

# Low-temperature Ar/N<sub>2</sub> remote plasma nitridation of SiO<sub>2</sub> thin films

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Low-temperature nitridation of SiO<sub>2</sub> thin films by Ar/N<sub>2</sub> remote plasma processing was investigated using on-line Auger electron spectroscopy, angle-resolved x-ray photoelectron spectroscopy (ARXPS), and optical emission spectroscopy (OES). Nitridation experiments were performed at 300 °C using 30 W Ar/N<sub>2</sub> remote plasmas at 0.1 and 0.3 Torr. Ar/N<sub>2</sub> remote plasma exposure of 5 nm SiO<sub>2</sub> films for 30 min results in nitrogen incorporation throughout the films, independent of process pressure and plasma reactor configuration (i.e., upstream versus downstream N<sub>2</sub> injection). ARXPS indicates a N–Si<sub>3</sub> local bonding configuration with second nearest neighbor oxygen atoms. Ar/N<sub>2</sub> remote plasma exposure at 0.1 Torr results in higher nitrogen concentrations (8–10 at. %). Reactor configuration has a negligible effect at 0.1 Torr; conversely, downstream N<sub>2</sub> injection results in higher nitrogen concentrations (5–6 at. %) than upstream injection (3–4 at. %) at 0.3 Torr. OES indicates that the Ar/N<sub>2</sub> remote plasmas contain N<sub>2</sub> triplet excited states and ground-state N atoms. The Ar emission intensities and the saturation N concentrations in the resultant films follow similar trends with processing pressure and reactor configuration; the N<sub>2</sub> first positive emission intensities run counter to these trends. We infer that low-temperature SiO<sub>2</sub> nitridation by Ar/N<sub>2</sub> remote plasmas is a two-step process: O removal by Ar<sup>+</sup> ion bombardment and N insertion by plasma-generated active N species. Moreover, the first step appears to be rate limiting under the conditions employed in this study. Annealing the oxynitride films in N<sub>2</sub> at 900 °C decreases the N concentration and results in a more uniform nitrogen distribution. © 2002 American Vacuum Society.

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## I. INTRODUCTION

Silicon dioxide (SiO<sub>2</sub>) films have been used as the gate dielectric in metal–oxide–silicon (MOS) devices since the inception of integrated circuits; however, as device dimensions are aggressively scaled down into the sub-0.1 μm regime, the conventional SiO<sub>2</sub> gate dielectric faces a number of challenges. Ultrathin Si oxynitride (SiO<sub>x</sub>N<sub>y</sub>) films have been identified as leading candidates to replace conventional SiO<sub>2</sub> gate dielectrics for present and future ultralarge-scale-integrated circuits<sup>1,2</sup> due to their improved reliability<sup>3–5</sup> and boron penetration resistance.<sup>6,7</sup> Incorporation of N atoms at the top surface of the gate dielectric in *p*+ MOS field-effect transistors creates a barrier to boron penetration.<sup>8,9</sup> Nitrogen incorporation into SiO<sub>2</sub> increases the dielectric constant permitting the use of a physically thicker film which results in lower leakage currents.<sup>10–12</sup> Nitrogen incorporated near the

Si–SiO<sub>2</sub> interface reduces hot-electron degradation and improves breakdown properties.<sup>3,13–23</sup> Recent industry trends suggest that a relatively uniform nitrogen concentration throughout the gate dielectric film may give optimum device performance.

A variety of processing techniques have been developed to form ultrathin Si oxynitride films at low temperatures. These include plasma processing,<sup>19,24–27</sup> low-pressure chemical vapor deposition,<sup>28,29</sup> jet vapor deposition,<sup>30</sup> low energy ion implantation,<sup>31</sup> (followed by oxidation) and atomic layer deposition.<sup>32</sup> Among these, remote plasma processing<sup>33,34</sup> offers unique capabilities for gate dielectric applications. Remote plasma processes for top surface nitridation of thermally grown oxides have been developed and applied in complementary MOS device applications.<sup>24,35</sup> We recently investigated nitridation of 3 nm SiO<sub>2</sub> films using He/N<sub>2</sub> remote plasmas.<sup>36</sup> By increasing the process pressure from 0.1 to 0.3 Torr, a relatively uniform nitrogen concentration profile was obtained within the resultant oxynitride film.

In this article, we report on the low-temperature nitrida-

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tion of 5 nm SiO<sub>2</sub> films using Ar/N<sub>2</sub> remote plasmas and the influence of postnitridation annealing at 900 °C on the nitrogen concentration profiles within the films. Nitridation experiments were performed at 300 °C using upstream and downstream Ar/N<sub>2</sub> remote plasma processes. In the upstream process, N<sub>2</sub> and Ar are excited in a remote rf discharge. In the downstream process, Ar atoms are excited in a remote rf plasma and N<sub>2</sub> is injected downstream. Nitridation experiments were performed at process pressures of 0.1 and 0.3 Torr to determine the effects of plasma confinement on the concentration and spatial distribution of nitrogen in the resultant oxynitride films. Angle-resolved x-ray photoelectron spectroscopy (ARXPS) was employed to determine the concentration, spatial distribution, and local chemical bonding of nitrogen in the films, and optical emission spectroscopy (OES) was used to provide an insight into the remote plasma chemistry.

