

Spatial and Temporal Changes in Land Use and Water Quality  
in Endangered Cape Fear Shiner Habitats

by

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## **Abstract**

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The Cape Fear shiner (*Notropis mekistocholas*) is an endangered minnow species endemic to the Cape Fear watershed. The future existence of the shiner is threatened largely by degraded water quality and population fragmentation by dams. An important factor that can affect the quality of a surface water body is the land use within its watershed. Using a geographic information system (GIS), we created a unique watershed which drained to Cape Fear shiner habitats and we analyzed how anthropogenic land use in the watershed had changed between 1974 and 2012. We also obtained water quality data collected during the same time period at five North Carolina Department of Environmental Quality (NCDEQ) monitoring stations located in Cape Fear shiner habitats. Measurements of several common water quality indicators including specific conductance, temperature, pH, dissolved oxygen, fecal coliform and total phosphorus were aggregated and analyzed for changes over time. Between 1974 and 2012, the greatest shifts in land use occurred for land classified as “low usage” which decreased by 16.9% and land classified as “semi-developed” or “developed” which gained 14.8% and 2.5% respectively. A Regional Kendall test revealed statistically significant trends for increasing specific conductance levels and decreasing fecal coliform levels in the watershed during the time period from 1992 to 2002. However, maximum monthly fecal coliform levels at each of the five monitoring stations exceeded North Carolina’s Class C surface water standards. If present trends continue, development of land within this watershed may further impact water quality in Cape Fear shiner habitats.

## **Table of Contents**

1 Introduction .....	4
2 Study area .....	5
3 Data preparation and methodology .....	6
3.1 Watershed delineation.....	6
3.2 Land use mapping and change detection .....	6
3.3 Water quality analysis .....	6
3.3.1 Selection of water quality monitoring stations.....	6
3.3.2 Regional Kendall test on water quality data .....	7
4 Results .....	8
4.1 Land use change .....	8
4.2 Regional Kendall water quality trends .....	9
4.3 Water quality at monitoring stations.....	10
5 Discussion.....	11

## 1 Introduction

Rich species diversity helps stabilize ecosystems under stress from changing environmental conditions. Human demands on freshwater ecosystems are increasing and could lead to the extinction or imperilment of plant and animal species (Strayer and Dudgeon 2010). In the past three decades, many native freshwater fish populations in the southern United States have become threatened or endangered including the Cape Fear shiner (*Notropis mekistocholas*) (Warren et al. 2000). The Cape Fear shiner is a small minnow species endemic to the Haw River, the Rocky River and the Deep River located in the Cape Fear watershed of North Carolina (USFWS 2005). In 1987, the Cape Fear shiner was added to the endangered species list and three areas in the Rocky River and the Deep River were designated as critical habitat (Federal Register 1987).

The Cape Fear shiners' decline in abundance and range are mainly attributed to habitat fragmentation by dams, and habitat degradation caused by human development (USFWS 2017) (Hewitt et al. 2006). Fragmentation by dams can reduce genetic diversity in small populations which may negatively affect their ability to respond to environmental disturbances (Cooper 2017). However, genetic variation among studied populations of Cape Fear shiners remains relatively high and several impoundments have been removed (Saillant et al. 2004). The demolition of the Carbonton Dam in 2005 allowed mixing of isolated populations of shiners in the Deep River (USFW 2005). The Hoosier Dam, which once isolated populations of Cape Fear shiners in the Rocky River, was removed in November 2018 allowing shiners access to high-quality reaches and isolated populations (Ward et al. 2018). The High Falls Dam, an impoundment separating populations of Cape Fear shiners in the Deep River, is also being considered for removal (Baxley 2018).

