Failure Correlation in Software Reliability Models

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Key Words – Software reliability, Sequence of dependent software runs, Failure correlation, Markov renewal process.

Summary & Conclusions – Perhaps the most stringent restriction that is present in most software reliability models is the assumption of independence among successive software failures. Our research was motivated by the fact that although there are practical situations in which this assumption could be easily violated, much of the published literature on software reliability modeling does not seriously address this issue.

The research work presented in this paper is devoted to development of the software reliability modeling framework that enables us to account for the phenomena of failure correlation and to study its effects on the software reliability measures. The important property of the developed Markov renewal modeling approach is its flexibility. It allows us to construct the software reliability model in both discrete time and continuous time, and depending on our goals to base the analysis either on Markov chain theory or on renewal process theory. Thus, our modeling approach constitutes a significant step toward more consistent and realistic modeling of software reliability. It can be related to existing software reliability growth models. In fact, a number of input – domain and time – domain models can be derived as special cases under the assumption of failure independence.

This paper is mainly aimed at showing that the classical software reliability theory can be extended in order to consider a sequence of possibly dependent software runs, that is, failure correlation. It does not deal with inference or predictions per se. In order the model to be fully specified and applied for performing estimations and predictions in real software development projects we need to address many research issues, such as the detailed assumptions about the nature of the overall reliability growth and the way modeling parameters change as a result of the fault removal attempts.

1. INTRODUCTION

Acronyms

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<th>Acronym</th>
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<td>SRGM</td>
<td>software reliability growth model</td>
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<td>TBF</td>
<td>time between failure</td>
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<td>FC</td>
<td>failure count</td>
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<td>NHPP</td>
<td>non-homogeneous Poisson process</td>
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<td>DTMC</td>
<td>discrete time Markov chain</td>
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<td>SMP</td>
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Software reliability is widely recognized as one of the most important aspects of software quality spawning a lot of research effort into developing methods of quantifying it. Despite the progress in software reliability modeling, the usage of the models is restricted by often unrealistic assumptions made to obtain mathematically tractable models and by the lack of enough experimental data. Among the basic assumptions made by various software reliability models, one which appears to be the weakest point is the independence among successive software runs.

Most existing software reliability growth models (SRGM) assume that the testing is performed homogeneously and randomly, that is, the test data are chosen from the input space by some random mechanism and the software is tested using these data assuming homogeneous conditions. In practical situations usually this is not the case. During the testing phase, different test scenarios are usually grouped according to high level functionalities which means that a series of related test runs are conducted. In addition, input data are usually chosen in order to increase the testing effectiveness, that is, to detect as many faults as possible. As a result, once a failure is observed, usually a series of related test runs are conducted to help isolate the cause of failure. Overall, testing of software systems employs a mixture of structured (centered around scenarios), clustered (focused on fault localization) and random testing [32].

1 The singular & plural of an acronym are always spelled the same
The stochastic dependence of successive software runs also depends on the extent to which internal state of a software has been affected and on the nature of operations undertaken for execution resumption (i.e., whether or not they involve state cleaning) [16].

Assuming the independence among successive software runs does not seem to be appropriate in many operational usages of software either. For instance, in many applications, such as real-time control systems, the sequence of input values to the software tend to change slowly, that is, successive inputs are very close to each other. For these reasons, given a failure of a software for a particular input, there is a greater likelihood of it failing for successive inputs. In applications that operate on demand, similar types of demands made on the software tend to occur close to each other which can result in a succession of failures.

To summarize, there may be dependencies among successive software runs, that is, the assumption of the independence of software failures could be easily violated. It means that, if a software failure occurs there would tend to be an increased chance that another failure will occur in the near term. We say that software failures occur in clusters if failures have tendency to occur in groups, that is, the times between successive failures are short for some periods of time and long for other periods of time.

Prevalent SRGM fall into two categories: time between failure (TBF) models which treat the inter-failure interval as a random variable, and failure count (FC) models which treat the number of failures in a given period as a random variable. In the case of TBF models the parameters of the inter-failure distribution change as testing proceeds, while the software reliability evolution in FC models is described by letting the parameters of distribution, such as mean value function, be suitable functions of time. It is worth pointing out that the two approaches presented above are strictly related. Failure time intervals description and failure counting process description are essentially two different ways of looking at the same phenomenon. To some extent, it is possible to switch between them. Herein, the analysis of the existing models and the correspondence between the two classes will not be pursued any further. For survey on the existing SRGM the reader is referred to [29], [13], [23], [25], [35].

One of the basic assumptions common to both classes of models is that the failures, when the faults are detected, are independent. For example, in [6] this assumption is included in the Standard Assumptions that apply for each presented model. In other words, neither TBF nor FC models statistically satisfy the requirements of addressing the issue of dependencies among successive software runs which usually results in failure clustering. One of the reasons “Why conventional reliability theory fails” for software, listed in [11], is that the program runs are not always independent.

To the best of our knowledge, there are only a few published papers that consider failure correlation. The empirically developed Fourier series model proposed in [5] can be used for analyzing clustered failure data, especially those with cyclic behavior. The Compound-Poisson software reliability model presented in [27] considers multiple failures that occur simultaneously in bunches within the specified CPU second or time unit. The work presented in [33] considers the problem of modeling correlation between successive executions of the software fault-tolerance technique based on recovery blocks.

In this paper we propose a software reliability modeling framework, based on Markov renewal processes, which is capable of incorporating the possible dependence among successive software runs, that is, the effect of clustering. Markov renewal model formulation has several advantages, both theoretical and practical, such as:

- **Flexible and more consistent modeling of software reliability.** The model is constructed in two stages. First, we consider the outcomes of successive software runs to construct the model in discrete time. Then, considering the execution times of the software runs we build a model in continuous time.

- **Adaptability of the model to both dependent and independent sequences of software runs.** The model naturally introduces dependence among successive software runs, that is, failure correlation. Considering the independence among software runs is a special case of the proposed modeling framework.

- **Applicability to different phases of the software life cycle.** The proposed modeling approach is applicable for testing (debugging) phase, as well as for validation phase and operational phase.