## II. EXPERIMENT

### A. Apparatus

The nitridation experiments were performed using a remote plasma processing chamber that has been described previously.<sup>37</sup> The chamber has three main components; (i) radio frequency plasma tube (a quartz tube wrapped with a Cu coil to which 13.56 MHz radiation is applied), (ii) gas dispersal ring (for downstream gas injection without plasma excitation), and (iii) substrate heater stage. A Seiko–Seiki hybrid turbomolecular/drag pump is used for process pumping.

### B. Materials and methods

The substrates were 50-mm-diam phosphorous-doped Si(100) with a resistivity of 5.0–10.0 Ω cm ( $\sim 5 \times 10^{15}$  cm<sup>-3</sup>). After a conventional wet chemical cleaning, a 10-nm-thick sacrificial oxide was grown in a conventional thermal oxidation furnace at 900 °C, which was followed by a 20 min N<sub>2</sub> anneal at 900 °C to reduce suboxides at the Si–SiO<sub>2</sub> interface. The samples were then dipped in a dilute (1 wt. %) HF solution to completely remove the sacrificial oxide. Immediately following the HF dip, each sample was rinsed in de-ionized H<sub>2</sub>O, blown dry with N<sub>2</sub>, and loaded in the load-lock chamber of the processing tool.

The 5 nm SiO<sub>2</sub> films were formed by a three-step process involving remote plasma-enhanced chemical vapor deposition (RPECVD) and rapid thermal annealing (RTA). In the first step, Ar/O<sub>2</sub> remote plasma-assisted oxidation (RPAO) was used for Si–SiO<sub>2</sub> interface formation. In the second step, an upstream Ar/O<sub>2</sub> remote plasma with downstream injection of 2% SiH<sub>4</sub> in He was employed for SiO<sub>2</sub> RPECVD. The temperature, pressure, and rf power used for RPAO and RPECVD were 300 °C, 0.3 Torr, and 30 W, respectively. After RPECVD, the wafer was transferred into the RTA chamber under high vacuum, and then annealed at 900 °C for 30 s in 0.3 Torr He ambient (third step). The resultant SiO<sub>2</sub> films were nitrided at 300 °C using 30 W Ar/N<sub>2</sub> remote plasmas. Research-grade Ar and N<sub>2</sub> were me-

tered at 160 and 60 sccm, respectively, using mass flow controllers. Nitridation experiments were performed at 0.1 and 0.3 Torr in the upstream and downstream configurations.

Postnitridation annealing at 900 °C in a N<sub>2</sub> ambient was performed in a conventional horizontal tube furnace with a quartz liner. The flow rate of research-grade N<sub>2</sub> during annealing was 5 slpm. The samples were inserted with the furnace idling at 200 °C, and the temperature was then ramped slowly to 900 °C. To test for thermal oxidation during annealing, a clean Si sample (with native oxide) was annealed in the furnace under similar conditions for 30 min. A negligible change in the native oxide thickness was observed.

### C. Characterization

On-line Auger electron spectroscopy (AES) using a 3 keV primary electron beam was performed in an adjacent surface analysis chamber. AE spectra were collected in the  $N(E)$  mode using a single-pass cylindrical mirror analyzer and differentiated numerically.  $dN(E)/dE$  spectra were used to quantify the nitrogen concentrations at the top surface of the oxide using elemental sensitivity factors generated from Si, SiO<sub>2</sub>, and Si<sub>3</sub>N<sub>4</sub> standards.<sup>38</sup>

ARXP spectra were measured *ex situ* with a PHI 3057 x-ray photoelectron spectroscopy (XPS) system comprising a 10-360 spherical capacitor analyzer, Omni Focus III fixed-aperture lens, 16-element multichannel detector, and 257 DR11 PC interface card. The Mg anode of a PHI 1248 dual-anode (Mg/Al) x-ray source was used. The binding energies are referenced to the adventitious carbon peak at 284.8 eV. Film composition was determined using published elemental sensitivity factors.<sup>39</sup>

OES was used to monitor the electronically excited species in the Ar/N<sub>2</sub> remote plasmas. The plasma emission was sampled through a sapphire window and transmitted via optical fiber to an EG&G Princeton Applied Research Model 1235 triple-grating spectrograph and optical multichannel analyzer. The sapphire window was mounted on a mini-Conflat port located  $\sim 20$  mm below the end of the plasma tube and  $\sim 15$  mm above the gas-dispersal ring. A diffraction grating with 150 lines/mm, blazed at 650 nm, was used to collect spectra between 350 and 950 nm.

## III. RESULTS

### A. On-line AES

Figure 1 shows the temporal evolution of the surface N concentration (measured by on-line AES) of 5 nm SiO<sub>2</sub> films exposed to Ar/N<sub>2</sub> remote plasmas at 0.1 and 0.3 Torr. The surface N concentration initially increases with exposure time and then tends toward saturation. The data indicate that decreasing the process pressure from 0.3 to 0.1 Torr increases the saturation N concentration. The initial nitridation rate (initial slope of the plot in Fig. 1) is also greater at 0.1 Torr thus indicating faster nitridation kinetics at lower pressures. The data for upstream Ar/N<sub>2</sub> plasma nitridation were fit to the following pseudo-first order kinetic expressions:

$$0.1 \text{ Torr} \quad [N] = 21.2\{1 - \exp(-0.13t_N)\} \quad (\text{at. \%}), \quad (1)$$

