8     0      1    24    38   63              0     0     0
   9     0      1     0     0    0              0     8     0
  10     0      3    12    12    0              0     0     0
  11     0      2    12    17    3              0     0     0
  12     2      3     7     6    5              0     4     0
  13     0     10    43    14    0              0     0     0
  14     0      6    53    31   18              0     0     0
  15     0      1    19    24    4              0     0     0
  16     0      0    40    22    8              0     0     0
  17     0      0     9    54    2              0     1     0
  18     0      3     3     3    0              0     0     0
  19     1     12    15     9    0              0     1     0
  20     0      0    11   170    0              0    10     0
  21     1      9    19    12    5              0     0     0
  22     0      1     7    17   19              0     6     0
  23     0      5    13    17    0              0     3     0
  24     0      3    11    15    5              0     8     0
  25     0      1    10     7    0              0     8     0
  26     0      0    12    17    4              0     5     0
  27     0      0     0     2    0              0     0     0
  28     0      8    27    25    3              0     1     0
  29     0      0     3     0    0              0     0     0
  30     0      0    36    27    1              0     2     0
  31     0      1     2     3    0              0    13     0
  32     0      0    12    13    2              0     4     0
  33     0      1     7    10    4              0     8     0
  34     0      0    13    31    5              0     6     0
  35     0      0     1    17    0              0    10     0
  36     0      1    12    12    1              0     0     0
  37     0      0     3     5    0              0     0     0
  38     0      1     0     4    0              0     0     0
  39     0      0    14     9    0              0     0     0
  40     5     11     7    11    0              0     0     0
  41     0      0     2     9    1              0     0     0
  42     0      2    11    12    2              0     0     0
  43     0      3    13     6    0              0     0     0
```

71

44	0	0	5	4	0	0	6	0
45	0	0	11	8	0	0	0	0
46	0	0	21	35	6	0	8	0
47	0	2	11	0	0	0	0	0
48	0	14	13	6	0	0	0	0
49	0	0	0	0	0	0	2	0
50	0	0	10	9	1	0	0	0
51	0	0	3	1	0	0	0	0
52	0	4	47	57	4	0	0	0
53	0	0	6	4	0	0	30	0
54	0	1	2	1	0	0	0	0
55	0	0	2	3	0	0	0	0
56	0	0	6	7	0	0	0	0
57	0	2	8	7	0	0	0	0
58	2	7	3	0	2	0	0	0
59	0	0	0	0	0	0	0	0
60	0	0	6	7	7	0	0	0
61	0	4	13	8	1	0	0	0
62	6	27	12	0	0	0	0	0
63	0	1	3	5	1	0	0	0
64	0	2	11	9	5	0	0	0
65	0	2	15	18	0	0	0	0
66	0	1	13	5	0	0	1	0
67	0	1	15	10	0	0	0	0
68	0	0	14	5	0	0	0	0
69	0	1	5	4	0	0	0	0
70	0	0	3	3	0	0	0	0
71	0	2	6	2	2	0	0	0
72	0	4	3	4	0	0	0	0
73	0	0	10	5	0	0	0	0
74	0	7	22	11	0	0	0	0
75								
76								
77								
78								
79								
80								
81								
82								
83								
84								
85								
86								
87								
88								
89								
90								
91								
92								
93								
94								
95								
96								
1	0	0	0	0	1			
2	2	0	0	0	0			
3	3	11	0	0	0			
4	0	0	0	0	0			
5	0	0	0	0	1			
6	0	1	0	0	9			
7	7	1	0	0	95			
8	2	28	0	0	67			
9	17	0	0	4	0			

10	0	0	0	0	0
11	0	0	0	0	0
12	3	0	0	0	0
13	0	0	0	2	15
14	10	2	21	3	0
15	0	0	4	1	36
16	0	0	0	0	0
17	37	6	4	0	56
18	1	0	0	0	1
19	4	6	3	0	50
20	0	0	0	0	60
21	0	0	2	0	0
22	1	3	0	0	12
23	12	1	4	0	16
24	6	15	5	20	25
25	3	15	13	10	13
26	0	0	1	28	4
27	0	0	0	0	0
28	5	5	0	0	0
29	0	0	0	0	0
30	0	0	0	0	7
31	0	0	0	35	16
32	5	8	9	15	15
33	10	7	15	30	20
34	0	20	18	15	55
35	0	0	10	0	36
36	0	2	0	0	2
37	0	0	0	0	0
38	0	0	0	0	0
39	0	0	0	0	0
40	0	0	0	0	0
41	0	0	0	0	0
42	0	0	0	0	0
43	0	0	0	0	0
44	0	0	0	0	67
45	0	0	0	25	7
46	0	0	0	0	55
47	0	0	0	0	0
48	0	0	0	0	0
49	0	0	0	0	5
50	0	0	0	0	0
51	0	0	0	0	0
52	0	0	0	0	0
53	0	0	0	0	0
54	500	0	0	0	0
55	0	0	0	0	0
56	0	0	0	0	0
57	0	0	0	0	0
58	0	0	0	0	0
59	0	0	0	0	0
60	0	0	0	0	0
61	0	0	0	0	0
62	0	0	0	0	0
63	3	0	0	0	0
64	0	0	0	0	0
65	0	0	0	0	2
66	8	0	0	0	2
67	0	0	0	0	9
68	0	0	0	0	0
69	0	0	0	0	0
70	0	0	0	0	0
71	0	0	0	0	1

73

72	0	0	0	0	0
73	0	0	0	0	0
74	0	0	0	0	0
75					
76					
77					
78					
79					
80					
81					
82					
83					
84					
85						4576
86						6268
87						614
88						1777
89						911
90						4413
91						222
92						1321
93						1422
94						134
95						3979
96						1466

APPENDIX F

IDS INPUT FILE FOR CLUSTER METHOD

```
IDS CLUSTER METHOD 2001 WITH NHBS = 10316
96 ZONES (74 ZONES+22 STATIONS)
  96        48600        10316
        80      22     50     28
       250     250    250    250    250
      1200    1000    800    700    500                    670     67    670   670
 100    100     100    100    100                                         030
 010    200     840    260    250                                         010
 020    200     840    260    250                                         010