The paper is organized as follows. Section 2 presents a brief overview of Markov renewal processes. Section 3 presents a software reliability modeling framework based on MRP which is then used to derive SRGM that incorporates the phenomena of failure correlation and enables us to study its effects on software reliability. Some ideas about the way particular assumptions can be removed or relaxed are introduced next. Section 4 presents the application of the model to the validation and operational phases. The relation of the Markov renewal modeling approach to the existing SRGM is explored in section 5. Finally, the discussion of the issues that should be taken into account when developing specific models within this framework is presented in section 6.
Notation

\( j \)  ordinal number of software run
\( Z_j \)  non-independent Bernoulli r.v.;
\( p \)  \( \Pr\{Z_{j+1} = 0 | Z_j = 0\} \)
\( q \)  \( \Pr\{Z_{j+1} = 1 | Z_j = 1\} \)
\( S_n \)  sum of dependent r.v. \( Z_j \) over \( j = 1, n \);
\( F_{kl}(t) \)  Cdf of time spent in a transition from state \( k \) to state \( l \) of SMP
\( F_{exs}(t) \)  Cdf of the successful run’s execution time
\( F_{exr}(t) \)  Cdf of the failed run’s execution time
\( F_{ex}(t) \)  Cdf of the run’s execution time when \( F_{exs}(t) = F_{exr}(t) \)
\( N(t) \)  number of runs in \( (0, t] \)
\( N_F(t) \)  number of failed runs in \( (0, t] \)
\( N_S(t) \)  number of successful runs in \( (0, t] \)
\( i \)  number of failures experienced
\( p_i, q_i \)  conditional probabilities of success and failure for testing runs that follow the occurrence of \( i \)-th failure
\( X_{i+1} \)  number of runs between \( i \)-th and \( (i + 1) \)-st failure
\( T_i \)  occurrence time of the \( i \)-th failure; \( T_0 = 0 \)
\( T_{i+1} \)  inter-failure time \( T_{i+1} = T_{i+1} - T_i \)
\( T_i \)  realization of \( T_{i+1} \)
\( R_{t+1}(t) \)  conditional survivor function
\( R_{t+1}(t) = \Pr\{T_{t+1} > t | t_1, \ldots, t_i\} \)
\( F_{t+1}(t) \)  conditional Cdf of \( T_{t+1} \);
\( f_{t+1}(t) \)  conditional pdf of \( T_{t+1} \)
\( \theta \)  unconditional probability of failure per run (validation and operational phase)

Other standard notation is given in the “Information for Readers & Authors” at the rear of each issue.

2. MARKOV RENEWAL PROCESSES – BRIEF OVERVIEW

Consider a process constructed as follows. First take a \( m \)-state discrete time Markov chain (DTMC) with transition probability matrix \( P = [p_{kl}] \). Next construct a process in continuous time by making the time spent in a transition from state \( k \) to state \( l \) have distribution function \( F_{kl}(t) \), such that times are mutually independent. At the end of the interval we imagine a point event of type \( l \). Such a process is called semi Markov process (SMP), and it is a generalization of both continuous and discrete time Markov processes with countable state spaces. A descriptive definition of SMP would be that it is a stochastic process which moves from one state to another among a countable number of states with the successive states visited forming a discrete time Markov chain, and that the process stays in a given state a random length of time, the distribution function of which may depend on this state as well as on the one to be visited next.

The family of stochastic processes used in this paper, called Markov renewal process (MRP), may be shown to be equivalent to the family of SMP [3], [4]. Thus, the SMP records the state of the process at each time point \( t \), while the MRP is a point (counting) process which records the number of times each of the possible states has been visited up to time \( t \). MRP are of general interest since they join together the theory of two different types of processes, the renewal process and the Markov chain. In studying MRP it is possible to follow the methods used for renewal processes, or base the treatment much more heavily on the theory of Markov chains.

In the renewal theory, the basic process studied is the number of renewals \( \{N(t); t \geq 0\} \) in the interval \( (0, t] \). If one regards the MRP as consisting of \( m \) dependent processes \( N_1(t), \ldots, N_m(t) \), where \( N_k(t) \) refers to the points of class \( k \), that is, the number of times state \( k \) has been visited, the observed process of points is the superposition \( N(t) = N_1(t) + \ldots + N_m(t) \). Many of the properties of Markov renewal processes are derived from those of renewal processes [3]. For example, the points of particular type form a renewal process, so that if these points are of interest, then it is necessary to consider only the distribution of an interval between successive points of this type and to make use of the standard results of renewal theory.

3. SOFTWARE RELIABILITY MODELING FRAMEWORK BASED ON MRP

We have developed a software reliability modeling framework based on the Markov renewal process which is intuitive and naturally introduces dependence among successive software runs. Since each software run has two possible outcomes, success and failure, the standard way of looking at the sequence of software runs is to consider it as a sequence of independent Bernoulli trials, where each trial has probability of success \( p \) and probability of failure \( q = 1 - p \). The two distribution functions, binomial and geometric, are connected with the independent Bernoulli trials:

- the number of runs that have failed among \( n \) successive software runs defines a random variable with a binomial pmf
- the number of software runs between two failures has the geometric pmf.

Since the number of software runs \( n \) is large and the failure probability \( q = 1 - p \) is small, the well known limiting results for these pmf are usually used as basic assumptions in software reliability models [18]:
• the number of failures in the limit has the Poisson distribution
• the time to failure in the limit is exponentially distributed.

Regarding the validity of the underlying assumptions, there are two questions:

1. Is the probability of failure \( q \) constant?
2. Are the runs independent?

The first question is addressed by SRGM which considers the testing phase of the software life cycle. When a failure occurs in any run removing the underlying faults will cause the probability of failure to vary. The work presented in this paper addresses the second question. Our goal is to show that the classical software reliability theory can be extended in order to consider a sequence of possibly dependent software runs.

The sequence of successive software runs (successful or failed) can be viewed as a realization of point events in time, that is, as a point process. Poisson process is the simplest point process. It considers only the failure points, that is, disregards the successful runs between two failures and ignores the information conveyed by them.

In this paper we view the sequence of dependent software runs, when the outcome of each run depends on the outcome of the previous run, as a sequence of dependent Bernoulli trials. Therefore, we need to consider both failed and successful runs, that is, both failure and non-failure stops of software operation. Convenient way of specifying a point process with more then one class of points is a Markov renewal process. It enable us to describe intuitively and separately the two elements of randomness in software operation: the uncertainty about the outcome of the software run and the uncertainty about the time that takes the run to be executed. Thus, MRP approach allows us to build the model in two stages. First, we define a DTMC which considers the outcomes from the sequence of possibly dependent software runs in discrete time. Next, we construct the process in continuous time by attaching the distributions of the runs execution time to the transitions of the DTMC. Thus, we obtain an SMP which describes both failure and execution behavior of the software. Since in software reliability theory we are interested in the distribution of the time to the next failure and the number of software failures in time interval of duration \( t \), we focus on the equivalent point process, that is, the MRP.