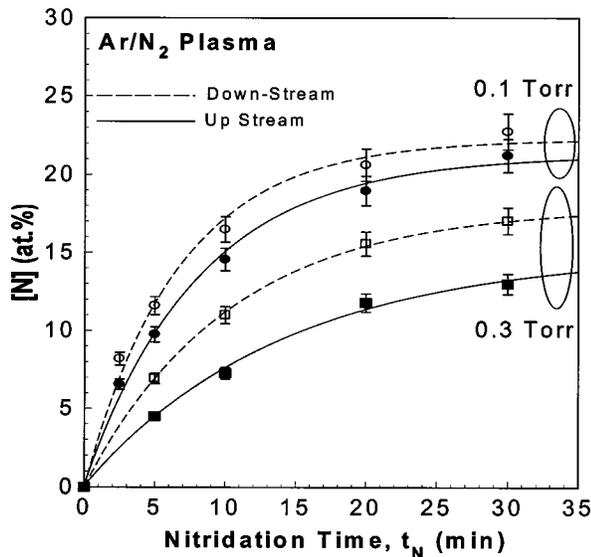


FIG. 1. Nitrogen concentration as a function of nitridation time, as determined by on-line AES, for 5 nm SiO<sub>2</sub> films exposed to 30 W Ar/N<sub>2</sub> remote plasmas at 0.1 and 0.3 Torr (upstream and downstream configurations). The substrate temperature was 300 °C in each experiment.

$$0.3 \text{ Torr} \quad [N] = 15.1 \{1 - \exp(-0.10t_N)\} \quad (\text{at. \%}), \quad (2)$$

where  $t_N$  is the nitridation time. The saturation N concentration and first-order rate constant are  $\sim 40\%$  and  $\sim 30\%$  higher, respectively, for Ar/N<sub>2</sub> plasma nitridation at 0.1 Torr. Figure 1 also indicates that at each pressure, the nitridation rate and saturation N concentration are greater for downstream N<sub>2</sub> injection. The differences between upstream and downstream Ar/N<sub>2</sub> plasma nitridation are small at 0.1 Torr, but more pronounced when the pressure is increased to 0.3 Torr.

## B. Angle-resolved XPS

Figure 2 shows the N 1s ARXP spectra of a 5 nm SiO<sub>2</sub> film following Ar/N<sub>2</sub> remote plasma exposure for 30 min at 30 W and 0.1 Torr in the upstream configuration. Spectra are shown for photoelectron take-off angles of 90°, 60°, 40°, 20°, and 10°. The take-off angle is defined as the angle between the sample surface and the analyzer axis (see Fig. 2 inset). A distinct N 1s peak is observed at  $398.0 \pm 0.2$  eV irrespective of take-off angle. This N 1s binding energy suggests a N-Si<sub>2</sub> (with a N dangling bond) or N-Si<sub>3</sub> bonding configuration. A strong N 1s signal is observed at a 10° take-off angle indicating the presence of nitrogen near the top surface of the film. As the take-off angle is increased, the XPS sampling depth increases enhancing the signals from the film and the Si substrate. The spectra in Fig. 2 evidence that increasing the take-off angle from 10° to 40° increases the N 1s signal indicating the presence of N within the film. A maximum in the N 1s signal is observed at  $\sim 40^\circ$ , and the Si 2p (oxide,  $\sim 103$  eV) signal also displays a maximum near 40° take-off angle. The XPS sampling depth is sufficiently

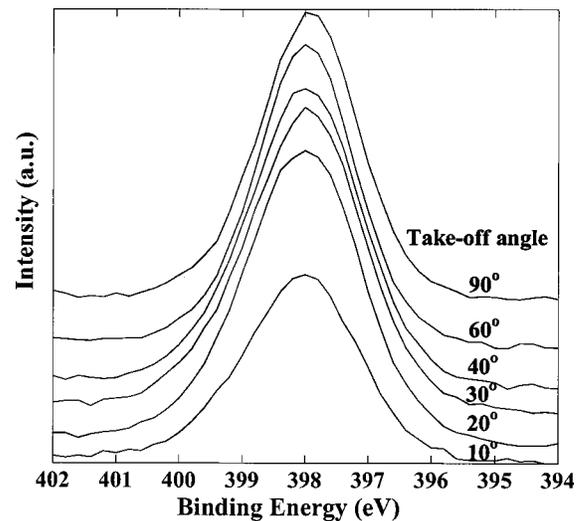


FIG. 2. N 1s photoelectron spectra at selected take-off angles for a 5 nm SiO<sub>2</sub> film after exposure to a 30 W Ar/N<sub>2</sub> remote plasma at 0.1 Torr (upstream) for 30 min.

large ( $>5$  nm) at take-off angles greater than 40° that signal intensity from the oxynitride layer is reduced.

Figure 3 shows the normalized N 1s, O 1s, and Si 2p signals as a function of the sine of the take-off angle (which is proportional to sampling depth) for an SiO<sub>2</sub> film nitrided at 0.1 Torr (upstream) for 30 min. The signal intensities were normalized using the Si 2p (oxide) intensity at each angle, and each signal was then self-normalized at a take-off angle of 90°. The C 1s signal (not shown) decreases sharply with increasing sampling depth, consistent with the presence of adventitious carbon at the top surface. Conversely, the Si 2p (substrate) signal increases monotonically with increasing sampling depth. The trend of the N 1s signal with sampling depth is not similar to either the Si 2p (substrate) or C 1s signal, indicating that neither Si-SiO<sub>2</sub> interfacial nor SiO<sub>2</sub>