 050    200     840    260    250                                         010
   1      0      23      4      0      0              0      0      0
   2      2       5      5      1      3              0      0      0
   3      0      18     23     10      1              0      0      0
   4      0       0      0      0      0              0      0      0
   5      0      25     14      6      0              0      0      0
   6      4      33     41     22      3              0      0      0
   7      2      33     18     11      6              0      0      0
   8      4      28     38     55      1              0      0      0
   9      0       0      1      0      0              0      8      0
  10      1       9     13      3      1              0      0      0
  11      1      11     14      7      1              0      0      0
  12      0       9      6      6      2              0      4      0
  13      0      10     25     28      4              0      0      0
  14      0      23     50     23     12              0      0      0
  15      0      18     12     14      4              0      0      0
  16      1      39     15     15      0              0      0      0
  17      4       8     46      6      1              0      1      0
  18      0       2      1      2      4              0      0      0
  19      0       6      9     17      5              0      1      0
  20      0      59     76      7     39              0     10      0
  21      0       6     28     11      1              0      0      0
  22      1      20     18      5      0              0      6      0
  23      0       0     18     14      3              0      3      0
  24      0       7     20      6      1              0      8      0
  25      0       5     11      2      0              0      8      0
  26      0       6     25      2      0              0      5      0
  27      0       1      1      0      0              0      0      0
  28      0      17     33     12      1              0      1      0
  29      0       1      0      2      0              0      0      0
  30      0       9     38     17      0              0      2      0
  31      0       0      4      2      0              0     13      0
  32      0       5     18      4      0              0      4      0
  33      0      11      4      7      0              0      8      0
  34      0      24     18      6      1              0      6      0
  35      2       0      2      0     14              0     10      0
  36      3       5     13      5      0              0      0      0
  37      0       2      6      0      0              0      0      0
  38      1       4      0      0      0              0      0      0
  39      3       6      7      5      2              0      0      0
  40      5       7      8     13      1              0      0      0
  41      0       9      3      0      0              0      0      0
  42      2      10     10      5      0              0      0      0
  43      0       5      3     13      1              0      0      0
  44      1       0      7      1      0              0      6      0
```

45	0	1	9	9	0	0	0	0
46	0	29	32	1	0	0	8	0
47	0	0	0	13	0	0	0	0
48	0	3	16	14	0	0	0	0
49	0	0	0	0	0	0	2	0
50	3	6	8	1	2	0	0	0
51	0	3	1	0	0	0	0	0
52	1	52	43	15	1	0	0	0
53	1	4	2	2	1	0	30	0
54	0	0	3	1	0	0	0	0
55	0	3	2	0	0	0	0	0
56	0	7	2	2	2	0	0	0
57	0	6	9	2	0	0	0	0
58	3	5	0	1	5	0	0	0
59	0	0	0	0	0	0	0	0
60	0	15	4	1	0	0	0	0
61	1	18	2	3	2	0	0	0
62	0	2	1	27	15	0	0	0
63	1	2	6	1	0	0	0	0
64	0	20	5	2	0	0	0	0
65	2	17	15	1	0	0	0	0
66	0	13	6	0	0	0	1	0
67	0	15	8	3	0	0	0	0
68	0	3	13	3	0	0	0	0
69	1	5	2	2	0	0	0	0
70	0	2	4	0	0	0	0	0
71	0	7	3	1	1	0	0	0
72	0	8	2	1	0	0	0	0
73	4	2	7	2	0	0	0	0
74	2	5	3	24	6	0	0	0
75								
76								
77								
78								
79								
80								
81								
82								
83								
84								
85								
86								
87								
88								
89								
90								
91								
92								
93								
94								
95								
96								
1	0	0	0	0	1			
2	2	0	0	0	0			
3	3	11	0	0	0			
4	0	0	0	0	0			
5	0	0	0	0	1			
6	0	1	0	0	9			
7	7	1	0	0	95			
8	2	28	0	0	67			
9	17	0	0	4	0			
10	0	0	0	0	0			

76

11	0	0	0	0	0
12	3	0	0	0	0
13	0	0	0	2	15
14	10	2	21	3	0
15	0	0	4	1	36
16	0	0	0	0	0
17	37	6	4	0	56
18	1	0	0	0	1
19	4	6	3	0	50
20	0	0	0	0	60
21	0	0	2	0	0
22	1	3	0	0	12
23	12	1	4	0	16
24	6	15	5	20	25
25	3	15	13	10	13
26	0	0	1	28	4
27	0	0	0	0	0
28	5	5	0	0	0
29	0	0	0	0	0
30	0	0	0	0	7
31	0	0	0	35	16
32	5	8	9	15	15
33	10	7	15	30	20
34	0	20	18	15	55
35	0	0	10	0	36
36	0	2	0	0	2
37	0	0	0	0	0
38	0	0	0	0	0
39	0	0	0	0	0
40	0	0	0	0	0
41	0	0	0	0	0
42	0	0	0	0	0
43	0	0	0	0	0
44	0	0	0	0	67
45	0	0	0	25	7
46	0	0	0	0	55
47	0	0	0	0	0
48	0	0	0	0	0
49	0	0	0	0	5
50	0	0	0	0	0
51	0	0	0	0	0
52	0	0	0	0	0
53	0	0	0	0	0
54	500	0	0	0	0
55	0	0	0	0	0
56	0	0	0	0	0
57	0	0	0	0	0
58	0	0	0	0	0
59	0	0	0	0	0
60	0	0	0	0	0
61	0	0	0	0	0
62	0	0	0	0	0
63	3	0	0	0	0
64	0	0	0	0	0
65	0	0	0	0	2
66	8	0	0	0	2
67	0	0	0	0	9
68	0	0	0	0	0
69	0	0	0	0	0