Assumptions

1. The probability of success or failure at each run depends on the outcome of the previous run.

2. A sequence of software runs is defined as a sequence of dependent Bernoulli trials.

3. Each software run takes random amount of time to be executed.

4. Software execution times are not identically distributed for successful and failed runs.

3.1. Software Reliability Model in Discrete Time

We view the sequence of software runs in discrete time as a sequence of dependent Bernoulli trials in which the probability of success or failure at each trial depends on the outcome of the previous trial. Let us associate with the \( j \)-th software run a binary valued random variable \( Z_j \) that distinguishes whether the outcome of that particular run resulted in success or failure:

\[
Z_j = \begin{cases} 
0 & \text{denotes a success on the } j\text{-th run} \\
1 & \text{denotes a failure on the } j\text{-th run.}
\end{cases}
\]

If we focus attention on failures and score 1 each time a failure occurs and 0 otherwise, then the cumulative score \( S_n \) is the number of runs that have resulted in a failure among \( n \) successive software runs. \( S_n \) can be written as the sum

\[
S_n = Z_1 + \ldots + Z_n
\]

of \( n \) possibly dependent random variables.

Suppose that if the \( j \)-th run results in failure then the probability of failure at the \((j + 1)\)-st run is \( q \) and the probability of success at the \((j + 1)\)-st run is \( 1 - q \), that is

\[
Pr\{Z_{j+1} = 1|Z_j = 1\} = q \\
Pr\{Z_{j+1} = 0|Z_j = 1\} = 1 - q.
\]

Similarly, if the \( j \)-th run results in success then there are probabilities \( p \) and \( 1 - p \) of success and failure respectively at the \((j + 1)\)-st run

\[
Pr\{Z_{j+1} = 0|Z_j = 0\} = p \\
Pr\{Z_{j+1} = 1|Z_j = 0\} = 1 - p.
\]

The sequence of dependent Bernoulli trials \( \{Z_j; j \geq 1\} \) defines a discrete time Markov chain with two states. One of the states denoted by 0 is regarded as success, and the other denoted by 1 as failure. A graphical description of this Markov chain is provided by its state diagram shown in figure 1 and its transition probability matrix is given by

\[
P = \begin{bmatrix}
p & 1 - p \\
1 - q & q
\end{bmatrix}, \quad 0 \leq p, q \leq 1.
\]

Since \( p \) and \( q \) are probabilities, it follows that

\[
|p + q - 1| \leq 1.
\]
next consider the case when successive software runs are negatively correlated \( p + q < 1 \). In other words, if software failure occurs in \( j \)-th run, there would be an increased chance that a success will occur in \((j+1)\)-st run, that is, there is a lack of clustering. In the boundary case, when the equality in (3) holds \( p + q = 0 \), i.e., \( p = q = 0 \), the Markov chain alternates deterministically between the two states, as in figure 3.

### 3.2. Software Reliability Model in Continuous Time

The next step in the model construction is to obtain a process in continuous time considering the time that takes software runs to be executed. Therefore we assign a distribution function \( F_{kl}(t) \) to the time spent in a transition from state \( k \) to state \( l \) of the DTMC in figure 1. It seems realistic to assume that the runs execution times are not identically distributed for successful and failed runs. Thus, distributions \( F_{kl}(t) \) depend only of the type of point at the end of the interval, that is, \( F_{00}(t) = F_{10}(t) = F_{xxs}(t) \) and \( F_{01}(t) = F_{11}(t) = F_{xxr}(t) \), where \( F_{xxs}(t) \) and \( F_{xxr}(t) \) are the distribution functions of the execution times of successful and failed runs, respectively.

With the addition of distributions \( F_{kl}(t) \) to the transitions of DTMC, we obtain the software reliability model in continuous time, that is, an MRP. The total number of

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**Figure 1. Markov interpretation of dependent Bernoulli trials**

Let us first consider in some detail the Markov chain. The probability \( q(p) \) is the conditional probability of failure (success) on a software run given that the previous run has failed (succeeded). The unconditional probability of failure on the \((j+1)\)-st run is:

\[
Pr\{Z_{j+1} = 1\} = Pr\{Z_{j+1} = 1, Z_j = 1\} + Pr\{Z_{j+1} = 1, Z_j = 0\} \\
= Pr\{Z_{j+1} = 1|Z_j = 1\} Pr\{Z_j = 1\} + Pr\{Z_{j+1} = 1|Z_j = 0\} Pr\{Z_j = 0\} \\
= q Pr\{Z_j = 1\} + (1 - p) Pr\{Z_j = 0\} \\
= q Pr\{Z_j = 1\} + (1 - p) [1 - Pr\{Z_j = 1\}] \\
= (1 - p) + (p + q - 1) Pr\{Z_j = 1\}. \quad (4)
\]

If \( p + q = 1 \) the Markov chain describes a sequence of independent Bernoulli trials. In that case the equation (4) reduces to \( Pr\{Z_{j+1} = 1\} = 1 - p = q \), which means that the failure probability does not depend on the outcome of the previous run. Thus each subsequent run has independently probabilities \( p \) and \( q = 1 - p \) of being a success and failure. It follows that the number of failures in \( n \) runs \( S_n \) is a sum of \( n \) mutually independent Bernoulli r.v. and has binomial pmf.

If \( p + q \neq 1 \) then the DTMC describes the sequence of dependent Bernoulli trials and enables us to accommodate possible dependence among successive runs. In this case the outcome of the software run (success or failure) depends on the outcome of the previous run as in equation (4) and the assumptions of binomial distribution do not hold. The pmf of the number of failures in \( n \) runs \( S_n \), given by the sum of \( n \) dependent random variables, can be derived using the observation that each visit to a given state of DTMC is a possibly delayed recurrent event [12].

When \( p + q > 1 \), runs are positively correlated, that is, if software failure occurs in \( j \)-th run, there would be an increased chance that another failure will occur in the next run. It is obvious that in this case failures occur in clusters. The boundary case arises when equality in (3) holds, i.e., \( p + q = 2 \) \((p = q = 1)\). This means that if the sequence of software runs starts with failure all successive runs will fail or if it starts with success all successive runs will succeed, that is, the Markov chain remains forever in its initial state as shown in figure 2.

**Figure 2. DTMC for the case \( p = q = 1 \)**

**Figure 3. DTMC for the case \( p = q = 0 \)**

The boundary cases are excluded from further analysis since they are somewhat trivial, with no practical interest. We impose the condition \( 0 < p, q < 1 \) on transition probabilities, which implies that \( |p + q - 1| < 1 \). In other words, DTMC in figure 1 is irreducible and aperiodic [34].
software runs \( \{ N(t), t \geq 0 \} \) is a superposition of two dependent renewal processes \( N_S(t) \) and \( N_F(t) \) which refer to the number of times states 0 (success) and 1 (failure) of the DTMC have been visited in \( (0, t] \).

### 3.3. Software Reliability Growth Model

In addition to assumptions #1 – #3, this section uses the following Assumptions

A1 Whenever a failure occurs, faults are detected and removed instantaneously.