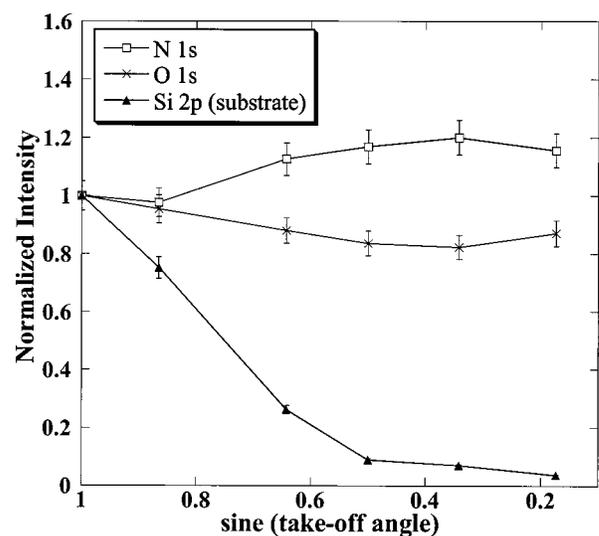


FIG. 3. Normalized N 1s, O 1s, and Si 2p (substrate) photoelectron signals as a function of the sine of the take-off angle. The 5 nm SiO<sub>2</sub> film was exposed to a 30 W Ar/N<sub>2</sub> remote plasma at 0.1 Torr (upstream) for 30 min.

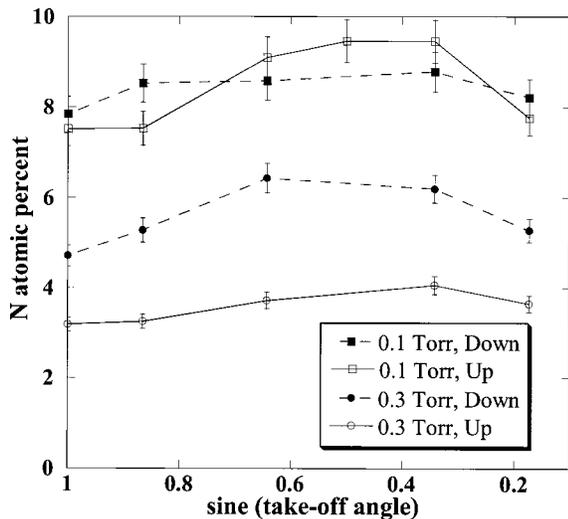


FIG. 4. Nitrogen concentration as a function of ARXPS sampling depth for 5 nm SiO<sub>2</sub> films exposed to 30 W Ar/N<sub>2</sub> remote plasmas at 0.1 and 0.3 Torr (upstream and downstream configurations).

top surface nitridation has occurred.<sup>36,40</sup> A maximum in the N 1s signal is observed at approximately 0.34 (a take-off angle of 20°); the O 1s signal exhibits a shallow minimum at the same position. Moreover, the N 1s and O 1s profiles are nearly mirror images of each other, which is consistent with substitution of N atoms for O atoms within the film.

Qualitatively similar ARXPS results were obtained for 5 nm SiO<sub>2</sub> films following Ar/N<sub>2</sub> remote plasma nitridation for 30 min, irrespective of process pressure and reactor configuration. A plot of N atomic percent versus the sine of the ARXPS take-off angle for samples nitrided for 30 min at 0.1 and 0.3 Torr and in the upstream and downstream configurations is shown in Fig. 4. The data indicate that the nitrogen concentration in the films increases as the pressure is reduced from 0.3 to 0.1 Torr. At 0.3 Torr, more nitrogen is incorporated in the downstream configuration; however, this effect is negligible at 0.1 Torr. The trends in N concentration with pressure and reactor configuration are similar to those observed by on-line AES (Fig. 1), but the absolute N concentrations measured by ARXPS are significantly lower than those estimated from the AE spectra.

Figure 5 shows the N 1s ARXP spectra of a 5 nm SiO<sub>2</sub> film nitrided using an Ar/N<sub>2</sub> remote plasma at 0.1 Torr (downstream), and then annealed in a N<sub>2</sub> ambient at 900 °C for 30 min. The Si 2p (substrate and oxide) signals do not indicate a change in film thickness, evidencing that no additional thermal oxidation/nitridation of the substrate occurred during annealing. A distinct N 1s peak is observed at 398.2 ± 0.2 eV independent of take-off angle. The trend of the N 1s signal with take-off angle is similar to that before annealing; however, the N 1s intensity is reduced significantly. Figure 6 compares the normalized N 1s, O 1s, and Si 2p (substrate) intensities at selected take-off angles for the Si oxynitride film before and after annealing in N<sub>2</sub> at 900 °C for 30 min. The N 1s and the O 1s profiles are nearly mirror images both before and after the 30 min annealing step; how-

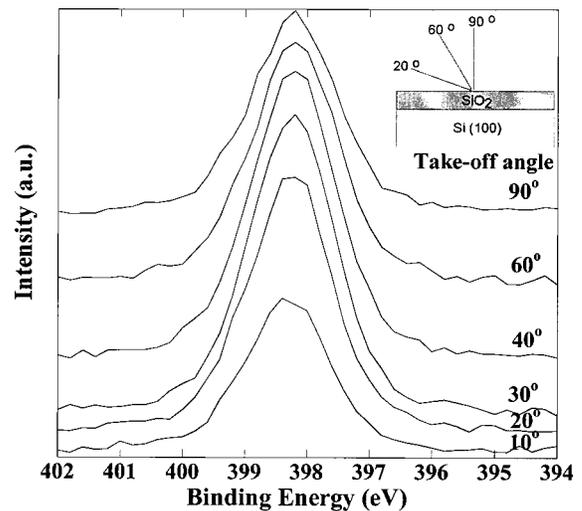


FIG. 5. N 1s photoelectron spectra at selected take-off angles for a 5 nm SiO<sub>2</sub> film after exposure to a 30 W Ar/N<sub>2</sub> remote plasma at 0.1 Torr (downstream) for 30 min and subsequent annealing in N<sub>2</sub> at 900 °C for 30 min.

ever, there is less variation of the signals with sampling depth after annealing, suggesting a more uniform distribution of nitrogen in the oxynitride film.