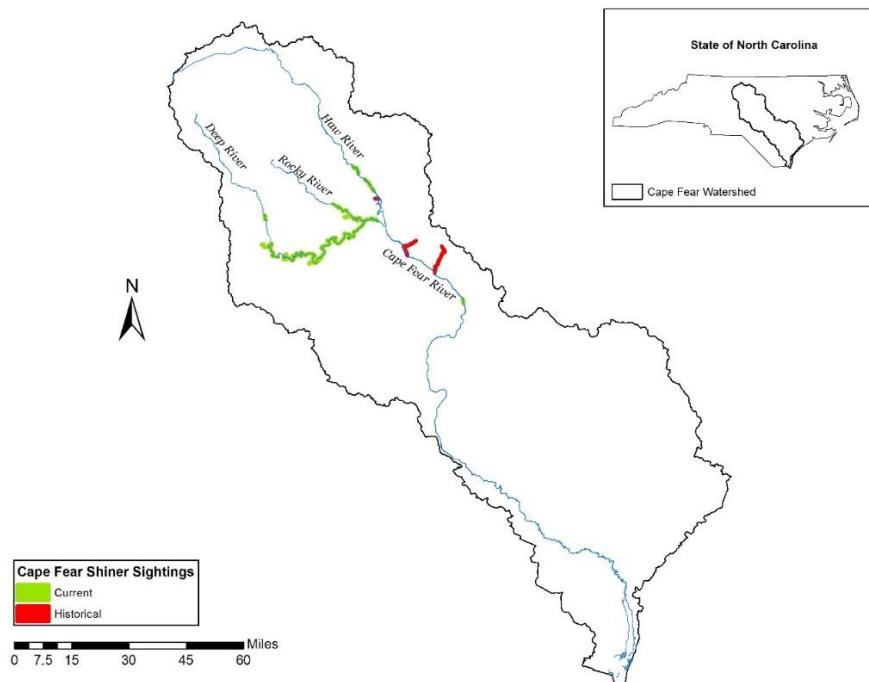
The influence of anthropogenic land use change on the decline of Cape Fear shiner populations has yet to be evaluated. Transformation of land within a watershed can alter the geomorphic processes that maintain freshwater ecosystems resulting in degraded water quality. North Carolina has one of the highest rates of population growth in the U.S. Much of this growth is occurring in the North Carolina Piedmont where Cape Fear shiner critical habitat areas exist (US Census Bureau 2016). Areas which undergo heavy population growth often have increased transformation of land from undeveloped or natural to developed (Shukla et al. 2018). Urbanization in a catchment can increase the amount of impervious surface and intensify the flow of polluted runoff into receiving waters (Allan 2004). Cape Fear shiners have exhibited decreased growth and survival when exposed to various pollutants including organic pesticides, polycyclic aromatic hydrocarbons and metals (Hewitt et al. 2006). These pollutants enter surface-water bodies as runoff, thereby linking land use of the catchment with surface water quality (Allan 2004).

A geographic information system (GIS) can be used to delineate a watershed which drains to a particular point location and to determine changes in anthropogenic land use over time within that watershed (Shukla et al. 2018). Additionally, a GIS can identify North Carolina Department of Environmental Quality (NCDEQ) water quality monitoring stations near or within Cape Fear

shiner critical habitat. Current and historical water quality data collected by the NCDEQ monitoring stations can be aggregated and used to characterize changes in water quality over time. In this study GIS and historic water quality datasets were analyzed to determine whether changes in anthropogenic land use can be correlated to changes in water quality within a watershed that contains Cape Fear shiner habitat.

## 2 Study area

The Cape Fear River Basin is located in the Piedmont region of North Carolina, USA. It is the most inhabited basin in the state encompassing approximately one fourth of the state's population (Shea et al. 2006). It originates in the headwaters of the Haw River and the Deep River, passes through the Triad and Triangle regions, and then flows southeast to meet the Atlantic Ocean in Wilmington, North Carolina. The Cape Fear shiner inhabits waters in the upper Cape Fear River Basin including tributaries and main streams of the Deep, Haw, Rocky, and Cape Fear rivers in Chatham, Harnett, Lee, Moore and Randolph counties (USFWS 2005; NCHNHP 2018) (Fig 1).