70	0	0	0	0	0
71	0	0	0	0	1
72	0	0	0	0	0

73	0	0	0	0	0	
74	0	0	0	0	0	
75						
76						
77						
78						
79						
80						
81						
82						
83						
84						
85						4576
86						6268
87						614
88						1777
89						911
90						4413
91						222
92						1321
93						1422
94						134
95						3979
96						1466

APPENDIX G

NCDOT BASE YEAR PROCEDURE FOR

PITTSBORO (SMITHSON, 2001)

Trip Distribution

Trip distribution is the second step in the four-step modeling process. Trip distribution is where the productions and attractions developed for each TAZ are "distributed" throughout the planning area using a gravity model. The required inputs to trip distribution are a balanced production/attraction table, an impedance matrix, and a friction factor matrix for each trip purpose. The balanced production/attraction table was created during trip generation. The impedance matrix, used to represent the amount of difficulty of traveling between any pair of zones, was developed from the Pittsboro street network files. Once an impedance matrix is developed, the friction factor matrix is created. The friction factor matrix contains the friction factor for travel between each pair of TAZ's.

Pittsboro Network Development

Developing an impedance matrix requires a transportation network. The Pittsboro line files were "clipped" from the Chatham County street database.

The final step in network development is attaching the Pittsboro TAZ's to the Pittsboro network. To connect an area (a TAZ) to a line file (Pittsboro network) in TransCAD, click the **Tools** drop down menu, click **Map Editing,** and then the **Connect** feature. TransCAD will prompt the user for the geographic area file and the line layer file the user would like to connect. TransCAD places a "centroid" in each TAZ and creates a new link to connect the centroid to the closest link or node on the network.

Connecting TAZ's to Street Network

The new links are called centroid connectors and are assigned the value of "2" in the **link-type** column in the line layer database. The centroids TransCAD placed in each TAZ are also added to the line layer database and assigned a record ID matching the TAZ number. This feature allows the user to recognize points that represent a TAZ from points defining the shape of a link.

Creating the Impedance Matrix

Link travel times were used to develop the impedance between TAZ pairs. In TransCAD, impedances are stored in a zone-to-zone matrix. An impedance matrix is generated in TransCAD by applying the **Multiple Shortest Path** function to a network. The procedure generates shortest paths between multiple origins and multiple destinations and creates a matrix file containing the impedance of traversing each path.

In TransCAD, click the *Network/Paths* drop down menu then click *Multiple Paths*. TransCAD will prompt the user for the network file and to select the endpoints representing the TAZ's. The output is an impedance matrix for each pair of zones based on travel time.

Developing Friction Factors

Friction factors are a required input in the gravity model. Friction factors are inversely proportional to impedance.

The equation is as follows:
  $f(cij) = a(cij)^{-b} * e^{-c(cij)}$, where a>0, c>= 0

The gamma function requires user specification of the parameters to be used in the model. Travel Estimation Techniques for Urban Planning (NCHRP365, 1995) suggests that the gamma function be used with the following parameters (Table 1):

Table 1: Recommended Gamma Function Parameters

Trip Purpose	A	b	C
HBW	28507	0.02	0.123
HBO	139173	1.285	0.094
NHB	219113	1.332	0.01

To create friction factors in TransCAD click *Planning* from the drop down menu. Select *Trip Distribution* then select *Synthetic Friction Factors*. TransCAD opens the friction factor matrix dialogue box. In this box the user specifies the impedance function (gamma function), and types in the function parameters to be used for each trip purpose. The user must also specify the file location of the impedance matrix created and discussed in the above section. The TransCAD output is a set of friction factor matrices for each trip purpose specified.

Applying the Gravity Model

Applying the gravity model in TransCAD is a simple procedure. The TAZ geographic file must be the active window in TransCAD. Choose *Planning* from the drop down menu, select *Trip Distribution*, then select *Gravity Evaluation*. TransCAD displays the gravity evaluation dialogue box. The user specifies the file containing the productions and attractions (the TAZ geographic file) and the location of the friction factor matrices for each trip purpose. TransCAD generates P-A (production-attraction) flow matrices for each trip purpose. The trip purpose matrices are then summed to create a total P-A flow matrix of all trip purposes. To sum matrices in TransCAD, choose *Matrix* from the drop down menu and click *Quick Sum*.