A2 At each such event the probabilities of success and failure will change.

A3 Software execution times are identically distributed for successful and failed runs.

A4 Run’s execution time distribution does not change during the testing phase.

Assumptions A1, A3, and A4 can easily be relaxed or removed as shown in section 3.4.

During the testing phase software is subjected to a sequence of runs, making no changes if there is no failure. When a failure occurs on any run an attempt will be made to fix the underlying fault which will cause the conditional probabilities of success and failure on the next run to change. In other words, the transition probability matrix \( P_i \) given by

\[
P_i = \begin{bmatrix} p_i & 1 - p_i \\ 1 - q_i & q_i \end{bmatrix}
\]

defines the values of \( p_i \) and \( q_i \) for the testing runs that follow the occurrence of the \( i \)-th failure up to the occurrence of the next \( (i + 1) \)-st failure. Thus, the software reliability growth model in discrete time can be described with a sequence of dependent Bernoulli trials with state-dependent probabilities. The underlying stochastic process is a non-homogeneous discrete time Markov chain.

The sequence \( S_n = Z_1 + \ldots + Z_n \) provides an alternative description of reliability growth model considered here, that is, \( \{S_n\} \) defines the DTMC presented in figure 4. Both states \( i \) and \( i_s \) represent that failure state has been occupied \( i \) times. The state \( i \) represents the first trial for which the accumulated number of failures \( S_n \) equals \( i \), while \( i_s \) represents all subsequent trials for which \( S_n = i \), that is, all subsequent successful runs before the occurrence of next \( (i+1) \)-st failure. Without loss of generality we assume that the first run is successful, that is, 0 is the initial state.

The model in continuous time is obtained by assigning distribution function \( F_{ex,i}(t) \) to all transitions to states \( i \) \( (i \geq 1) \) of the DTMC in figure 4. For the sake of simplicity, we have chosen the same execution time distribution regardless of the outcome \( F_{ex}(t) = F_{ex,i}(t) = F_{ex,i}(t) \). Thus, the execution time \( T_{ex} \) of each software run has the distribution function \( F_{ex}(t) = P\{T_{ex} \leq t\} \). Considering the situation when software execution times are not identically distributed for successful and failed runs \( F_{ex,i}(t) \neq F_{ex,i}(t) \) is straightforward and will be discussed later.

In software reliability modeling we are interested in deriving the distribution of the time between successive failures. This means that only the points of particular type, i.e., failures are of interest. Therefore, it is necessary to consider only the distribution of an interval between successive failures and to make use of the standard results of renewal theory. When software reliability growth is considered a usual measure of reliability is conditional reliability. Since the system has experienced \( i \) failures, conditional reliability is the survivor function associated with \( (i + 1) \)-st failure.

First we derive the distribution of the discrete random variable \( X_{i+1} \) defined as a number of runs between two successive visits to the failure state of the DTMC, that is, a number of runs between the \( i \)-th and \( (i+1) \)-st failure. Clearly, (see the figure 4) the random variable \( X_{i+1} (i \geq 1) \) has the pmf:

\[
Pr\{X_{i+1} = k\} = \begin{cases} q_i & \text{if } k = 1 \\ (1 - q_i)p_i^{k-2}(1 - p_i) & \text{if } k \geq 2. \end{cases}
\]

Next, we derive the distribution of the time to next failure in continuous time which is the interval distribution of the point process \( N_F(t) \) that records the number of failures in \( (0, t] \). Let \( T_i' (i = 1, 2, \ldots) \) be a continuous random variable representing the occurrence time of \( i \)-th failure. Define \( T_{i+1} \) as the time elapsed from the \( i \)-th failure until the occurrence of the \( (i+1) \)-st failure. It follows that \( T_{i+1} = \sum_{j=1}^{i+1} T_j = T_i' + T_{i+1}' \), where \( T_0' = 0 \). We need to derive the distribution of the interval between successive failures \( T_{i+1} = T_{i+1}' - T_i' \).

It follows from (6) that the conditional distribution of the time to the \( (i+1) \)-st failure, given that the system has experienced \( i \) failures, \( F_{i+1}(t) = P\{T_{i+1} \leq t\} \) for \( i \geq 1 \) is given by:

\[
F_{i+1}(t) = q_i F_{ex}(t) + \sum_{k=2}^{\infty} (1 - q_i) p_i^{k-2}(1 - p_i) F_{ex,i}^{k}(t)
\]

where \( F_{ex,i}^{k} \) denotes the k-fold convolution of the distribution \( F_{ex} \).

The Laplace-Stieltjes transform (LST) of \( F_{ex}(t) \) is the function \( F_{ex}(s) \) defined for \( s \geq 0 \) by

\[
\hat{F}_{ex}(s) = \int_0^\infty e^{-st} dF_{ex}(t) = E[e^{-st}] .
\]
The LST of the distribution of the time to next failure \( F_{i+1}(t) \) becomes
\[
\hat{F}_{i+1}(s) = q_i \hat{F}_{ex}(s) + \sum_{k=2}^{\infty} (1 - q_i) p_i^k (1 - p_i) \hat{F}_{ex}^k(s) \\
= \frac{q_i \hat{F}_{ex}(s) + (1 - p_i - q_i) \hat{F}_{ex}^2(s)}{1 - p_i \hat{F}_{ex}(s)}. \tag{8}
\]

The inversion of (8) is in principle straightforward and reasonably simple closed form results can be obtained when the run’s execution time distribution \( F_{ex}(t) \) has rational LST (such as phase type distributions discussed in [4]).

We can develop some general properties of time to next failure without making assumptions about the form of the run’s execution time distribution \( F_{ex}(t) \). Due to the well known property of LST the moments can be derived easily by a simple differentiation of (8). Thus, the mean time to failure is
\[
E[T_{i+1}] = \left. -\frac{d\hat{F}_{i+1}(s)}{ds} \right|_{s=0} = \frac{2 - p_i - q_i}{1 - p_i} E[T_{ex}] \tag{9}
\]
where \( E[T_{ex}] \) is the run’s mean execution time. It can be shown that, on average, there is growth in reliability \( E[T_{i+1}] > E[T] \) if and only if
\[
1 - q_i + 1 - p_i > 1 - q_i. 
\]

If \( p_i + q_i = 1 \) then successive runs are independent and (9) becomes
\[
E[T_{i+1}] = \frac{E[T_{ex}]}{1 - p_i} \tag{10}
\]
which means that we can rewrite (9) as
\[
E[T_{i+1}] = (2 - p_i - q_i) E[T_{i+1}^{in}].
\]