**Figure 1.** Location map of the study area in central NC and Cape Fear shiner sighting locations.

### **3 Data preparation and methodology**

#### **3.1 Watershed delineation**

In ArcMap version 10.5, we used Arc Hydro tools to define a watershed that drains to Cape Fear shiner river habitats. Terrain processing was performed on a digital elevation model (DEM) that had been clipped to the extent of the upper Cape Fear River Basin (USGS 2012). A unique watershed polygon was created to exemplify land draining to shiner habitats by choosing a point on the delineated stream network that was slightly downstream of the confluence of the Haw and Deep rivers.

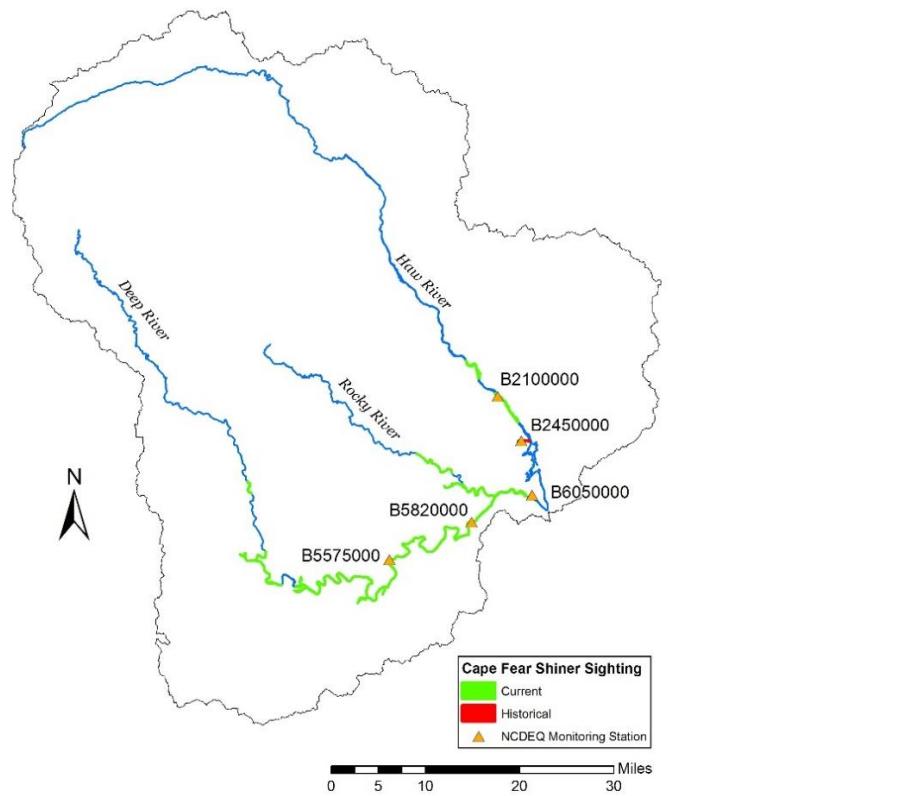
#### **3.2 Land use mapping and change detection**

A dataset completed as a part of the United States Geological Survey (USGS) National Water-Quality Assessment (NAWQA) Program and designated the NAWQA Wall-to-Wall Anthropogenic Land Use Trends (NWALT) was used to determine changes in anthropogenic land use over time (Falcone 2015). NWALT includes five 60-meter geospatial rasters which show nineteen anthropogenic land use classes for five time periods: 1974, 1982, 1992, 2002, and 2012. In ArcMap version 10.5, we masked the rasters to the Cape Fear shiner watershed polygon, converted the rasters into polygons and merged the polygons by land use classes. We determined the total change in area for each land use class using the field calculator tool in ArcMap and calculated the percent change by decade. We identified the decade where the greatest total percent change in anthropogenic land use had occurred.