Thru-trips and Converting P-A Matrix to O-D Matrix

The final steps in trip distribution are adding the thru-trips calculated in SYNTH to the Quick Sum matrix described above. The Quick Sum matrix only includes the HBW, HBO, NHB, and Ext-Int trips. The balanced thru-trip matrix developed in SYNTH is converted to a matrix file in TransCAD. The thru-trip matrix is then combined with the Quick Sum matrix for use in traffic assignment, the final step in the travel demand modeling process. To convert the thru-trip matrix to a TransCAD matrix file choose *Matrix* from the drop down menu and select *Import*. TransCAD makes the conversion to the appropriate format. To join the thru-trip matrix to the Quick Sum matrix, simply choose *Matrix* and select *Combine*. The thru-trips are now added to the P-A flow matrix generated during gravity evaluation.

Prior to traffic assignment, TransCAD requires the P-A flow matrix to be converted to an OD (origin-destination) matrix. The active window must be the total P-A flow matrix. Choose *Planning* and select *PAtoOD*. The result is an OD matrix for trip purposes for each TAZ. At this point, all the inputs required for traffic assignment have been developed.

Mode Split

Mode split is the third step in the four-step travel demand model. This step has been intentionally left out of the Pittsboro study.

Traffic Assignment

Traffic assignment models are used to estimate the flow of traffic on a network. The traffic assignment model used for the Pittsboro study is an All-or-Nothing assignment. In small towns similar to Pittsboro, NCDOT uses an All-or-Nothing assignment when congestion may not be a factor in route choice.

Required inputs for traffic assignment include an O-D matrix and a network. To perform the traffic assignment for the Pittsboro model in TransCAD, the O-D matrix discussed above and the modified Pittsboro network from the NCDOT GIS Unit were used. In TransCAD, the Pittsboro network was made the active window. Choose *Planning* from the drop down menu and select *Traffic Assignment*. TransCAD opens the traffic assignment dialogue box. The traffic assignment method (All-or-Nothing) and the desired O-D matrix must be selected. No changes were made to the default fields settings. TransCAD stores the assigned traffic volumes to a link-flow table and joins the table to the network file.

APPENDIX H

STATISTICAL COMPARISON OF PRODUCTIONS AND ATTRACTION: CALCULATIONS

Total Productions Comparison

Mean	t-calc	t(n-1,a/2)	Reject/Accept	df=73, a=0.05
14.91	4.06	2.00	Reject	Ho: $m_t - m_b = 0$

Standard Dev
31.60

HHC

TAZ	HBW	HBO	NHB	EXT	Total HHC
1	33	76	19	0	128
2	24	54	7	0	85
3	71	161	106	0	338
4	0	0	0	0	0
5	55	125	27	0	207
6	141	320	133	0	594
7	95	217	968	0	1280
8	138	313	925	0	1376
9	2	4	51	0	57
10	37	84	7	0	128
11	44	100	12	0	156
12	31	71	7	0	109
13	95	217	192	0	504
14	139	317	788	0	1244
15	62	140	513	0	715
16	90	206	27	0	323

CLUSTER

TAZ	HBW	HBO	NHB	EXT	Total CLUSTER
1	46	105	20	0	171
2	24	55	7	0	86
3	77	176	107	0	360
4	0	0	0	0	0
5	71	162	28	0	261
6	154	350	136	0	640
7	106	242	984	0	1332
8	180	409	941	0	1530
9	1	3	52	0	56
10	41	93	7	0	141
11	51	115	12	0	178
12	33	76	7	0	116
13	91	207	196	0	494
14	150	341	801	0	1292
15	69	158	522	0	749
16	110	251	28	0	389

D=CLUSTER-HHC	D^2
43	1849
1	1
22	484
0	0
54	2916
46	2116
52	2704
154	23716
-1	1
13	169
22	484
7	49
-10	100
48	2304
34	1156
66	4356

17	81	184	780	0	1045	17	95	217	794	0	1106	61	3721