If we introduce the notation \( \pi_i = p_i + q_i - 1 \) then
\[
E[T_{i+1}] = (1 - \pi_i) E[T_{i+1}^{in}]. \tag{10}
\]

Next, we derive the expression for the variance \( \text{Var}[T_{i+1}] = \sigma_{T_{i+1}}^2 \) which is the measure of spread or dispersion of a distribution. First we derive
\[
E[T_{i+1}^2] = \left. \frac{d^2 \hat{F}_{i+1}(s)}{d^2 s} \right|_{s=0} = \frac{d^2 \hat{F}_{i+1}(s)}{d^2 s} \bigg|_{s=0} = \frac{2 - p_i - q_i}{1 - p_i} \frac{d \hat{F}_{ex}(s)}{ds} 
\]
and then substitute it together with (9) in
\[
\text{Var}[T_{i+1}] = E[T_{i+1}^2] - (E[T_{i+1}])^2. \tag{11}
\]

It follows that the expression for \( \text{Var}[T_{i+1}] \) in terms of variance \( \text{Var}[T_{ex}] \) and mean \( E[T_{ex}] \) of the run’s execution time is given by
\[
\text{Var}[T_{i+1}] = \frac{2 - p_i - q_i}{1 - p_i} \text{Var}[T_{ex}] \\
+ \frac{(p_i + q_i)(1 - q_i)}{(1 - p_i)^2} (E[T_{ex}])^2. \tag{11}
\]

Besides the mean and variance, one particularly important feature of distributions is the coefficient of variation \( C_{T_{i+1}} \), defined by ratio of the standard deviation to the mean
\[
C_{T_{i+1}} = \frac{\sigma_{T_{i+1}}}{E[T_{i+1}]} \tag{12}
\]
\( C_{T_{i+1}} \) is a relative measure of the spread of the distribution, and the idea behind its use is that a deviation of amount \( \sigma_{T_{i+1}} \) is less important when \( E[T_{i+1}] \) is large than when \( E[T_{i+1}] \) is small. Substituting (9) and (11) into (12) leads to
\[
C_{T_{i+1}}^2 = \frac{1}{2 - p_i - q_i} C_{T_{i+1}}^{2^{in}} + \frac{(p_i - q_i)(p_i + q_i - 1)}{(2 - p_i - q_i)^2} \tag{13}
\]
where \( C_{T_{i+1}}^{2^{in}} \) is the coefficient of variation if the successive runs were independent.
A very plausible assumption for any software is that the
probabilities of failure are much smaller than the pro-
babilities of success, that is, \( p_i > q_i \). A program for which this
assumption could not be made would be enormously unreli-
able, and it is unlikely that its use would be ever attempted.
It follows that the sign of the second term in equation (13)
will depend only on \( \pi_i = p_i + q_i - 1 \).

The derived equations for the mean time to failure (10)
and the coefficient of variation (13) enable us to study the
effects of failure correlation on software reliability. When
the successive software runs are dependent \( (\pi_i \neq 0) \) two
cases can be considered:

1. If \( 0 < \pi_i < 1 \) \( (p_i + q_i > 1) \) then successive runs
are positively correlated, that is, the failures occur in
clusters. It follows from (10) that the mean time be-
tween failures is shorter than it would be if the runs
were independent \( E[T_{i+1}] < E[T_{i+1}^m] \). Since the sec-
ond term in (13) is positive it follows that \( C_{T_{i+1}}^2 >
\frac{1}{1-\pi_i} C_{T_{i+1}}^2 > C_{T_{i+1}}^2 \). These results imply that
in the presence of failure clustering the inter-failure time has
smaller mean and greater variability compared to the
independent case. In other words, SRGM that assume
independence among failures will result in overly opti-
mistic predictions.

2. If \( -1 < \pi_i < 0 \) \( (p_i + q_i < 1) \) then successive runs
are negatively correlated, that is, \( E[T_{i+1}] > E[T_{i+1}^m] \).
In this case the second term in (13) is negative and
hence \( C_{T_{i+1}}^2 < \frac{1}{\pi_i} C_{T_{i+1}}^2 < C_{T_{i+1}}^2 \). It follows that
assuming a lack of clustering leads to greater mean and
smaller variability of the inter-failure times compared
to the independent case.

3.4. Model Generalizations

The presented model can be generalized in many ways.
We next present some of these generalizations, together
with brief comments on the nature of new ideas involved.

First, considering non-identically distributed execution
times for successful and failed runs \( F_{ex,s}(t) \neq F_{ex,f}(t) \), that
is, replacing assumption A3 with assumption 4 is straight-
forward; we assign distribution function \( F_{ex,s}(t) \) to each
transitions to state \( i_s \) (successful runs), and \( F_{ex,f}(t) \) to each
transition to state \( i_f \) (failed runs). By making appropriate
changes in (7) we get:

\[
F_{i+1}(t) = q_i F_{ex,s}(t) + \sum_{k=2}^{\infty} (1 - q_i) p_i^{k-2} F_{ex,s}^{(k-1)}(t) (1 - p_i) F_{ex,f}(t)
\]

which leads to LST transform:

\[
\hat{F}_{i+1}(s) = q_i \hat{F}_{ex,s}(s) + \frac{(1 - p_i - q_i) \hat{F}_{ex,s}(s) \hat{F}_{ex,f}(s)}{1 - p_i \hat{F}_{ex,f}(s)}.
\]

Next generalization considers assumption A4, that is, the
possible variability of the run’s execution time distribution
\( F_{ex,t}(t) \). We have assumed that this distribution does not
change during the whole testing phase. This assumption
can be violated for reasons such as significant changes in the
code due to the fault fixing attempts. In other words, it may
be useful to consider the situation when the parameters of
the distribution \( F_{ex,t}(t) \) change after each failure occurrence
(i.e., after each visit to the failure state). In that case, we
need only to substitute \( F_{ex,t}(t) \) with \( F_{ex,t}(t) \) in equation (7).

One more generalization that can be considered in applying
the MRP approach is to choose \( m \)-state Markov chain
to describe the sequence of software runs in discrete space.
Thus, it is possible to define DTMC which considers more
than one type of failure. We can also add states that will
enable us to consider periods of time when the software is
idle or non-zero time to remove faults. For example, DTMC
presented in figure 5 can be used to describe different testing
scenarios, such as:

- \( u = v = 1 \) the model reduces to the one considered
previously, that is, instantaneous fault removal which
occurs immediately after the failure is observed.
- \( u = 1 \) and \( v = 0 \) non-instantaneous fault removal
which occurs immediately after the failure is observed.
- \( u = 1 \) and \( v \neq 0 \) delayed and non-instantaneous fault
removal following the failure occurrence.
- \( u \neq 1 \) program can be changed, due to the fault
removal or other modifications, following successful
runs as well.