Furnace annealing of SiO<sub>2</sub> films nitrided at 0.3 Torr (upstream and downstream) in a N<sub>2</sub> ambient at 900 °C gave similar results: a decrease in the amount of nitrogen after the annealing step and increased uniformity of the oxynitride films. Figure 7 shows the effect of N<sub>2</sub> annealing on the N atomic percent in the SiO<sub>2</sub> films nitrided at 0.1 Torr (downstream) and 0.3 Torr (upstream). The nitrogen concentration in the SiO<sub>2</sub> film nitrided at 0.1 Torr decreases from ~8 to ~4 at. % after annealing for 30 min and to ~2.5 at. % after annealing for an additional 30 min. A sample nitrided at 0.3 Torr (upstream) shows a decrease in the N concentration from ~3.5 to ~2.5 at. % after annealing for 30 min. Similar

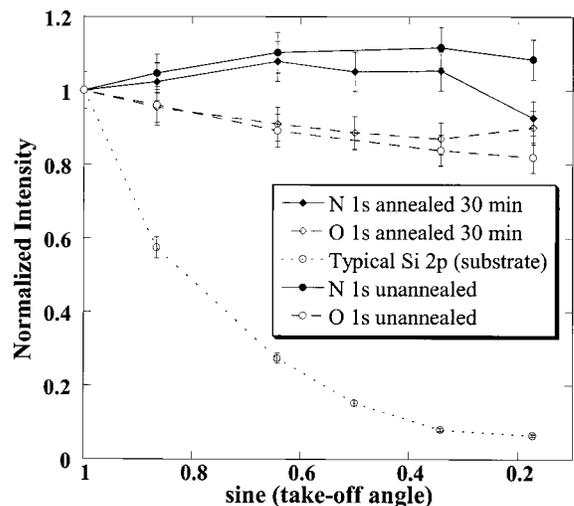


FIG. 6. Normalized N 1s, O 1s, and Si 2p (substrate) photoelectron signals as a function of the sine of the take-off angle. The 5 nm SiO<sub>2</sub> film was exposed to a 30 W Ar/N<sub>2</sub> remote plasma at 0.1 Torr (downstream) for 30 min and then annealed in N<sub>2</sub> at 900 °C for 30 min.

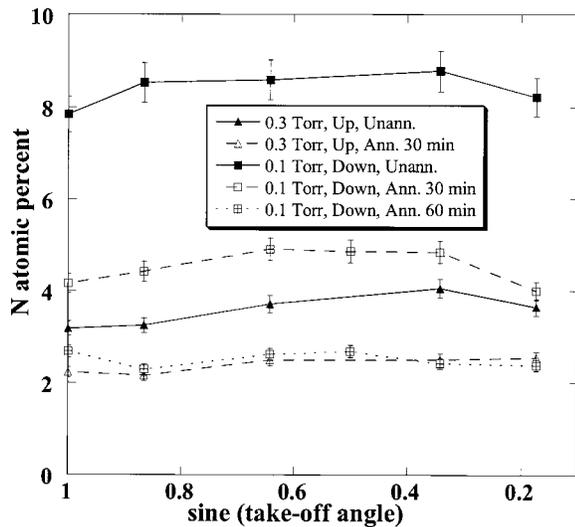


FIG. 7. Nitrogen concentration as a function of ARXPS sampling depth for 5 nm SiO<sub>2</sub> films after Ar/N<sub>2</sub> remote plasma exposure at 0.1 (downstream) and 0.3 Torr (upstream) for 30 min and subsequent annealing of the films in N<sub>2</sub> at 900 °C.

results were obtained for a sample nitrided at 0.3 Torr (downstream). The limiting N concentration after annealing appears to be ~2.5 at. %, irrespective of the initial N concentration. There is no indication of nitrogen accumulation at the Si-SiO<sub>2</sub> interface during annealing.

### C. Optical emission spectroscopy

Figure 8 compares the OE spectra of Ar(160 sccm)/N<sub>2</sub>(20 sccm) remote plasmas (upstream and downstream) and an N<sub>2</sub> remote plasma (180 sccm); in each case, the pressure and applied power were 0.3 Torr and 30 W, respectively. The N<sub>2</sub> first positive series (denoted “1+”) appears in Fig. 8(a) as five bands of regularly spaced emission peaks in the visible and near infrared spectral regions. These bands arise from

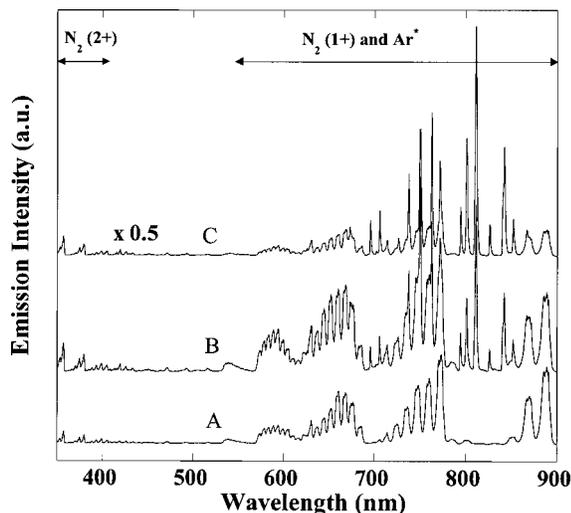


FIG. 8. OE spectra of 30 W N<sub>2</sub> and Ar/N<sub>2</sub> remote plasmas at 0.3 Torr: (a) undiluted N<sub>2</sub> (180 sccm) plasma, (b) Ar(160 sccm)/N<sub>2</sub>(60 sccm) plasma (upstream), and (c) Ar(160 sccm)/N<sub>2</sub>(60 sccm) plasma (downstream).