18	13	30	12	0	55	18	11	25	12	0	48	-7	49
19	55	126	651	0	832	19	49	111	662	0	822	-10	100
20	225	511	659	0	1395	20	254	577	670	0	1501	106	11236
21	64	145	82	0	291	21	64	147	84	0	295	4	16
22	49	112	157	0	318	22	69	157	160	0	386	68	4624
23	48	109	317	0	474	23	45	103	323	0	471	-3	9
24	44	99	748	0	891	24	49	111	761	0	921	30	900
25	24	56	784	0	864	25	27	61	798	0	886	22	484
26	41	94	369	0	504	26	48	110	375	0	533	29	841
27	2	6	0	0	8	27	3	7	0	0	10	2	4
28	86	195	66	0	347	28	92	209	67	0	368	21	441
29	4	10	0	0	14	29	4	10	0	0	14	0	0
30	85	193	91	0	369	30	90	205	92	0	387	18	324
31	8	19	513	0	540	31	8	19	522	0	549	9	81
32	35	79	670	0	784	32	39	89	682	0	810	26	676
33	28	63	1066	0	1157	33	34	77	1084	0	1195	38	1444
34	61	139	1457	0	1657	34	76	173	1482	0	1731	74	5476
35	22	51	686	0	759	35	19	44	698	0	761	2	4
36	34	78	43	0	155	36	40	90	44	0	174	19	361
37	10	24	0	0	34	37	12	27	0	0	39	5	25
38	7	15	0	0	22	38	9	21	0	0	30	8	64
39	31	70	7	0	108	39	35	79	7	0	121	13	169
40	53	121	12	0	186	40	51	116	12	0	179	-7	49
41	15	34	4	0	53	41	20	46	4	0	70	17	289
42	36	81	7	0	124	42	42	96	7	0	145	21	441
43	31	71	7	0	109	43	30	68	7	0	105	-4	16
44	12	27	659	0	698	44	13	30	670	0	713	15	225
45	25	58	329	0	412	45	26	58	335	0	419	7	49
46	78	177	561	0	816	46	97	221	571	0	889	73	5329
47	19	43	4	0	66	47	16	37	4	0	57	-9	81

ID						ID							
48	50	115	12	0	177	48	45	103	12	0	160	-17	289
49	0	0	46	0	46	49	0	0	48	0	48	2	4
50	26	59	7	0	92	50	31	71	7	0	109	17	289
51	6	13	0	0	19	51	7	15	0	0	22	3	9
52	147	334	43	0	524	52	174	395	44	0	613	89	7921
53	13	31	4	0	48	53	15	35	4	0	54	6	36
54	6	13	392	0	411	54	6	13	398	0	417	6	36
55	7	15	0	0	22	55	8	19	0	0	27	5	25
56	17	39	4	0	60	56	19	44	4	0	67	7	49
57	23	53	4	0	80	57	26	59	4	0	89	9	81
58	22	51	4	0	77	58	21	47	4	0	72	-5	25
59	0	0	0	0	0	59	0	0	0	0	0	0	0
60	23	53	7	0	83	60	33	76	7	0	116	33	1089
61	36	82	7	0	125	61	42	96	7	0	145	20	400
62	77	175	16	0	268	62	51	117	17	0	185	-83	6889
63	13	30	4	0	47	63	15	35	4	0	54	7	49
64	35	79	7	0	121	64	45	102	7	0	154	33	1089
65	47	107	32	0	186	65	57	129	32	0	218	32	1024
66	26	60	32	0	118	66	31	71	32	0	134	16	256
67	35	80	98	0	213	67	41	94	100	0	235	22	484
68	26	59	4	0	89	68	27	62	4	0	93	4	16
69	14	31	4	0	49	69	16	37	4	0	57	8	64
70	8	18	0	0	26	70	9	21	0	0	30	4	16
71	16	37	12	0	65	71	19	43	12	0	74	9	81
72	16	37	4	0	57	72	18	41	4	0	63	6	36
73	20	46	4	0	70	73	24	55	4	0	83	13	169
74	57	129	16	0	202	74	52	119	17	0	188	-14	196
75	0	0	0	0	0	75	0	0	0	0	0	0	0
76	0	0	0	0	0	76	0	0	0	0	0	0	0
77	0	0	0	0	0	77	0	0	0	0	0	0	0
78	0	0	0	0	0	78	0	0	0	0	0	0	0

79	0	0	0	0	0		79	0	0	0	0	0		0	0
80	0	0	0	0	0		80	0	0	0	0	0		0	0
81	0	0	0	0	0		81	0	0	0	0	0		0	0
82	0	0	0	0	0		82	0	0	0	0	0		0	0
83	0	0	0	0	0		83	0	0	0	0	0		0	0
84	0	0	0	0	0		84	0	0	0	0	0		0	0
85	0	0	0	4576	4576		85	0	0	0	4576	4576		0	0
86	0	0	0	6268	6268		86	0	0	0	6268	6268		0	0
87	0	0	0	614	614		87	0	0	0	614	614		0	0
88	0	0	0	1777	1777		88	0	0	0	1777	1777		0	0
89	0	0	0	911	911		89	0	0	0	911	911		0	0
90	0	0	0	4413	4413		90	0	0	0	4413	4413		0	0
91	0	0	0	222	222		91	0	0	0	222	222		0	0
92	0	0	0	1321	1321		92	0	0	0	1321	1321		0	0
93	0	0	0	1422	1422		93	0	0	0	1422	1422		0	0
94	0	0	0	134	134		94	0	0	0	134	134		0	0
95	0	0	0	3979	3979		95	0	0	0	3979	3979		0	0
96	0	0	0	1466	1466		96	0	0	0	1466	1466		0	0
													SUM	**1431**	**100555**

Total Attractions Comparison

Mean	t-calc	t(n-1,a/2)	Reject/Accept	df=73, a=0.05
14.81	4.34	2.00	Reject	Ho: $m_1 - m_2 = 0$

Standard Dev
29.36

HHC

TAZ	HBW	HBO	NHB	EXT	Total HHC