#### **3.3 Water quality analysis**

##### **3.3.1 Selection of water quality monitoring stations**

NCDEQ manages several water quality monitoring coalitions which collect surface water samples from 270 stations monthly in order to assess a variety of physical, chemical and biological parameters. Since several NCDEQ monitoring coalition stations are located along the Haw, Rocky and Deep rivers, we used these existing water quality datasets to determine trends in water quality within the watershed. We used an NCDEQ monitoring coalition stations shapefile to identify stations within close proximity to Cape Fear shiner sightings and which had sampled water during the time period from 1974 and 2012 (Vander Borgh 2018). Five NCDEQ monitoring stations met these criteria: B6050000, B2100000, B2450000, B5575000, and B5820000 (Fig 2). We combined water quality data for each station provided by the NCDEQ with historic water quality data downloaded via the Water Quality Portal website (Read et al. 2017). We aggregated monthly measurements for specific conductance, temperature, pH, dissolved oxygen (DO), total phosphorus and fecal coliform by station for the period of time between 1992 and 2002. We calculated annual median values at each station for each water quality indicator over time. Furthermore, we determined minimum monthly DO values and maximum monthly fecal coliform, total phosphorus, and specific conductance levels for each year at each station.



**Figure 2.** Location of NCDEQ monitoring stations and Cape Fear shiner sightings within the delineated watershed.

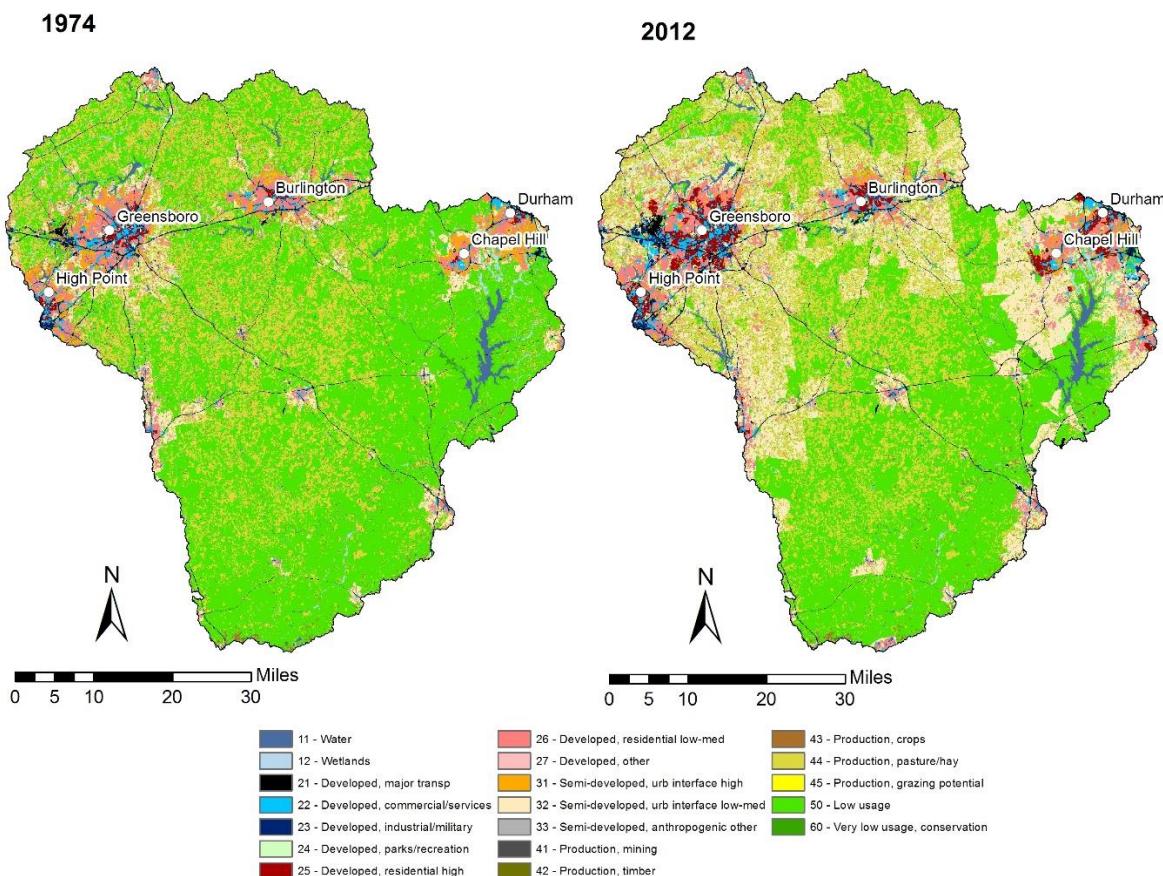
### 3.3.2 Regional Kendall test on water quality data