As in the case of SRGM in section 3.3 the transition proba-
bilities will change at each visit to fault removal state.

With a few exceptions, such as [8] and [9], the existing
SRGM assume that faults that are source of failures are
detected and corrected instantly following the failure occu-
rence, that is, ignore repair times. The model in figure 5 en-
ables us to remove assumption A1 in order to encompass the
case when the fault removal process in non-instantaneous
and does not necessary follow immediately after the fail-
ure is observed. Unfortunately, unlike the run’s execution
time distribution which can be easily incorporated into the
model based on measurements, currently there is a lack of
information and little agreement about repair time distribu-
tions.
4. APPLICABILITY TO VALIDATION PHASE AND OPERATIONAL PHASE

The presented modeling approach can be used to make reliability estimations of the software based on the results of testing performed in its validation phase. In the case of safety critical software it is common to demand that all known faults are removed. This means that if there is a failure during the testing the fault must be identified and removed. After the testing (debugging) phase the software enters a validation phase in order to show that it has a high reliability prior to actual use. In this phase no changes are made to the software. Usually, the validation testing for safety critical software takes the form of specified number of test cases or specified period of working that must be executed failure-free. While of particular interest in the case of safety critical software it is common to demand that all known faults are removed. This means that if there is a failure during the testing the fault must be identified and removed. After the testing (debugging) phase the software enters a validation phase in order to show that it has a high reliability prior to actual use. In this phase no changes are made to the software. Usually, the validation testing for safety critical software takes the form of specified number of test cases or specified period of working that must be executed failure-free. While of particular interest in the case of safety critical software, the same approach can be applied to any software that, in its current version, has not failed for $n$ successive runs.

Let $\theta$ be the unconditional probability of a failure per run (execution). For the case of independent Bernoulli trials the probabilities that each run will fail $\theta = q$ or succeed $1 - \theta = p$ are independent of previous runs. Thus, the probability that $n$ independent test runs are conducted without failure is given by

$$(1 - \theta)^n = (1 - q)^n = p^n. \quad (16)$$

The largest value of $\theta$ such that

$$(1 - \theta)^n \geq \alpha \quad (17)$$

defines the $1 - \alpha$ upper confidence bound on $\theta$ [11], [34]. Solving (17) for $\theta$ gives $1 - \alpha$ confidence that the failure probability per execution of a software that reveals no failure in $n$ independent runs is below

$$\theta^* = 1 - \alpha^{1/n}. \quad (18)$$

For the related recent work on a Bayesian estimation approach see [19] and [21].

Now consider a sequence of possibly dependent software runs. During the validation phase the software is not changing, that is, $p$ and $q$ do not vary. In other words, the sequence of runs can be described by the homogeneous DTMC with transition probability matrix (2). We assume that the DTMC is stationary, that is, each run has the same probability of failure $\Pr\{Z_{j+1} = 1\} = \Pr\{Z_j = 1\} = \theta$. Then, from (4) it follows that

$$\theta = \frac{1 - p}{2 - p - q}. \quad (19)$$

The probability that $n$ successive runs will succeed is given by:

$$\Pr\{Z_n = \ldots = Z_2 = Z_1 = 0 | Z_0 = 1\}$$
$$= \Pr\{Z_n = 0 | Z_{n-1} = 0\} \ldots \Pr\{Z_2 = 0 | Z_1 = 0\} \times \Pr\{Z_1 = 0 | Z_0 = 1\}$$
$$= p^{n-1} (1 - q). \quad (20)$$

Using the notation $\pi = p + q - 1$ in addition to (19) we can rewrite (20) in terms of $\theta$ and $\pi$. It follows that in the case of dependent Bernoulli trials $(1 - \pi)$ upper confidence bound on failure probability per execution $\theta$ becomes:

$$\theta^* = 1 - \pi^{1/(n - 1)} \pi. \quad (21)$$

If failures occur in clusters ($0 < \pi < 1$) then the confidence bound on failure probability is approximately $1/(1 - \pi)$ higher than the bound obtained under the independence assumption (18), that is, the result obtained under the assumption of independence is overly optimistic.

We consider the applicability of the Markov renewal model in the operational phase next. During the operational phase assume that no changes are made to the software, that is, the sequence of runs can be described by the homogeneous DTMC. The well developed theory of Markov renewal processes [4], [26] and its limiting results can be used to derive a number of measures other than the distribution of the time between failures, such as.

![Figure 5. DTMC for non-instantaneous and delayed fault removal](image)

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![Figure 5. DTMC for non-instantaneous and delayed fault removal](image)
• the expected number of failures \( M_F(t) = E[N_F(t)] \)
  and the expected number of successes \( M_S(t) = E[N_S(t)] \) in the interval \((0, t]\):

• the probability of success at time \( t \), that is, instantaneous availability;

• the limiting probability that the process will be in state 0 at time \( t \) as \( t \to \infty \), that is, steady-state availability.

Finally, the Markov renewal modeling approach can be used for modeling fault-tolerant software systems. Per run failure probability and run’s execution time distribution for particular fault-tolerant technique can be derived using a variety of existing models (see [30], [10], [20] and references therein). Thus, in addition to the interversion failure correlation on a single run considered in related works, our approach enables to account for the correlation among successive failures.

### 5. SOME SPECIAL CASES AND RELATION TO EXISTING MODELS

The Markov renewal model described in this paper can be related to existing software reliability models. The model in discrete time is comparable with an input-domain based models which are static and don’t consider the time, while the model in continuous time is comparable with time-domain software reliability growth models. We next examine these relations.

The software reliability model in discrete time presented in this paper can be related to input-domain based models which consider the software input space from which test cases are chosen. For example, the input-domain model presented in [24] defines \( \lambda_i \) \((0 \leq \lambda_i \leq 1)\) as a fault size under operational inputs after the \( i \)-th change to the program, that is, given that \( i \) faults have been detected and corrected. Then the probability that there will be \( k \geq 0 \) successful runs before the occurrence of the next \((i + 1)\)-st failure is given by

\[
P_i(k|\lambda_i) = (1 - \lambda_i)^k \lambda_i. \tag{22}
\]

It is obvious that (22) is a special case of (6) under the assumption that successive inputs have independent failure probability. In [24] \( \lambda_i \) is treated as a random variable with a distribution function \( G(\lambda_i) \), and (22) becomes

\[
P_i(k) = \int (1 - \lambda_i)^k \lambda_i \ dG(\lambda_i) = E[(1 - \lambda_i)^k \lambda_i].
\]

Note that the model in discrete time is also related to the Compound-Poisson software reliability model in [27]. This model is based on the work presented in [28] which approximates the sum of dependent integer-valued random variables \( S_n \) given by (1) with a compound Poisson process when these random variables are rarely nonzero and given they are nonzero their conditional distributions are nearly identical.