1	11	9	20	33	73
2	8	2	8	13	31
3	36	50	106	185	377
4	0	0	0	0	0
5	18	13	27	46	104
6	50	64	133	225	472
7	155	461	968	1655	3239
8	169	441	925	1569	3104
9	26	22	51	119	218
10	10	4	8	13	35
11	13	6	12	20	51
12	11	4	8	20	43
13	47	92	192	324	655
14	86	374	787	1351	2598
15	69	245	513	867	1694
16	25	13	27	46	111
17	154	364	780	1391	2689
18	5	6	12	20	43
19	93	310	650	1106	2159
20	143	314	658	1112	2227
21	19	39	82	139	279

CLUSTER

TAZ	HBW	HBO	NHB	EXT	Total CLUSTER
1	13	10	20	33	76
2	8	2	8	13	31
3	41	56	108	185	390
4	0	0	0	0	0
5	20	15	28	46	109
6	56	71	136	225	488
7	172	514	984	1655	3325
8	188	491	941	1569	3189
9	29	25	52	119	225
10	11	4	8	13	36
11	14	6	12	20	52
12	13	4	8	20	45
13	52	102	195	324	673
14	95	416	801	1351	2663
15	77	272	522	867	1738
16	28	15	28	46	117
17	171	405	793	1391	2760
18	6	6	12	20	44
19	104	345	662	1106	2217
20	160	349	670	1112	2291
21	21	44	84	139	288

D=CLUSTER-HHC	D^2
3	9
0	0
13	169
0	0
5	25
16	256
86	7396
85	7225
7	49
1	1
1	1
2	4
18	324
65	4225
44	1936
6	36
71	5041
1	1
58	3364
64	4096
9	81

22	36	75	157	265	533
23	54	149	317	563	1083
24	102	355	748	1271	2476
25	74	372	783	1331	2560
26	53	176	368	622	1219
27	0	0	0	0	0
28	35	30	67	119	251
29	0	0	0	0	0
30	33	43	90	152	318
31	65	245	513	867	1690
32	76	319	670	1146	2211
33	111	506	1066	1821	3504
34	154	695	1457	2463	4769
35	64	327	686	1159	2236
36	14	21	43	73	151
37	3	0	0	0	3
38	1	0	0	0	1
39	8	4	8	13	33
40	13	6	12	20	51
41	4	2	4	7	17
42	10	4	8	13	35
43	8	4	8	13	33
44	87	314	658	1112	2171
45	47	157	329	556	1089
46	92	267	560	947	1866
47	4	2	4	7	17
48	11	6	12	20	49
49	6	22	47	79	154
50	6	4	8	13	31
51	1	0	0	0	1
52	42	21	43	73	179

22	41	83	159	265	548
23	60	166	323	563	1112
24	113	395	761	1271	2540
25	83	414	797	1331	2625
26	59	195	375	622	1251
27	0	0	0	0	0
28	39	33	68	119	259
29	0	0	0	0	0
30	36	48	92	152	328
31	73	272	522	867	1734
32	84	356	682	1146	2268
33	123	564	1084	1821	3592
34	171	774	1483	2463	4891
35	71	364	697	1159	2291
36	15	23	44	73	155
37	3	0	0	0	3
38	1	0	0	0	1
39	8	4	8	13	33
40	14	6	12	20	52
41	4	2	4	7	17
42	11	4	8	13	36
43	8	4	8	13	33
44	97	349	670	1112	2228
45	52	175	335	556	1118
46	102	297	570	947	1916
47	4	2	4	7	17
48	13	6	12	20	51
49	7	25	48	79	159
50	7	4	8	13	32
51	1	0	0	0	1
52	46	23	44	73	186

15	225
29	841
64	4096
65	4225
32	1024
0	0
8	64
0	0
10	100
44	1936
57	3249
88	7744
122	14884
55	3025
4	16
0	0
0	0
0	0
1	1
0	0
1	1
0	0
57	3249
29	841
50	2500
0	0
2	4
5	25
1	1
0	0
7	49

53	3	2	4	7	16	53	3	2	4	7	16	0	0
54	631	93	392	1655	2771	54	701	104	399	1655	2859	88	7744
55	1	0	0	0	1	55	1	0	0	0	1	0	0
56	4	2	4	7	17	56	4	2	4	7	17	0	0
57	6	2	4	7	19	57	7	2	4	7	20	1	1
58	5	2	4	7	18	58	6	2	4	7	19	1	1
59	0	0	0	0	0	59	0	0	0	0	0	0	0
60	6	4	8	13	31	60	7	4	8	13	32	1	1
61	9	4	8	13	34	61	10	4	8	13	35	1	1
62	16	7	16	26	65	62	18	8	16	26	68	3	9
63	6	2	4	13	25	63	7	2	4	13	26	1	1
64	10	4	8	13	35	64	11	4	8	13	36	1	1
65	15	15	31	53	114	65	17	17	32	53	119	5	25
66	19	13	31	66	129	66	21	15	32	66	134	5	25
67	20	47	98	166	331	67	22	52	100	166	340	9	81
68	6	2	4	7	19	68	7	2	4	7	20	1	1
69	3	2	4	7	16	69	3	2	4	7	16	0	0
70	1	0	0	0	1	70	1	0	0	0	1	0	0
71	5	6	12	20	43	71	6	6	12	20	44	1	1
72	4	2	4	7	17	72	4	2	4	7	17	0	0
73	5	2	4	7	18	73	6	2	4	7	19	1	1
74	14	7	16	26	63	74	15	8	16	26	65	2	4
75	0	0	0	0	0	75	0	0	0	0	0	0	0
76	0	0	0	0	0	76	0	0	0	0	0	0	0
77	0	0	0	0	0	77	0	0	0	0	0	0	0
78	0	0	0	0	0	78	0	0	0	0	0	0	0
79	0	0	0	0	0	79	0	0	0	0	0	0	0
80	0	0	0	0	0	80	0	0	0	0	0	0	0
81	0	0	0	0	0	81	0	0	0	0	0	0	0
82	0	0	0	0	0	82	0	0	0	0	0	0	0