We performed a Regional Kendall test for trend on median annual measurements of specific conductance, temperature, DO, phosphorus, pH and fecal coliform using a USGS program. Specifically, this program tested for trend at each of the five monitoring locations and then combined the tests in order to look for a consistent regional trend (Helsel et al. 2006). The test generated a median trend output which was expressed as an increasing or decreasing amount per unit of time (Helsel et al. 2006). The test was performed on median annual water quality measurements for the period of time in which the watershed experienced the greatest changes in land use.

## 4 Results

### 4.1 Land use change

Analysis of anthropogenic land use within the watershed draining to known Cape Fear shiner locations has shown an increase in development between the years 1974 and 2012 (Fig 3). Land classified as “low usage” decreased by 17% or 341,895 acres while land classified as “semi-developed, urban interface lo-med” increased by 15% or 298,976 acres. Additionally, land classified as “developed” increased by 4.6% or 92,047 acres (Table 1). Shifts in land use from “low usage” to “semi-developed” or “developed” appear to occur in close proximity to urban areas including the cities of Greensboro, Burlington, High Point, and Chapel Hill (Fig 3). Land used for production purposes lost 1.7% or 33,711 acres over the four decades (Table 1). All other land use classifications experienced losses or gains of less than 1% between decades and thus, were considered minor changes at the watershed scale. The greatest total percent change in land use occurred between 1992 and 2002 and therefore, water quality data collected from January 1, 1992 to December 30, 2002 were used to represent changes in water quality over time within the watershed (Table 1).



**Figure 3.** Anthropogenic land use within the delineated watershed in 1974 and 2012.

**Table 1.** Classification of total land use (%) and total change (%) between 1974 and 2012.

Classification	Total land use (%) by year					Total change (%) 1974-2012
	1974	1982	1992	2002	2012	
water	1.48%	1.48%	1.48%	1.49%	1.62%	0.14%
wetlands	0.73%	0.73%	0.73%	0.74%	0.74%	0.01%
developed-major transp	1.37%	1.41%	1.49%	1.66%	1.75%	0.38%
developed, commercial/services	1.68%	1.76%	1.96%	2.23%	2.39%	0.71%
developed, industrial/military	0.48%	0.60%	0.69%	0.79%	0.86%	0.38%
developed, parks/recreation	0.39%	0.46%	0.52%	0.59%	0.64%	0.25%
developed, res hi	0.38%	0.63%	0.92%	1.48%	1.81%	1.43%
developed, res lo-med	4.07%	4.75%	5.31%	5.65%	6.52%	2.45%
developed, other	1.59%	1.23%	0.95%	0.72%	0.55%	-1.04%
semi-developed, urb interface hi	1.99%	1.67%	1.35%	1.18%	1.09%	-0.89%
semi-developed, urb interface lo-med	4.02%	7.89%	12.07%	16.84%	18.82%	14.80%
semi-developed, anthropogenic other	0.03%	0.02%	0.02%	0.01%	0.01%	-0.02%
production, mining	0.02%	0.02%	0.02%	0.02%	0.02%	0.00%
production, crops	2.17%	2.16%	1.31%	0.58%	0.75%	-1.42%
production, pasture/hay	21.71%	21.79%	22.01%	22.27%	21.58%	-0.13%
production, grazing potential	1.04%	0.95%	0.94%	0.93%	0.92%	-0.12%
Low usage	56.81%	52.39%	48.17%	42.77%	39.89%	-16.92%
Very low usage, conservation	0.05%	0.05%	0.05%	0.05%	0.05%	0.00%