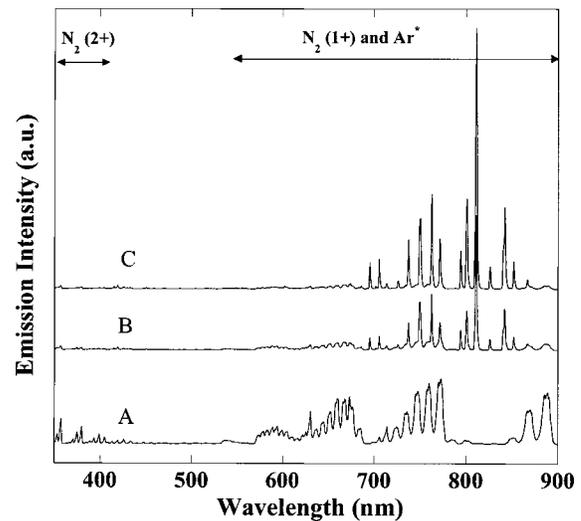


FIG. 9. OE spectra of 30 W N<sub>2</sub> and Ar/N<sub>2</sub> remote plasmas at 0.1 Torr: (a) undiluted N<sub>2</sub> (180 sccm) plasma, (b) Ar(160 sccm)/N<sub>2</sub>(60 sccm) plasma (upstream), and (c) Ar(160 sccm)/N<sub>2</sub>(60 sccm) plasma (downstream).

$B^3\Pi_g \rightarrow A^3\Sigma_u^+$  transitions. The strongest peaks of each 1+ band occur at 541, 594, 661, 773, and 889 nm. The relatively weak peaks that appear at 358, 380, 400, and 427 nm belong to the N<sub>2</sub> second positive series (denoted “2+”), which arises from  $C^3\Pi_u \rightarrow B^3\Pi_g$  transitions. In addition to emission from excited N<sub>2</sub> molecules, numerous Ar emission lines (at 696.5, 750.4, 763.5, 772.4, 794.8, 800, 811.5, and 826.4 nm) are observed for Ar/N<sub>2</sub> remote plasmas. The N<sub>2</sub> 1+ and 2+ emission bands of an upstream Ar/N<sub>2</sub> remote plasma at 0.3 Torr [Fig. 8(b)] are more intense than those of an N<sub>2</sub> remote plasma at 0.3 Torr [Fig. 8(a)]. The upstream configuration produces stronger N<sub>2</sub> 1+ emission as compared to the downstream configuration [Fig. 8(c)]. Conversely, the Ar emission lines are more intense when N<sub>2</sub> is introduced downstream.

Figure 9 compares the OE spectra of Ar/N<sub>2</sub> plasmas (upstream and downstream) and a N<sub>2</sub> remote plasma operating at 0.1 Torr and 30 W. Stronger N<sub>2</sub> 2+ emission (relative to 1+ emission) is observed from a N<sub>2</sub> remote plasma at 0.1 Torr [Fig. 9(a)] as compared to a N<sub>2</sub> remote plasma at 0.3 Torr [Fig. 8(a)]. The N<sub>2</sub> 1+ and 2+ emission bands of the Ar/N<sub>2</sub> remote plasmas at 0.1 Torr [Figs. 9(b) and 9(c)] are much less intense than the Ar emission lines. Moreover, the N<sub>2</sub> 1+ and 2+ emission intensities of the Ar/N<sub>2</sub> remote plasmas at 0.1 Torr are much less intense than those of a N<sub>2</sub> remote plasma at 0.1 Torr. The qualitative trends of the N<sub>2</sub> 1+ and Ar emission intensities with reactor configuration are, however, similar to those observed for Ar/N<sub>2</sub> remote plasmas at 0.3 Torr.

Emission from the first negative system of N<sub>2</sub><sup>+</sup> molecular ion transitions ( $B^2\Sigma_u^+ \rightarrow X^2\Sigma_g^+$ ), which would include bands at 391.4 and 426.4 nm, was undetectable for Ar/N<sub>2</sub> and N<sub>2</sub> remote plasmas at 0.1 and 0.3 Torr. Moreover, emission from excited N atoms at 821.6 nm was undetectable for Ar/N<sub>2</sub> and N<sub>2</sub> plasmas at 0.1 and 0.3 Torr. Other N atom emission lines overlap the strong N<sub>2</sub> 1+ emission bands.