83	0	0	0	0	0	83	0	0	0	0	0	0	0

84	0	0	0	0	0		84	0	0	0	0	0	0	0
85	0	0	0	0	0		85	0	0	0	0	0	0	0
86	0	0	0	0	0		86	0	0	0	0	0	0	0
87	0	0	0	0	0		87	0	0	0	0	0	0	0
88	0	0	0	0	0		88	0	0	0	0	0	0	0
89	0	0	0	0	0		89	0	0	0	0	0	0	0
90	0	0	0	0	0		90	0	0	0	0	0	0	0
91	0	0	0	0	0		91	0	0	0	0	0	0	0
92	0	0	0	0	0		92	0	0	0	0	0	0	0
93	0	0	0	0	0		93	0	0	0	0	0	0	0
94	0	0	0	0	0		94	0	0	0	0	0	0	0
95	0	0	0	0	0		95	0	0	0	0	0	0	0
96	0	0	0	0	0		96	0	0	0	0	0	0	0
												Sum	1422	90236

APPENDIX I

STATISTICAL COMPARISON OF ASSIGNED FLOWS AND GROUND COUNTS: CALCULATIONS

Ground Counts vs. HHC Total Flows

H_0 that the mean of the differences between paired samples is equal to $\mu_D = 0$

Mean	830.16
SD	1922.34
T-calc	3.23
df	55.00
α	0.05
$t(df, \alpha/2)$	2.02
Reject/ accept	Reject
Mean % Difference	25.37

Link	Ground Counts ADT_01	HHC Tot_flow	Difference	Difference^2	% Difference	Acceptable Difference	Acceptable Yes or No
37	1200	2507	1307	1707340	109	16	
48	1000	1747	747	558180	75	16	
58	1050	1450	400	159679	38	16	
90	3900	4073	173	29787	4	16	yes
150	4300	5550	1250	1563510	29	16	
201	6500	10936	4436	19681941	68	16	
202	11200	9403	-1797	3228722	-16	16	yes
204	14000	19016	5016	25160975	36	16	
205	12200	14951	2751	7566797	23	16	
231	8700	10276	1576	2482880	18	16	
242	1000	0	-1000	1000000	-100	40	
246	7400	6118	-1282	1642352	-17	16	
269	700	310	-390	152484	-56	40	
272	9200	12721	3521	12400809	38	16	
287	9500	12721	3221	10377922	34	16	
291	925	1195	270	73028	29	40	yes
311	250	392	142	20082	57	16	
313	350	550	200	39947	57	40	
319	450	983	533	284334	118	16	
322	4000	3711	-289	83678	-7	16	yes
330	2625	2618	-7	45	0	16	yes
350	1500	1666	166	27718	11	16	yes
354	1950	1924	-26	657	-1	16	yes
372	1700	1698	-2	4	0	16	yes
386	10000	9998	-2	4	0	16	yes
389	9700	10191	491	241307	5	16	yes
391	700	84	-616	378989	-88	16	
392	500	84	-416	172741	-83	16	
393	10000	10845	845	714593	8	16	yes
395	15400	21500	6100	37210106	40	16	
396	14000	17985	3985	15877104	28	16	
397	10500	11857	1357	1842543	13	16	yes
399	11200	12658	1458	2125863	13	16	yes
404	700	698	-2	4	0	16	yes
405	1200	2521	1321	1745181	110	16	
406	350	988	638	406853	182	16	
408	2900	2901	1	1	0	16	yes

409	10000	9568	-432	186714	-4	16	yes
410	9250	9353	103	10710	1	16	yes
413	1500	1647	147	21609	10	16	yes
416	3600	3711	111	12261	3	16	yes
419	625	1182	557	309921	89	16	
420	950	325	-625	390074	-66	40	
421	5300	2487	-2813	7912996	-53	16	
428	5825	4633	-1192	1420052	-20	16	
432	8000	8026	26	679	0	16	yes
433	6900	7280	380	144714	6	16	yes
435	150	444	294	86572	196	16	
437	150	148	-2	4	-1	16	yes
438	6800	6799	-1	1	0	16	yes
439	2400	2400	0	0	0	16	yes
441	3500	3255	-245	59985	-7	16	yes
442	7913	11200	3287	10802463	42	16	
443	1400	4383	2983	8897343	213	16	
446	2700	2618	-82	6674	-3	16	yes
454	3287	11200	7913	62620159	241	16	
SUM	**273000**	**319489**	**46489**	**241841092**			**26 yes**
gc:model ratio	**0.85**						

Ground Counts vs. CLUSTER Total Flows

H_0 that the mean of the differences between paired samples is equal to $\mu_D = 0$

Mean	910.03
SD	1981.06
T-calc	3.44
df	55.00
α	0.05
$t(df, \alpha/2)$	2.02
Reject/ accept	Reject
Mean % Difference	28.81

Link	Ground Counts ADT_01	CLUSTER Tot_flow	Difference	Difference^2	% Difference	Acceptable Difference	Acceptable Yes or No
37	1200	2574	1374	1889056	115	16	
48	1000	1799	799	638496	80	16	
58	1050	1546	496	245882	47	16	
90	3900	4167	267	71463	7	16	yes
150	4300	5682	1382	1910306	32	16	
201	6500	11228	4728	22358379	73	16	