#### 4.2 Regional Kendall water quality trends

The Regional Kendall test reported that several statistically significant water quality trends occurred between 1992 and 2002 in the study area. Specific conductance exhibited an increasing regional trend at a rate of 6  $\mu\text{mhos}/\text{cm}$  per year ( $p = 0.005$ ). Fecal coliform levels demonstrated a decreasing trend at a rate of 3.75/100 mL per year ( $p = 0.003$ ). Temperature, pH, DO, and phosphorus did not exhibit statistically significant regional trends.

#### **4.3 Water quality at monitoring stations**

Evaluating indicators of water quality at individual stations between the years 1992 and 2002 provided additional insight into spatial and temporal fluctuations in water quality surrounding Cape Fear shiner sightings. We analyzed minimum monthly DO measurements and compared them to the North Carolina quality standard for Class C waters ( $\geq 5$  mg/L) (NCDEQ 2005). We also analyzed and compared maximum monthly fecal coliform levels to North Carolina's Class C surface water standard ( $\leq 200$  organisms/100 mL) (NCDEQ 2005). Since the state of North Carolina has not set standards for specific conductance or total phosphorus, maximum monthly measurements for these parameters were assessed for changes over time. Median annual pH and median annual temperature were calculated and evaluated for changes over time.

**Dissolved oxygen** Minimum monthly DO levels were at or below the DO water quality standard for Class C waters ( $\geq 5$  mg/L) at Deep River stations B5820000 and B5575000 in all years (Fig 4A). Minimum monthly DO for Haw River station B2100000 fell below 5 mg/L in 1996. Haw River station B2450000 DO levels fell below 5 mg/L in 1995 and 1999. Station B6050000 exhibited minimum monthly DO readings consistently above the water quality standard.