#### IV. DISCUSSION

We recently reported that He dilution of N<sub>2</sub> remote plasmas significantly increases the nitridation rate of ultrathin SiO<sub>2</sub> films and the saturation N concentration in the resultant oxynitrides.<sup>36</sup> The results presented herein demonstrate that Ar dilution of N<sub>2</sub> remote plasmas produces similar effects. A 50 min exposure of a 3 nm SiO<sub>2</sub> film to a N<sub>2</sub> remote plasma at 0.1 Torr and 30 W incorporates only ~8 at. % N (as measured by on-line AES),<sup>36</sup> whereas, ~22 at. % N is incorporated by a 30 min Ar/N<sub>2</sub> plasma exposure at 0.1 Torr (Fig. 1). Changing the diluent from He to Ar at a process pressure of 0.1 Torr does not affect the nitridation rate or the saturation N concentration significantly; however, both are increased when Ar dilution is used at 0.3 Torr. He/N<sub>2</sub> and Ar/N<sub>2</sub> remote plasmas produce different N concentration profiles in the oxynitride films. Low-temperature nitridation of a 5 nm SiO<sub>2</sub> film using an Ar/N<sub>2</sub> remote plasma (at 0.1 or 0.3 Torr) yields a relatively uniform N concentration throughout the film. In contrast, nitridation of a 3 nm oxide using a He/N<sub>2</sub> remote plasma leads to top surface nitridation at 0.1 Torr and subsurface nitridation at 0.3 Torr.<sup>36</sup>

The Si oxynitride films produced by Ar/N<sub>2</sub> remote plasma exposure exhibit strong N 1s photoemission peaks at 398.0 ± 0.2 eV binding energy. The N 1s binding energy for the N–Si<sub>3</sub> bonding configuration found in bulk Si<sub>3</sub>N<sub>4</sub> is between 397.4 and 397.7 eV,<sup>20,41,42</sup> which is in reasonably close agreement with our results. [(Si–)<sub>2</sub>N–O] and other bonding configurations containing an N–O bond can be safely ruled out, since there is no evidence of a N 1s peak near 400 eV.<sup>42</sup> We attribute the slightly higher N 1s binding energy observed for the oxynitride films to an inductive effect of second nearest neighbor O atoms. Since O atoms are more electronegative than N atoms, replacement of N by O in second nearest neighbor positions will lead to a small positive binding energy shift. The magnitude of the shift will depend on the N concentration in the oxynitride film.

The saturation N concentrations determined by ARXPS are plotted for each combination of process pressure and Ar/N<sub>2</sub> remote plasma configuration (upstream versus downstream) in Fig. 10. Higher nitrogen concentrations are obtained at 0.1 Torr, irrespective of reactor configuration, and we have observed that the remote plasma is not confined to the plasma tube, but extends into the downstream processing chamber at this pressure. A significantly higher N concentration is achieved with downstream N<sub>2</sub> injection at 0.3 Torr; however, the effect of reactor configuration is negligible at 0.1 Torr. These observations are consistent with more complete mixing of upstream- and downstream-injected gases at lower pressure. The Ar emission intensities of Ar/N<sub>2</sub> remote plasmas and the saturation N concentrations in the resultant films follow similar trends, as illustrated in Fig. 10. In contrast, the N<sub>2</sub> 1+ emission intensities of Ar/N<sub>2</sub> remote plasmas exhibit an opposing trend. The Ar emission intensity is proportional to the remote plasma density; whereas, the N<sub>2</sub> 1+ emission intensity is proportional to the N<sub>2</sub>(B) concentration in the remote plasma.

We infer from OES that the Ar/N<sub>2</sub> and N<sub>2</sub> remote plasmas

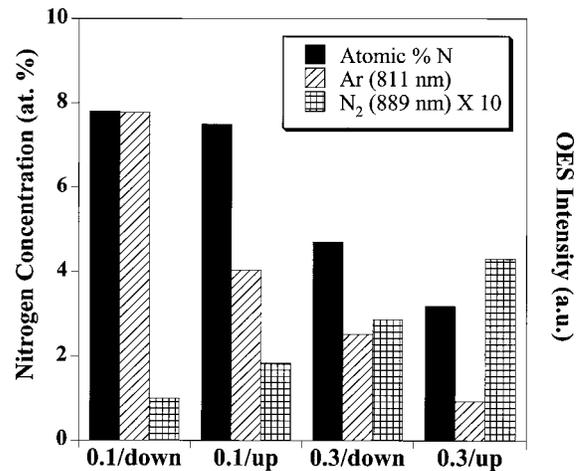
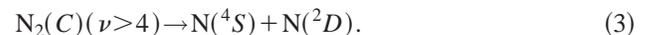


Fig. 10. Chart showing the saturation nitrogen concentrations (as measured by ARXPS) and the Ar (811 nm) and N<sub>2</sub> 1+ (889 nm) OE intensities for different combinations of process pressure and Ar/N<sub>2</sub> remote plasma configuration.

contain N<sub>2</sub> triplet excited states and small, albeit potentially efficacious, concentrations of <sup>4</sup>S (ground state) and <sup>2</sup>D N atoms. The N<sub>2</sub>(C) and N<sub>2</sub>(B) triplet states, which have radiative lifetimes of 40 ns and ~6 μs, respectively, cascade to the N<sub>2</sub>(A) metastable state; hence the 2+ and 1+ emission intensities are good indicators of the N<sub>2</sub>(A) generation rate. Vibrationally excited N<sub>2</sub>(C) species produce N atoms via predissociation:<sup>43</sup>



Consequently, the Ar/N<sub>2</sub> and N<sub>2</sub> remote plasmas may contain chemically significant concentrations of N atoms. Unfortunately, neither of these atomic N species can be detected directly by OES, since the <sup>2</sup>D → <sup>4</sup>S radiative transition is forbidden by dipole selection rules. The addition of Ar to a N<sub>2</sub> remote plasma at 0.3 Torr enhances the generation of N<sub>2</sub>(C) by excitation transfer.<sup>44</sup>



as evidenced by the stronger 1+ and 2+ emission intensities in Fig. 8(b). A similar enhancement is not observed at 0.1 Torr, however, consistent with a lower collision frequency. Although a N<sub>2</sub> flow rate of 60 sccm (diluted in 160 sccm Ar at 30 W power) was used in the nitridation experiments and the OES measurements were made using 20 sccm N<sub>2</sub> (diluted in 160 sccm Ar at 30 W power), we have confirmed that the qualitative OES trends are unaffected by increasing the N<sub>2</sub> flow rate to 60 sccm.