Now consider the software reliability model in continuous time. If \( \tilde{F}_{ex}(s) \) is a rational function of \( s \), so too is \( \tilde{F}_{e+i}(s) \), and the inversion of (8) is in principle straightforward. An important special case is when the distribution of the run’s execution time is exponential so that \( f_{ex}(t) = e^{-\mu t} \), since it relates the MRP approach to the existing time-domain based software reliability models and some of their basic assumptions, such as the independence of successive runs and the exponential distribution of the inter-failure times. In other words, the simplest special case of the model is under the assumptions that the successive software runs are independent \((p_t + q_t = 1)\) and the software execution time (duration of testing runs) is exponentially distributed with rate \( \mu \). Inverting (8) leads to the pdf of the inter-failure times:

\[
f_{i+1}(t) = (1 - p_i) \mu e^{-(1-p_i)\mu t}, \tag{23}
\]

that is, the conditional reliability is given by

\[
R_{i+1}(t) = 1 - F_{i+1}(t) = e^{-(1-p_i)\mu t}. \tag{24}
\]

It follows that the inter-failure time is exponentially distributed with rate \((1 - p_i) \mu \) if the software testing is considered as a sequence of independent runs with an exponential distribution of the execution times. Note that, the coefficient of variation of an exponential distribution is 1.

The alternative interpretation of this special case can be found in [15]. Under the assumption that inputs arrive at software system according to a Poisson process with rate \( \mu \) which is interpreted as intensity of testing, the probability that the software encounters no failures in a time interval \((0, t]\) is given by

\[
1 - F(t) = \sum_{j=0}^{\infty} \frac{e^{-\mu t} (\mu t)^j}{j!} \left( \frac{M - M^*}{M} \right)^j \tag{25}
\]

where \( M \) is the size of the input data space, i.e., the number of data items which can be used as input to the software and \( M^* \) is the total number of these input data which may cause software failure. The first term inside the summation sign denotes the probability that \( j \) inputs are received in time \( t \), and the second term denotes that none of \( j \) inputs lead to a failure of software. It is easy to verify that (25), when simplified, leads to

\[
1 - F(t) = e^{-\frac{M^*}{M} \mu t}. \tag{26}
\]

It means that the time to first failure has an exponential distribution with failure rate \( \lambda = (M^*/M) \mu \). Note that \( M^*/M \) is just the probability of failure on a test run.

The conditional reliability, that is, the survivor function of the time between \((i - 1)\)-st and \( i \)-th failure \( T_i \) becomes

\[
1 - F_i(t) = P[T_i > t] = e^{-\lambda t}. \tag{27}
\]
Even though the motivations are different and the parameters have different interpretations, mathematically the model derived in [15] is a special case of the software reliability model based on MRP under the assumptions that successive software runs are independent and the software execution times are exponentially distributed with rate \( \mu \). In [15] it is shown that the Jelinski – Moranda model [14] can be obtained by introducing \( \lambda_i = \frac{\mu}{N} (N - i + 1) \) and treating \( \Lambda \) and \( N \) as unknown parameters. Then by adopting a Bayesian point of view two other models can be derived from the Jelinski – Moranda model [15]:

1. Goel – Okumoto model [7] is obtained by assuming \( \Lambda \) has a known value and assigning Poisson prior distribution for \( N \);

2. Littlewood – Verrall model [17] is obtained by assuming \( N \) has a known value and that \( \Lambda \) has a prior gamma distribution.

Some other time-domain SRGM can easily be obtained as special cases under the assumption of independence. For example, Moranda model [22] also assumes independent exponentially distributed times between failures with a failure rate that decreases in geometric progression on the occurrence of each individual failure \( \lambda_i = \lambda k^{i-1} \), where \( k < 1 \). Due to the space limitations and vast number of SRGM the analysis of the relation to the existing models will not be pursued any further.

Let us now keep the assumption that the distribution of the run’s execution time is exponential, but assume dependence between successive software runs \( p_i + q_i \neq 1 \). Inverting (8) leads to the pdf of the inter-failure time given by:

\[
f_{i+1}(t) = \frac{1 - q_i}{p_i} (1 - p_i) \mu e^{-(1-p_i) \mu t} + \frac{(p_i + q_i - 1)}{p_i} \mu e^{-\mu t}.
\] (28)

This distribution is a mixture (compound) distribution [34] with pdf of a form \( g(t) = \alpha_1 g_1(t) + \alpha_2 g_2(t) \), where \( \alpha_1, \alpha_2 > 0 \) and \( \alpha_1 + \alpha_2 = 1 \).

In the case when \( p_i + q_i > 1 \) the inter-failure distribution (28) is hyperexponential, that is, a mixture of two exponential distributions with rates \( (1 - p_i) \mu \) and \( \mu \). The mixing probabilities are given by \( \alpha_1 = (1 - q_i)/p_i \) and \( \alpha_2 = (p_i + q_i - 1)/p_i \), respectively. Note that the coefficient of variation in the case of hyperexponential distribution is greater than 1. It is obvious that due to the presence of failure clustering, the inter-failure time has smaller mean and greater variability compared to the independent case, even under the assumption of exponentially distributed duration of testing runs.

If the \( p_i + q_i < 1 \) then (28) becomes a mixture of an exponential distribution with rate \((1 - p_i) \mu \) and hypoeexponential distribution with rates \((1 - p_i) \mu \) and \( \mu \). The mixing proportions are \( \alpha_1 = q_i/(1 - p_i) \) and \( \alpha_2 = (1 - q_i - p_i)/(1 - p_i) \), respectively. It can be shown that the coefficient of variation in this case is smaller than 1. In other words, the inter-failure time has greater mean and smaller variability compared to the independent case.

The presented results clearly demonstrate the effects of failure correlation on the software reliability measures. It is obvious that some of the common assumptions made by software reliability models are inadequate and result in optimistic estimations when failures are indeed clustered.

6. DISCUSSION AND FUTURE WORK

The ultimate goal when developing reliability growth models is the development of good reliability inference and prediction methods which can be applied to software development. This paper does not deal with inference or predictions per se. It is mainly aimed at showing that the classical software reliability theory can be extended in order to consider a sequence of possibly dependent software runs, that is, failure correlation. However, there are many research issues that we would like to address in near future in order the model to be fully specified and applied in real software development projects for performing estimations and predictions.