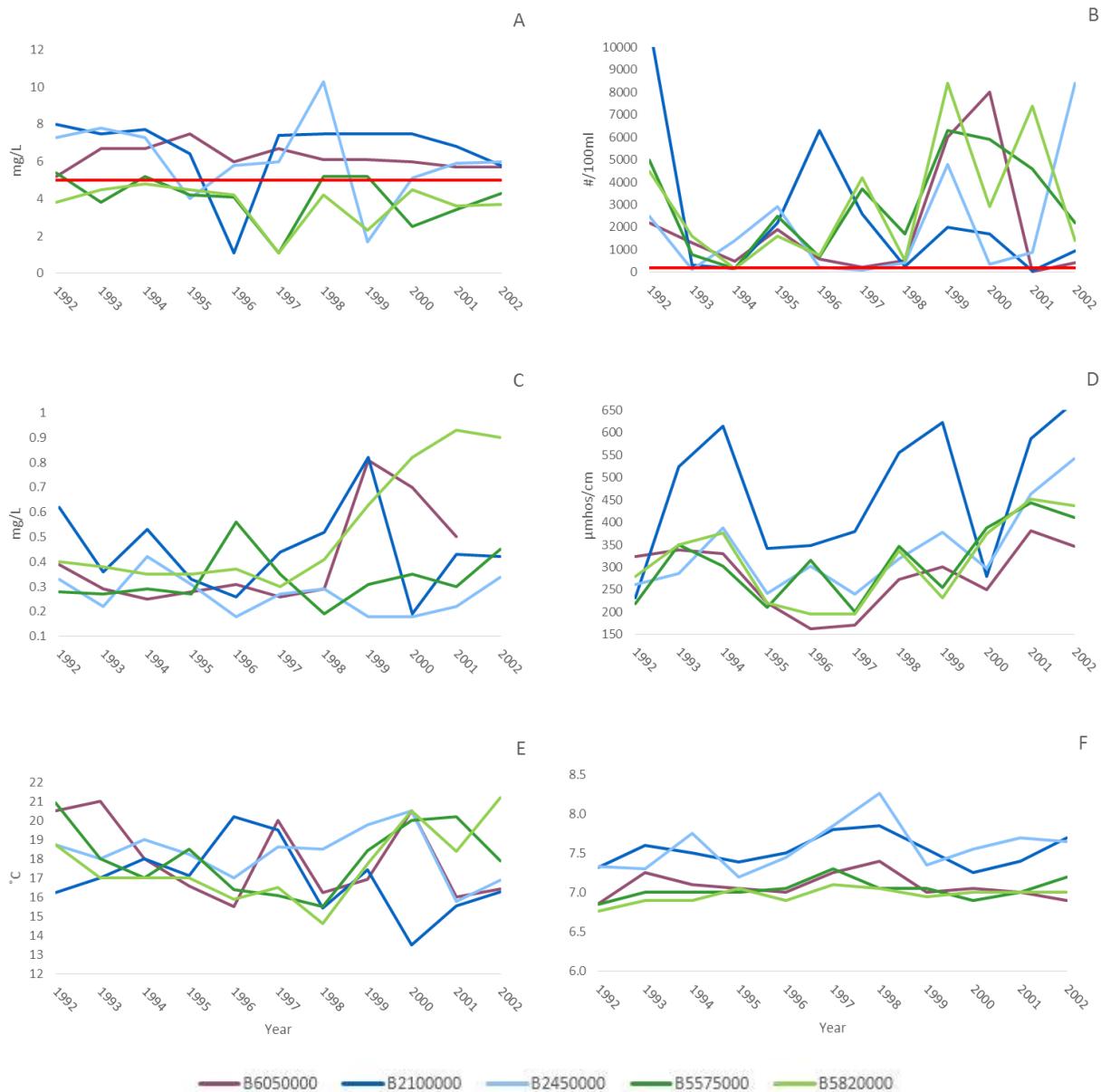
**Fecal coliform** Maximum monthly fecal coliform measurements exceeded North Carolina's Class C surface water standards ( $\leq 200$  organisms/100 mL) at all stations in 1992, 1995, 1999, 2000, and 2002 (Fig 4B; NCDEQ 2005). Maximum monthly levels were between ten and forty times greater than the set water standard.

**Total phosphorus** Maximum monthly total phosphorus measurements varied at each monitoring station over time (Fig 4C). Maximum total phosphorus levels at stations B5575000, B5820000, and B6050000 increased over time while levels at stations B2100000 and B2450000 decreased over time (data not shown).

**Specific conductance** Maximum monthly levels of specific conductance increased over time for all stations (Fig 4D). Maximum monthly specific conductance was higher at Haw River station B2100000 than the other stations for nine out of eleven years.

**Temperature** Median annual water temperatures varied at each monitoring station over time (Fig 4E).

**pH** The pH of most natural waters is between 6.0 and 8.5. Median annual pH values were within North Carolina's Class C surface water standards (Fig 4F; NCDEQ 2005). Between 1992 and 2002, the median annual pH of water samples obtained at Haw River stations (B2100000 and B2450000) were more basic than water samples collected from Deep River stations (B5575000 and B5820000).



**Figure 4.** Minimum monthly DO (A), maximum monthly fecal coliform (B), and maximum monthly total phosphorus (C), maximum monthly specific conductance (D), median annual temperature (E), and median annual pH (F) measurements at NCDEQ stations from 1992 to 2002. Red lines indicate North Carolina's Class C surface water standards.