The ARXPS intensity profiles in Fig. 3 suggest that nitridation occurs via replacement of O by N in the amorphous SiO<sub>2</sub> network. We infer that low-temperature nitridation by Ar/N<sub>2</sub> remote plasma exposure is a two-step process: O removal by Ar<sup>+</sup> ion bombardment and N insertion by plasma-generated active N species. The N incorporation pathway appears to be the same, independent of pressure and reactor configuration. Moreover, the first step appears to be rate limiting under the conditions employed in this study. This hy-

pothesis explains the similar dependencies of the saturation N concentrations and Ar emission intensities on process pressure and reactor configuration (Fig. 10). The very slow nitridation of SiO<sub>2</sub> using an N<sub>2</sub> remote plasma at 0.1 Torr (despite intense N<sub>2</sub><sup>1+</sup> emission) may be explained by the absence of Ar<sup>+</sup> (or significant N<sub>2</sub><sup>+</sup>) ion bombardment. The observed enhancement of the nitridation rate at 0.3 Torr upon substituting Ar for He as the diluent adds further support for this hypothesis, since the lighter He<sup>+</sup> ions are expected to be relatively ineffective at removing O from the SiO<sub>2</sub> network. N<sub>2</sub><sup>+</sup> ions generated in He/N<sub>2</sub> remote plasmas, however, are capable of effecting the replacement of O by N in SiO<sub>2</sub> films. Specifically, we have attributed the top surface nitridation of SiO<sub>2</sub> films by He/N<sub>2</sub> remote plasma exposure at 0.1 Torr to N<sub>2</sub><sup>+</sup> species.<sup>36</sup> In contrast, the relatively uniform N concentration profile obtained by Ar/N<sub>2</sub> remote plasma processing at 0.1 Torr is attributed to an Ar<sup>+</sup> ion-assisted incorporation mechanism.

Annealing the oxynitride films at 900 °C in N<sub>2</sub> results in significant displacement of N by O<sup>42</sup> and a more uniform N concentration profile. The N 1s photoelectron binding energy after annealing (398.2±0.2 eV) indicates that the nitrogen remaining in the film retains the N–Si<sub>3</sub> bonding configuration. The displacement of nitrogen increases with annealing time, as illustrated in Fig. 7 using a sample prepared by Ar/N<sub>2</sub> remote plasma exposure at 0.1 Torr (downstream) and annealed twice at 900 °C for a total of 60 min. There appears to be a limiting N concentration of ~2.5 at. % that is independent of the initial N concentration achieved by low-temperature Ar/N<sub>2</sub> remote plasma processing. This was verified using a sample exposed to an Ar/N<sub>2</sub> remote plasma at 0.3 Torr (downstream) and annealed at 900 °C in N<sub>2</sub> for 30 min to achieve a uniform N concentration of ~2.5 at. %; there was no significant change in the N concentration profile on further annealing of this sample at 900 °C in N<sub>2</sub>.

## V. CONCLUSIONS

Argon dilution of N<sub>2</sub> remote plasmas significantly increases the nitridation rate of SiO<sub>2</sub> thin films and the saturation N concentration in the resultant oxynitrides. Low-temperature nitridation of 5 nm SiO<sub>2</sub> films using Ar/N<sub>2</sub> remote plasmas (at 0.1 and 0.3 Torr) produces oxynitrides with relatively uniform N concentration distributions. The oxynitride films exhibit strong N 1s photoemission peaks at 398.0±0.2 eV binding energy that are indicative of N–Si<sub>3</sub> local bonding with O second nearest neighbors. Higher N concentrations are obtained by Ar/N<sub>2</sub> remote plasma exposure at 0.1 Torr, independent of plasma reactor configuration (upstream versus downstream N<sub>2</sub> injection). The saturation N concentration obtained at 0.3 Torr is significantly higher when N<sub>2</sub> is injected downstream. We infer from OES that the Ar/N<sub>2</sub> remote plasmas contain N<sub>2</sub> triplet excited states and small, albeit potentially efficacious, concentrations of <sup>4</sup>S (ground state) and <sup>2</sup>D N atoms. The OES Ar emission intensities and the saturation N concentrations in the resultant films exhibit similar trends with process pressure and reactor configuration. In contrast, the N<sub>2</sub><sup>1+</sup> emission intensities of

remote Ar/N<sub>2</sub> plasmas show an opposing trend. We infer that low-temperature SiO<sub>2</sub> nitridation by Ar/N<sub>2</sub> remote plasma exposure is a two-step process: O removal by Ar<sup>+</sup> ion bombardment and N insertion by plasma-generated active N species. Moreover, the first step appears to be rate limiting under the conditions employed in this study. Annealing the oxynitride films at 900 °C in N<sub>2</sub> decreases the N concentration and results in a more uniform nitrogen distribution.

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