202	11200	9448	-1752	3070548	-16	16	yes
204	14000	19404	5404	29208321	39	16	
205	12200	15193	2993	8955880	25	16	
231	8700	10271	1571	2469070	18	16	
242	1000	0	-1000	1000000	-100	40	
246	7400	6330	-1070	1145162	-14	16	yes
269	700	336	-364	132822	-52	40	
272	9200	12901	3701	13700409	40	16	
287	9500	12901	3401	11569565	36	16	
291	925	1327	402	161213	43	40	
311	250	422	172	29701	69	16	
313	350	577	227	51413	65	40	
319	450	1102	652	425210	145	16	
322	4000	3800	-200	39850	-5	16	yes
330	2625	2655	30	871	1	16	yes

350	1500	1669	169	28728	11	16	yes
354	1950	1991	41	1663	2	16	yes
372	1700	1698	-2	4	0	16	yes
386	10000	9998	-2	4	0	16	yes
389	9700	10185	485	235384	5	16	yes
391	700	80	-620	384512	-89	16	
392	500	80	-420	176476	-84	16	
393	10000	10893	893	797638	9	16	yes
395	15400	21895	6495	42185022	42	16	
396	14000	18325	4325	18702413	31	16	
397	10500	12037	1537	2363666	15	16	yes
399	11200	12810	1610	2590919	14	16	yes
404	700	698	-2	4	0	16	yes
405	1200	2598	1398	1953040	116	16	
406	350	1012	662	437782	189	16	
408	2900	2901	1	1	0	16	yes
409	10000	9608	-392	153862	-4	16	yes
410	9250	9356	106	11183	1	16	yes
413	1500	1647	147	21609	10	16	yes
416	3600	3800	200	40151	6	16	yes
419	625	1313	688	472874	110	16	
420	950	351	-599	359193	-63	40	
421	5300	2544	-2756	7594751	-52	16	
428	5825	4717	-1108	1228332	-19	16	
432	8000	8043	43	1884	1	16	yes
433	6900	7319	419	175797	6	16	yes
435	150	469	319	101561	212	16	
437	150	148	-2	4	-1	16	yes
438	6800	6799	-1	1	0	16	yes
439	2400	2400	0	0	0	16	yes
441	3500	3348	-152	23199	-4	16	yes
442	7913	11200	3287	10802463	42	16	
443	1400	4513	3113	9688978	222	16	
446	2700	2655	-45	2069	-2	16	yes
454	3287	11200	7913	62620159	241	16	
SUM	**273000**	**323962**	**50962**	**262228938**			**26 yes**
gc:model ratio	**0.84**						

HHC vs. CLUSTER Total Flows

H_0	that the mean of the differences between paired samples is equal to $\mu_D = 0$
Mean	79.87
SD	99.25
T-calc	6.02
df	55.00
α	0.05
$t(df,\alpha/2)$	2.02
Reject/accept	Reject
Mean % Difference	2.19

Link	HHC TOT_FLOW	CLUSTER TOT_FLOW	Difference	Difference^2	% Difference
37	2507	2574	68	4594	3
48	1747	1799	52	2698	3
58	1450	1546	96	9267	7
90	4073	4167	95	8975	2
150	5550	5682	132	17354	2
201	10936	11228	292	85282	3

202	9403	9448	45	1986	0
204	19016	19404	388	150855	2
205	14951	15193	242	58495	2
231	10276	10271	-4	19	0
242	0	0	0	0	0
246	6118	6330	211	44699	3
269	310	336	26	678	8
272	12721	12901	180	32374	1
287	12721	12901	180	32374	1
291	1195	1327	131	17233	11
311	392	422	31	938	8
313	550	577	27	722	5
319	983	1102	119	14126	12
322	3711	3800	90	8037	2
330	2618	2655	36	1311	1
350	1666	1669	3	9	0
354	1924	1991	66	4412	3
372	1698	1698	0	0	0
386	9998	9998	0	0	0
389	10191	10185	-6	37	0
391	84	80	-4	20	-5
392	84	80	-4	20	-5
393	10845	10893	48	2282	0
395	21500	21895	395	156018	2
396	17985	18325	340	115614	2
397	11857	12037	180	32407	2
399	12658	12810	152	22982	1
404	698	698	0	0	0
405	2521	2598	76	5846	3
406	988	1012	24	566	2
408	2901	2901	0	0	0
409	9568	9608	40	1588	0
410	9353	9356	2	5	0
413	1647	1647	0	0	0
416	3711	3800	90	8037	2
419	1182	1313	131	17149	11
420	325	351	25	637	8
421	2487	2544	57	3266	2
428	4633	4717	83	6949	2
432	8026	8043	17	301	0
433	7280	7319	39	1511	1
435	444	469	24	598	6
437	148	148	0	0	0
438	6799	6799	0	0	0
439	2400	2400	0	0	0
441	3255	3348	93	8576	3
442	11200	11200	0	0	0
443	4383	4513	130	16866	3
446	2618	2655	36	1311	1
454	11200	11200	0	0	0
SUM	**319489**	**323962**	**4473**	**899024**	

gc:model ratio	**0.99**