## 5 Discussion

Between the years 1974 and 2012, land draining to Cape Fear shiner locations in the Haw, Rocky and Deep rivers experienced a period of urban expansion. It is widely accepted that urbanization is linked to increases in impervious surfaces (Allan 2004; Shukla et al. 2018). As vegetation in a watershed is converted to impervious surfaces in the form of paved roads,

buildings or parking lots, the environment is less capable of absorbing and diffusing any contaminants present in surface runoff. Specific conductance is an indirect measure of the presence of dissolved solids in water, and can be used as an indicator of water pollution (Morgan et al. 2012). Therefore, increasing regional trends in specific conductance in the study area suggest that greater amounts of contaminated runoff from impervious surfaces are flowing into the rivers. Increasing quantities of polluted runoff entering these rivers could negatively impact the success of Cape Fear shiner populations as demonstrated by past in-situ experiments (Hewitt et al. 2006). Runoff can absorb heat as it passes over impervious surfaces which can also increase the temperature of receiving waters (U.S. EPA 2017). Sporadic inputs of warm runoff may explain the highly variable temperatures observed for all of the river monitoring stations. It is unknown whether Cape Fear shiners are sensitive to temperature fluctuations like those observed in this study.

Development of land typically coincides with population growth. An increasing human population requires more water and creates greater quantities of waste water to be discharged into surface waters. Wastewater treatment plant (WWTP) effluent can affect nutrient loads, fecal coliform levels and specific conductance in receiving waterbodies (U.S. EPA 2017). Since phosphorus is often the limiting nutrient in aquatic systems, even a small addition of phosphorus can result in algae blooms, or aquatic plant overgrowth (Ouattara et al. 2014). Microbial decomposition of plant overgrowth can create environments with low DO levels which could impact the health of the Cape Fear shiner (Ouattara et al. 2014). According to the Cape Fear River Basinwide Water Quality Plan, no new nitrogen or phosphorus producing facilities were permitted in the Haw River watershed in order to maintain existing nitrogen and phosphorus loads (NCDEQ 2005). The Deep River watershed lacks a similar plan to limit nutrient inputs. This may be contributing to the incidence of higher maximum monthly phosphorus levels and minimum monthly DO levels below the state standard identified at Deep River monitoring stations. Likewise, fecal coliform bacteria can be found in WWTP effluent and indicate the possible presence of other pathogenic organisms. Although we identified a statistically significant downward regional trend in fecal coliform levels between 1992 and 2002, maximum monthly fecal coliform readings were considerably higher than the NC state standard of  $\leq 200$  organisms/100 mL (NCDEQ 2005). Although it is understood that land used for animal operations can contribute to increases in fecal coliform in surface waters, land used for production in the delineated watershed has decreased (Allan 2004). This suggests that at times, the quantity or quality of effluent from waste water treatment plants could negatively impact water quality and biota in this watershed. As previously discussed, specific conductance has increased in the study area over time. In addition to storm water runoff, contaminants in WWTP effluent may be influencing specific conductance measurements.

If current trends persist, development of land in the Cape Fear watershed will continue to impact the watershed's riparian ecosystems. Increases in land development during the study period were associated with a statistically significant trend in increasing specific conductance. This suggests that pollution associated with runoff from impervious surfaces and increased WWTP discharges could be negatively impacting water quality and the health of Cape Fear shiners. Although fecal coliform levels decreased over time in the study area, some monthly

fecal coliform measurements greatly exceeded NC state standards which suggests periods of sanitary sewer overflow (U.S. EPA 2017). Contaminants in untreated sewage could negatively impact shiner health. Cape Fear shiners may be able to overcome stressors linked with land development because the removal of several dams has allowed them to access additional river habitats. However it is doubtful that changes in land use are the only contributor to changes in water quality in the Haw, Rocky, and Deep rivers. An additional GIS analysis could help to determine if other factors like climate change, population density, or destruction of riparian buffers are impacting water quality. The survival and recovery of endangered species like the Cape Fear shiner will depend on closely monitoring and protecting their river habitats and the land surrounding them.

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