Let us consider in some detail the concept of software runs. The operation of software can be broken down into series of runs [23]. Each run performs mapping between a set of input variables and a set of output variables and consumes a certain amount of execution time. The input variable for a program run is any data item that exists external to the run and is used by a run. There does not have to be a physical input process. The input variable may simply be located in memory, waiting to be accessed. Even for the software that operates continuously it is still possible and more convenient to divide the operation into runs by subdivision of time associated with some user-oriented tasks [23]. The information associated with software runs can generally be grouped into two categories:

- **Timing.** This includes specific time associated with each run, such as start time, normal termination time for successful runs, or failure time for failed runs.
- **Input and Outcome.** The descriptive information about each specific run generally specifies the input for the program, the testing environment, and the outcome that has been obtained (success or failure).

Relevant data about the failed runs, as well as for successful runs are routinely captured in many projects for test tracking.
and testing process management purposes [31], [32]. This data, with possibly minor modifications, provide common source for reliability growth modeling, input-domain analysis, and integrated analysis in this paper. The Markov renewal model takes into consideration all the knowledge that we have about system, that is, the outcomes and execution times of all testing runs, either successful or failed.

The existing time-domain SRGM disregard the successful runs between two failures and ignore the information conveyed by them. The consideration of successful runs, that is, non-failure stops of software operation for parameters estimation of some of the existing SRGM was presented recently in [2]. In this work it is pointed out that disregarding the non-failure stops violates the Maximum Information Principle that suggests to exploit available software reliability information as much as possible.

In the case of MRP model the time between failures $T_{i+1}$ is a random variable whose distribution depends on the distribution of the run’s execution time $F_{r}(t)$, and on the conditional probabilities $p_i$ and $q_i$. The timing information associated with each run can be obtained quite easily in many computer systems. Therefore, instead of making assumptions, the specific distribution function of the run’s execution time $F_{r}(t)$ could be determined from measured data.

Consider possible models for the parameter set $\{p_1, q_1, p_2, q_2, \ldots\}$ next. It is mathematically possible to permit an infinite number of failures, and in this case the parameter set is infinite. By setting $q_i = 0$ and $p_i = 1$ for $i \geq n + 1$ the finite failure model is obtained as a special case.

For the model to be fully specified it is necessary to consider the way the parameters change as a result of the fault removal attempts. In this regard, there are two possible approaches. One approach of modeling the parameter set $\{p_i, q_i\}$ is to relate them to the number of test runs or to the number of faults in a systematic way by assuming various deterministic functional relationships. That is, the free parameters are simply seen as unknown constants to be estimated by the sample data. The alternate approach is to treat the parameters $\{p_i, q_i\}$ as random variables themselves in order to consider the uncertainty about the effect of fault removal [18], [17]. Thus, even if the fault removal is successful, there will be uncertainty about the size of the fault removed, and so uncertainty about the magnitude of reliability improvement. This approach results in a doubly stochastic model: one model for set of parameters and the other for the times between failures conditional on the parameters.

We next present the brief review of SRGM discussed in previous section in order to demonstrate the differences that arise from the additional assumptions considering the way the modeling parameters change as a result of the fault removal attempts.

Jelinski – Moranda model [14], Moranda model [22], and Littlewood – Verrall model [17] are examples of TBF models and all have the common assumption that the inter-failure times are independent exponentially distributed random variables $f_{i+1}(t) = \lambda_i e^{-\lambda_i t}$. They differ in assumptions about the way failure intensity $\lambda_i$ changes as a result of fault removal attempts.

Jelinski – Moranda model assumes that the initial number of faults $N$ is unknown fixed constant, faults are instantly and perfectly corrected without introducing any new faults, and all faults that still remain are equally likely to occur. As a consequence, failure intensity is proportional to the residual number of faults $\lambda_i = \Lambda(N - i + 1)$, that is, all faults have the same size. Moranda model assumes that the initial number of faults is infinite and that the faults detected and removed early in the software testing decrease failure intensity more rapidly from those detected later on. Thus the failure intensity decreases in a geometric progression on the occurrence of each individual failure $\lambda_i = \lambda_i k^{i-1}$ ($k < 1$).

Littlewood – Verrall model is a doubly stochastic model which treats the failure intensity $\lambda_i$ as a random variable with gamma distribution. This reflects the likelihood, but not a guarantee, that a fault removal will improve reliability and if an improvement takes place it is of uncertain magnitude.

Goel – Okumoto model [7] treats the initial number of faults in software system $N$ as a Poisson random variable which results in non-homogeneous Poisson process (NHPP) with failure intensity $\lambda(t)$. However, failure occurrence rate per fault for this model is constant, that is, all faults have the same size. In contrast to the constant fault detection rate of Goel – Okumoto model, many commonly used FC models are obtained by choosing different $\lambda(t)$ which results in different NHPP that can capture increasing/decreasing failure occurrence rate per fault. The SRGM based on NHPP differ from TBF models in one more aspect, the inter-failure times are not independent and the non-stationarity of the process complicates their distributions. Nevertheless, it can be shown that if NHPP has failure intensity $\lambda(t)$ then, given that there is n failures in interval $[0, t]$, these failures are independent and identically distributed with density $\lambda(t) \int_0^t \lambda(u) du$ [3].

To summarize, while SRGM can be related to each other and derived from the same mathematical framework, they may differ significantly in their assumptions about the failure mechanisms, fault removal process and overall growth in reliability during testing phase. The software reliability modeling framework presented in this paper provides the basis for a more flexible and consistent approach to the mathematical formulation of software reliability, and contributes toward more realistic modeling since it naturally integrates the phenomena of failure correlation. However,
in order to apply the model to real data, the development of more detailed and specific models within this framework, as well as statistical inference procedures for modeling parameters are the subjects of our future research. Some basic issues that should be taken into account when making additional assumptions about the fault removal process and the way that modeling parameters change as a result of fault removal attempts [1], [35] are briefly outlined here:

- **Size of faults.** In general, different software faults do not affect equally the failure probability. Some faults which are more likely to occur contribute more to the failure probability than other faults.

- **Imperfect debugging.** Often, fault fixing cannot be seen as a deterministic process, leading with certainty to the removal of the fault. It is possible that an attempt to fix one fault may introduce new faults in code.

- **Non-instantaneous and delayed fault removal.** Usually, neither the removal of a fault occurs immediately after the failure is observed, nor the time to remove the fault is negligible.

- **Changing testing strategy.** The failure history of a program depends on the testing strategy employed, so reliability models must consider the testing process used. In practice it is important to deal with the case of non-homogeneous testing, that is the model should include the possibility of describing variations of the testing strategy with time.

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Kishor S. Trivedi received the B.Tech. degree from the Indian Institute of Technology (Bombay), and M.S. and Ph.D. degrees in computer science from the University of Illinois, Urbana-Champaign. He is the author of a well known text entitled, Probability and Statistics with Reliability, Queuing and Computer Science Applications, published
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